

# Large-Scale Vision & Language Models: Architectures and Parallelism

# About this training event

- Introduction to the MINERVA project
- Introduction to Large-Scale models in Vision, Language and Multimedia
  - SoTA Large-Scale Deep Learning architectures
  - For language, vision and multimodal AI
  - Scaling laws
- Tutorial on data and model parallelism

*Most importantly...*

- Not just give you information or pointers, but also practical channels and instructions to leverage HPC resources and/or assistance on how to get and use them.
- Your Swiss knife to HPC resources: **MINERVA**



**MINERVA is a distributed, European-wide HPC-enabled AI application support service (AISC).**

- It brings together EuroHPC Hosting Entities and partners representing major European stakeholders in AI.
- MINERVA acts as a central hub for cutting-edge European competences in large-scale ML/AI research and development.
- It started in January 2025, and the project's duration is 36 months



# What MINERVA Aims To Achieve

- Establish and operate a Europe-wide Support Centre.
- **Interact with AI communities** through a User Advisory Board and Community Hub to identify needs and update the MINERVA service portfolio.
- Offer a **rich service portfolio** covering more levels of support, aligned with the European need to rely on open-source foundation models.
- Ensure models are developed according to ethical and responsible AI regulations.

## How?

- **Knowledge transfer:** Publishing best practice guides and user guidelines.
- **Benchmarking:** Evaluating model performance on different supercomputers.
- **Data Access:** Providing information on access to public datasets.
- **Training Programs:** Providing training programs shaped by end-user feedback.
- **Community Hub:** Supporting large-scale open-source ML/AI research and development on HPC.

# Before starting...

**We would like to know a bit more about you and your needs to use HPC.**

Fill in the MINERVA survey at

[https://docs.google.com/forms/d/e/1FAIpQLSeWdowBcyc1d9bMsh6BGcolZQj\\_pECPpoCsF9Mdyf60Fcr68w/viewform](https://docs.google.com/forms/d/e/1FAIpQLSeWdowBcyc1d9bMsh6BGcolZQj_pECPpoCsF9Mdyf60Fcr68w/viewform)



*This data will be used to organize and tailor other training events!*

# Large-Scale Vision & Language Models: Architectures and Parallelism

Lorenzo Baraldi, Silvia Cascianelli, Sara Sarto

University of Modena and Reggio Emilia



# THE ATTENTION OPERATOR

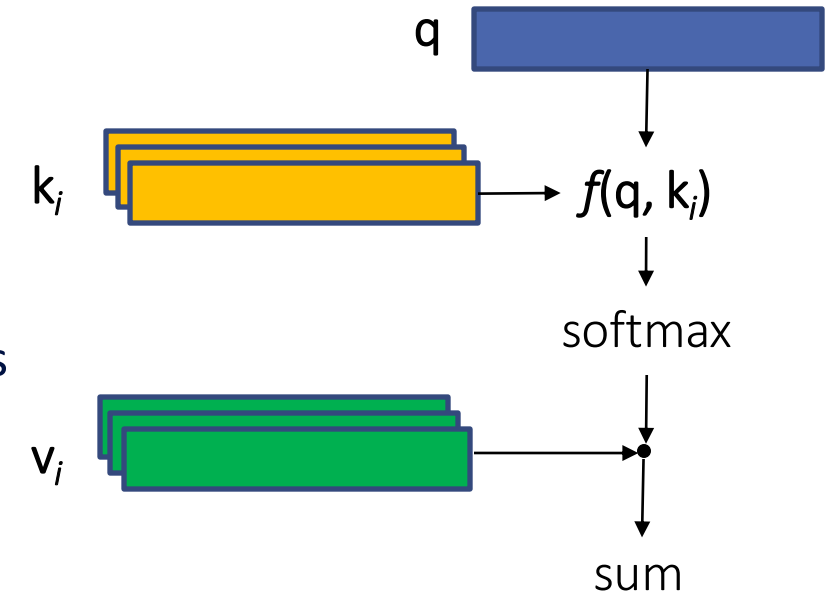
# A new operator

## Attention

Provides a way to focus on part of an input set.

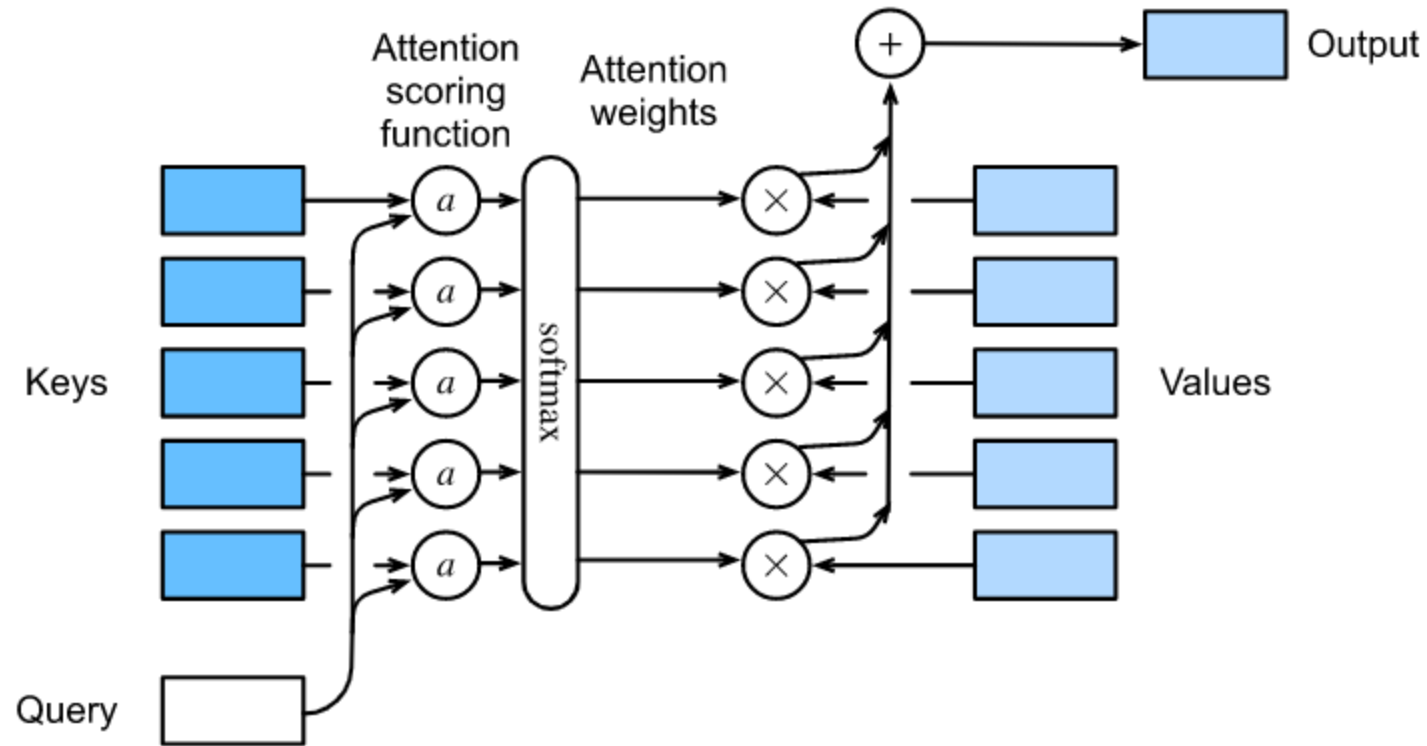
Given a query and pairs of keys and values,

- Compute similarities between queries and keys
- Normalizes similarities via softmax to obtain attention scores
- Multiplies values by the scores





# Attention



Mathematically, suppose that we have a query  $\mathbf{q} \in \mathbb{R}^q$  and  $m$  key-value pairs  $(\mathbf{k}_1, \mathbf{v}_1), \dots, (\mathbf{k}_m, \mathbf{v}_m)$ , where any  $\mathbf{k}_i \in \mathbb{R}^k$  and any  $\mathbf{v}_i \in \mathbb{R}^v$ . The attention pooling  $f$  is instantiated as a weighted sum of the values:

$$f(\mathbf{q}, (\mathbf{k}_1, \mathbf{v}_1), \dots, (\mathbf{k}_m, \mathbf{v}_m)) = \sum_{i=1}^m \alpha(\mathbf{q}, \mathbf{k}_i) \mathbf{v}_i \in \mathbb{R}^v, \quad (10.3.1)$$

where the attention weight (scalar) for the query  $\mathbf{q}$  and key  $\mathbf{k}_i$  is computed by the softmax operation of an attention scoring function  $a$  that maps two vectors to a scalar:

$$\alpha(\mathbf{q}, \mathbf{k}_i) = \text{softmax}(a(\mathbf{q}, \mathbf{k}_i)) = \frac{\exp(a(\mathbf{q}, \mathbf{k}_i))}{\sum_{j=1}^m \exp(a(\mathbf{q}, \mathbf{k}_j))} \in \mathbb{R}. \quad (10.3.2)$$

# Attention

## Attention

Provides a way to focus on part of an input set.

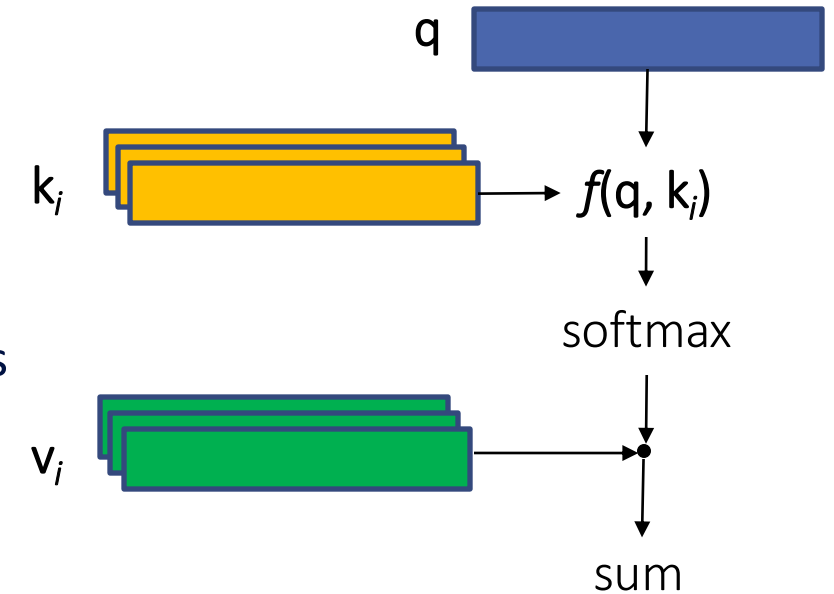
Given a query and pairs of keys and values,

- Compute similarities between queries and keys
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### *Similarity function*

- Additive attention, e.g.  $w^T \tanh(w_q q + w_k k)$
- Dot-product attention

$$a(\mathbf{q}, \mathbf{k}) = \mathbf{q}^T \mathbf{k} / \sqrt{d}$$



# Dot-product attention

```
class DotProductAttention(nn.Module):
    """Scaled dot product attention."""
    def __init__(self, **kwargs):
        super(DotProductAttention, self).__init__(**kwargs)

    # Shape of `queries`: (`batch_size`, no. of queries, `d`)
    # Shape of `keys`: (`batch_size`, no. of key-value pairs, `d`)
    # Shape of `values`: (`batch_size`, no. of key-value pairs, value
    # dimension)
    # Shape of `valid_lens`: (`batch_size`,) or (`batch_size`, no. of queries)
    def forward(self, queries, keys, values, valid_lens=None):
        d = queries.shape[-1]
        # Use `transpose` to swap the last two dimensions of `keys`
        scores = torch.bmm(queries, keys.transpose(1, 2)) / math.sqrt(d)
        self.attention_weights = torch.softmax(scores)
        return torch.bmm(self.attention_weights, values)
```

# Convolution vs Self-attention

## Self Attention

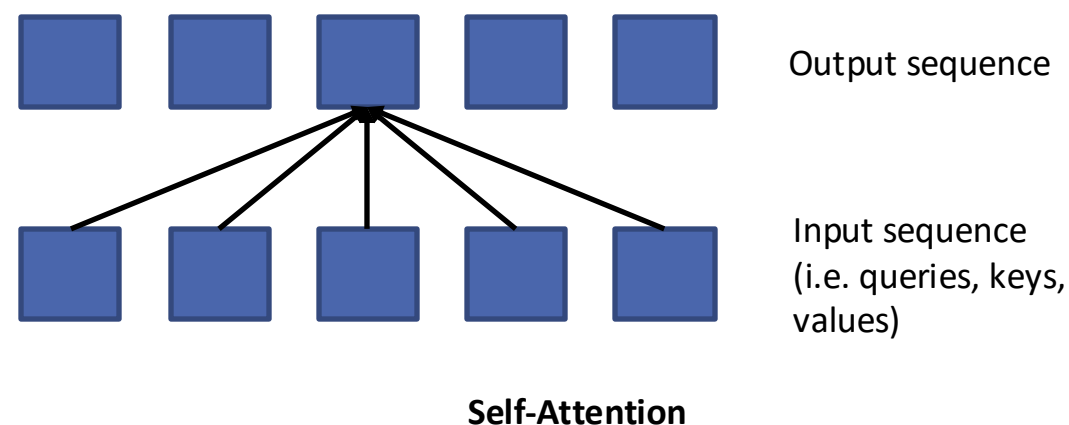
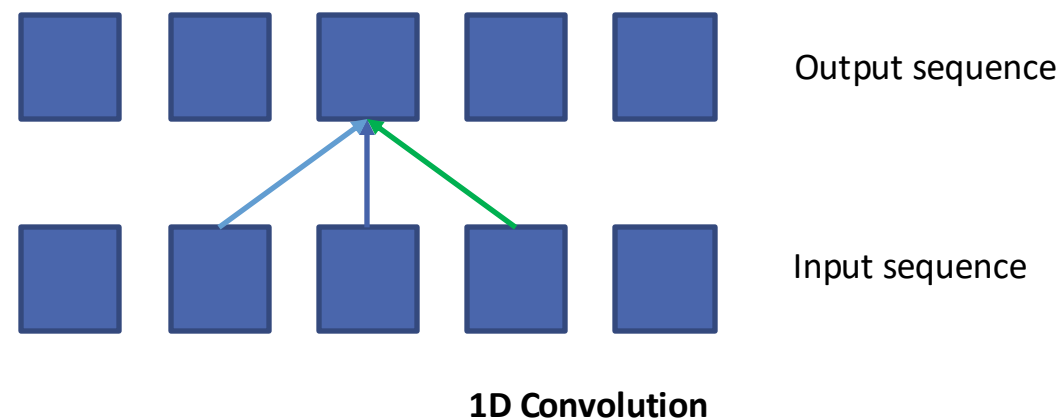
“Refine” each element of the sequence by treating it as **query**, and the whole sequence as keys and values.

Actually: queries, keys and values are three different linear projections of each element of the input sequence.

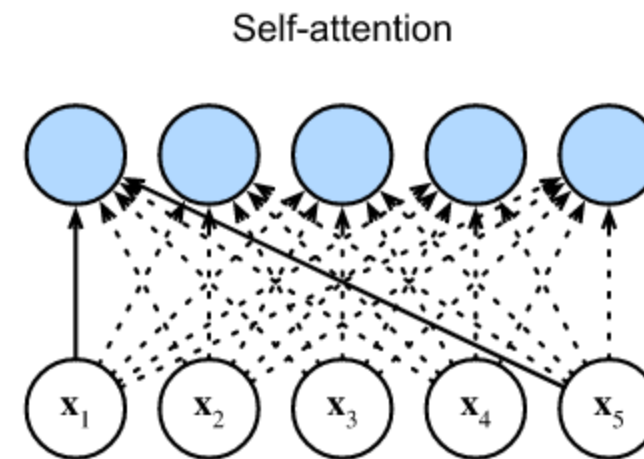
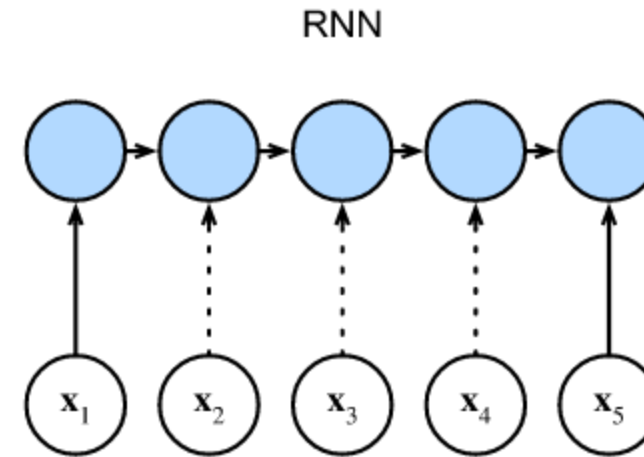
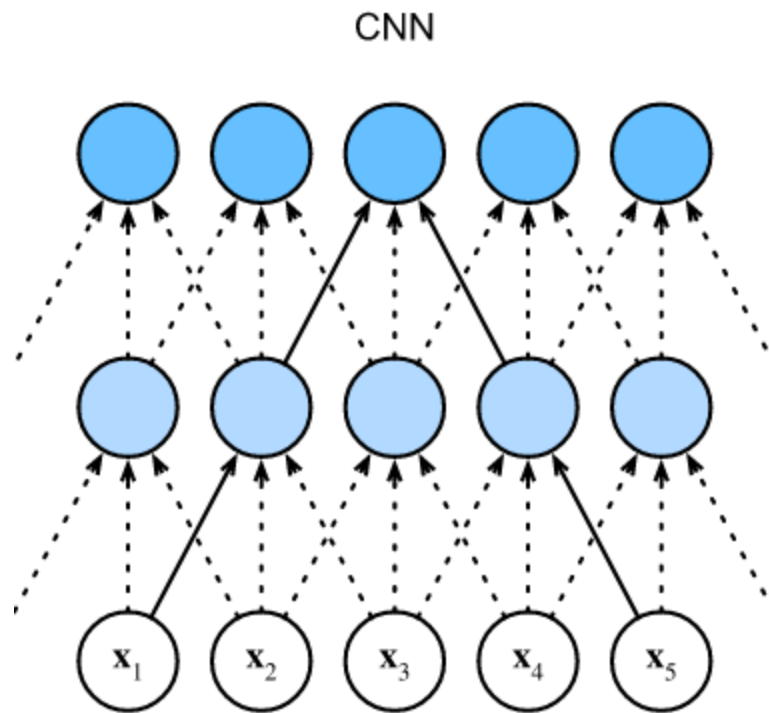
Receptive field is infinite!

Constant path length between two different positions

Trivial to parallelize during training!



# CNNs vs RNNs vs Self-attention



# Multi-head Self-attention

- Linearly project the input sequence  $h$  times with different weights, instead of doing this only once.
- To From a sequence with length  $T$ , we obtain:
  - $Q$ : matrix of queries,  $(h, T, d_k)$
  - $K$ : matrix of keys,  $(h, T, d_k)$
  - $V$ : matrix of values,  $(h, T, d_v)$
- Apply scaled dot-product attention over each “head” (i.e. over each element of axis 1)
- Concatenate the result and project back to a lower dimensionality

$$\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \dots, \text{head}_h)W^O$$

where  $\text{head}_i = \text{Attention}(Q[i], K[i], V[i])$

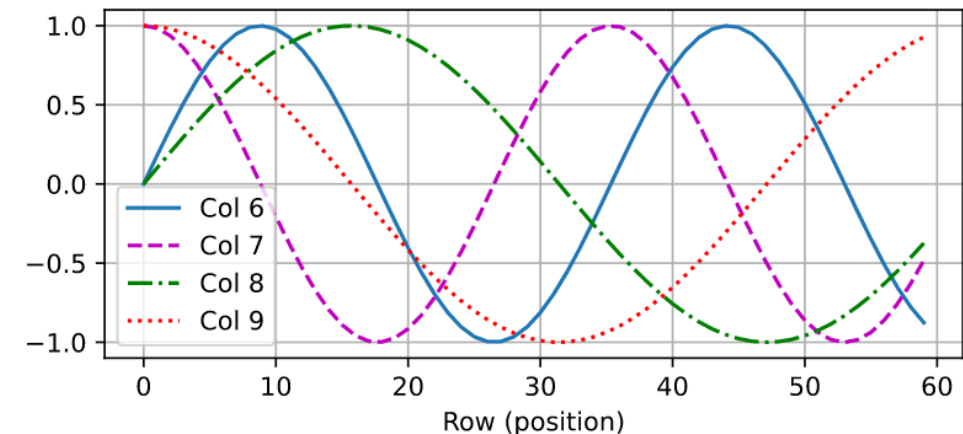
- Can be done in parallel, with batched matrix multiplication.

# Positional encoding

- Self-attention is permutation invariant
  - Given a query, if we change the order of keys and values, result does not change.
  - Ok for encoding sets. Not for sequences or images....☹
- To use **order information**, we can inject absolute or relative positional information by adding positional encoding to the input representations.
- Positional encodings can be learned (simple nn.Parameter) or fixed. In the original Transformer, they were defined as sinusoids. With this, attention can capture both absolute and relative positional information (i.e. distances between items!). Now, many more alternatives (e.g. relative, Rotary, ALiBi)

Suppose that the input representation  $\mathbf{X} \in \mathbb{R}^{n \times d}$  contains the  $d$ -dimensional embeddings for  $n$  tokens of a sequence. The positional encoding outputs  $\mathbf{X} + \mathbf{P}$  using a positional embedding matrix  $\mathbf{P} \in \mathbb{R}^{n \times d}$  of the same shape, whose element on the  $i^{\text{th}}$  row and the  $(2j)^{\text{th}}$  or the  $(2j + 1)^{\text{th}}$  column is

$$p_{i,2j} = \sin\left(\frac{i}{10000^{2j/d}}\right),$$
$$p_{i,2j+1} = \cos\left(\frac{i}{10000^{2j/d}}\right).$$



# A Self-attentive language model for translation

## Encoder

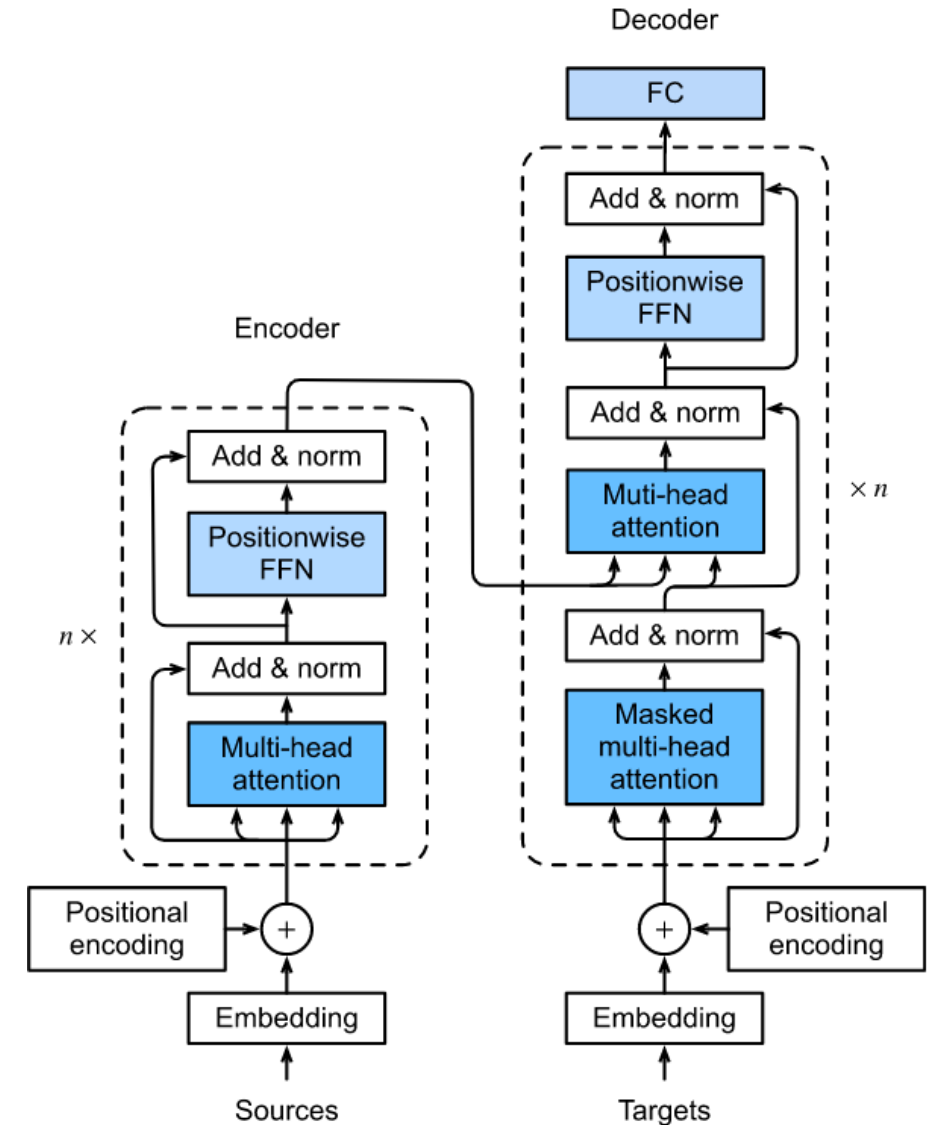
Uses self-attention on its input

Multiple attention layers stacked together (with add+norm) and feed-forward layers (linear layers applied timewise).

## Decoder

Self-attention on words

Cross-attention on encoder outputs: use decoder sequences as queries, encoder outputs as key/values.







# SCALING LAWS

“Architecture alone does not make a model”

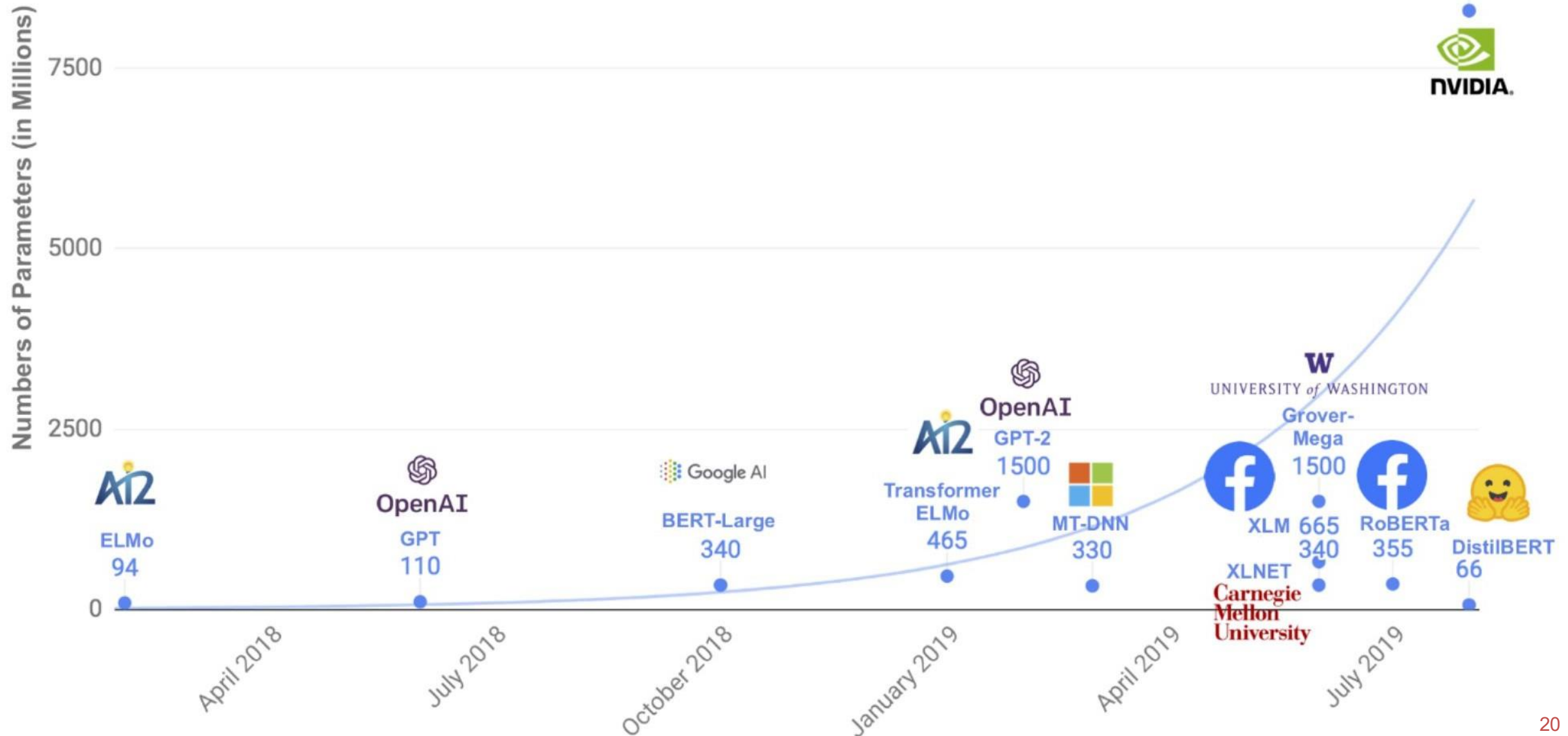
**Architecture + Training = Model**

- A model expresses different properties depending on how it is trained
- Like nature vs. nurture, both impact what the model does
- Training is what influences parameters

# The trend

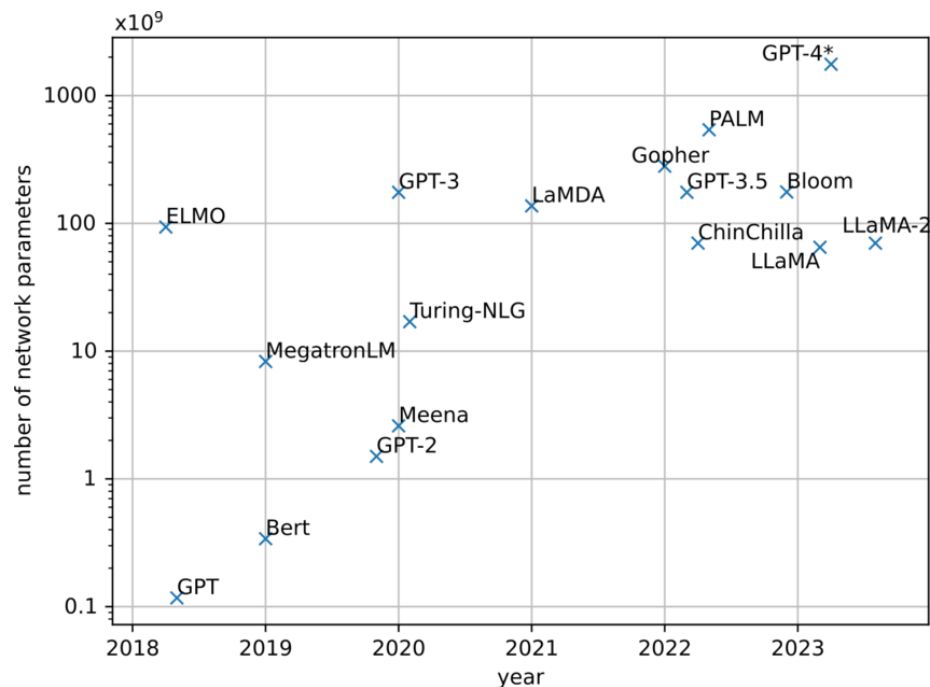
- Early works: **Task-specific architectures** using shared word vector representations
- BERT: Pretraining and Fine tuning
- GPT-3: **One/Few shot learning**

# Trend: the bigger, the better



## 175 Billion Parameters!

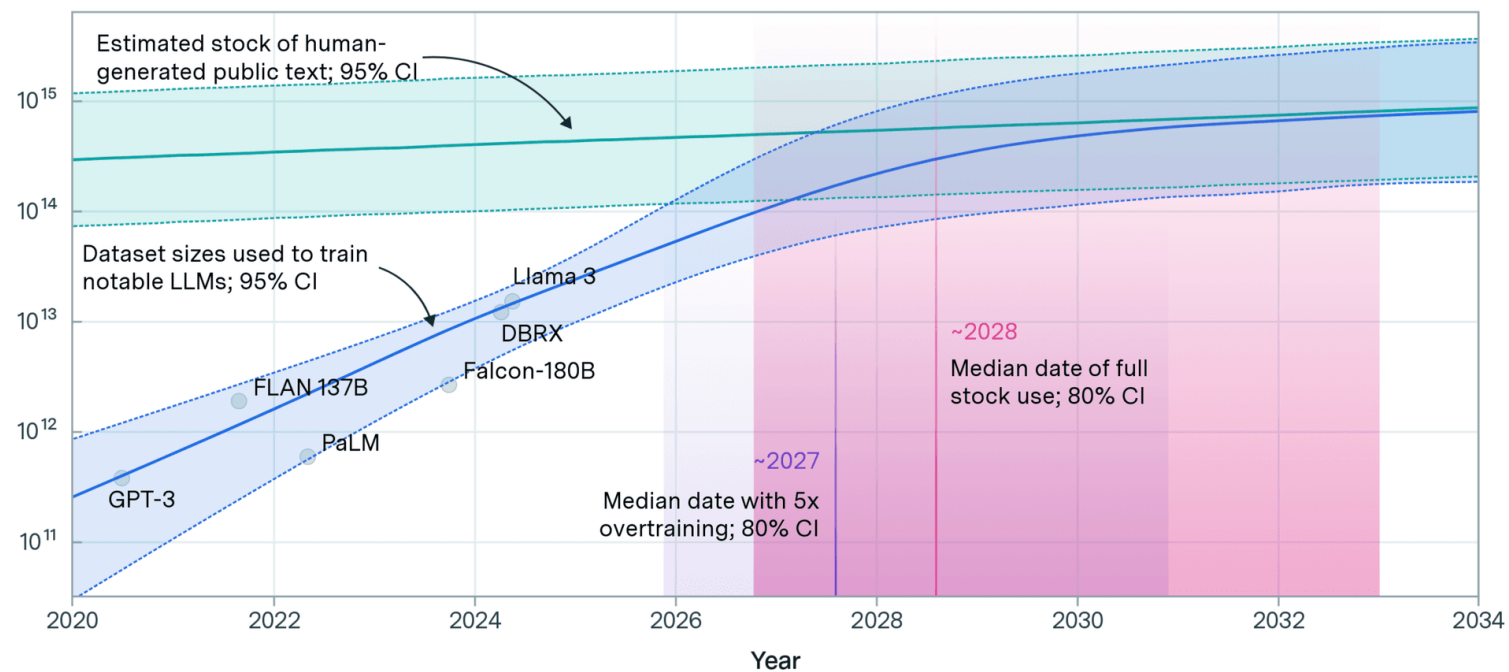
# Today...



## Projections of the stock of public text and data usage

EPOCH AI

Effective stock (number of tokens)



# Scaling Laws

- Scientific problem: How does model performance change with increasing scale?
- Engineering importance: Training frontier models costs millions of dollars
- Safety importance: Models are black boxes with often unexpected capabilities

# Scaling Laws for Neural Language Models, Kaplan et al. 2020

**Main RQ:** What is the relationship between compute, data, the number of parameters, and performance?



# Kaplan et al., 2020 - Main Idea

- Train models of vastly different scale (data, compute, params) and find the loss is predictably related to the scale
- Offer a predictive framework
- They make certain recommendations based on the scaling laws
  - Ratio between parameters and data
  - Don't train to convergence
  - Critical batch size

# Kaplan et al., 2020 - Main Results

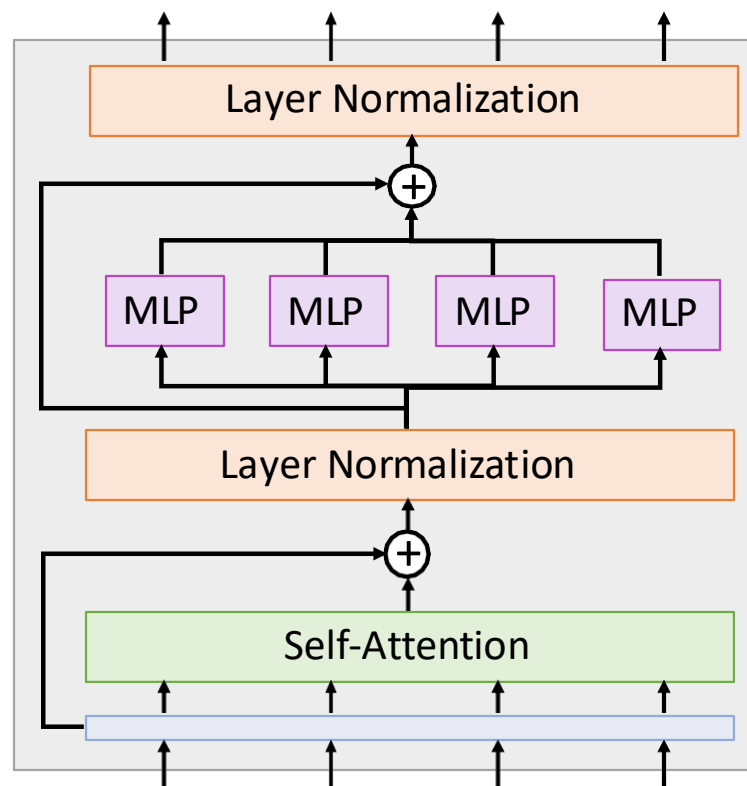
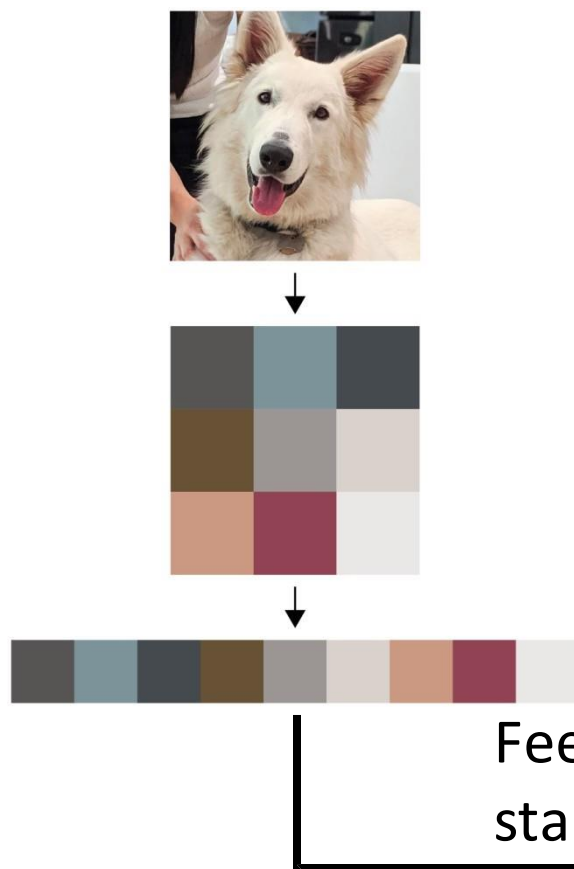
1. Performance depends strongly on scale, weakly on model shape
2. Performance scales with each of compute (C), data (D), and # of parameters (N) when not bottlenecked by the other two
3. Performance improves predictably if we scale N and D in tandem, but suffers diminishing returns if N or D is held fixed
4. Training curves are predictable, so we can (roughly) predict the final loss by extrapolating the early part of the training curve, regardless(ish) of model size
5. We can predict how well the model will perform on OOD data by looking at the training validation accuracy
6. Large models are more sample efficient than small ones
7. Convergence is inefficient!
8. The ideal batch size ( $B_{crit}$ ) is roughly a power of the loss only



# TRANSFORMERS FOR VISION

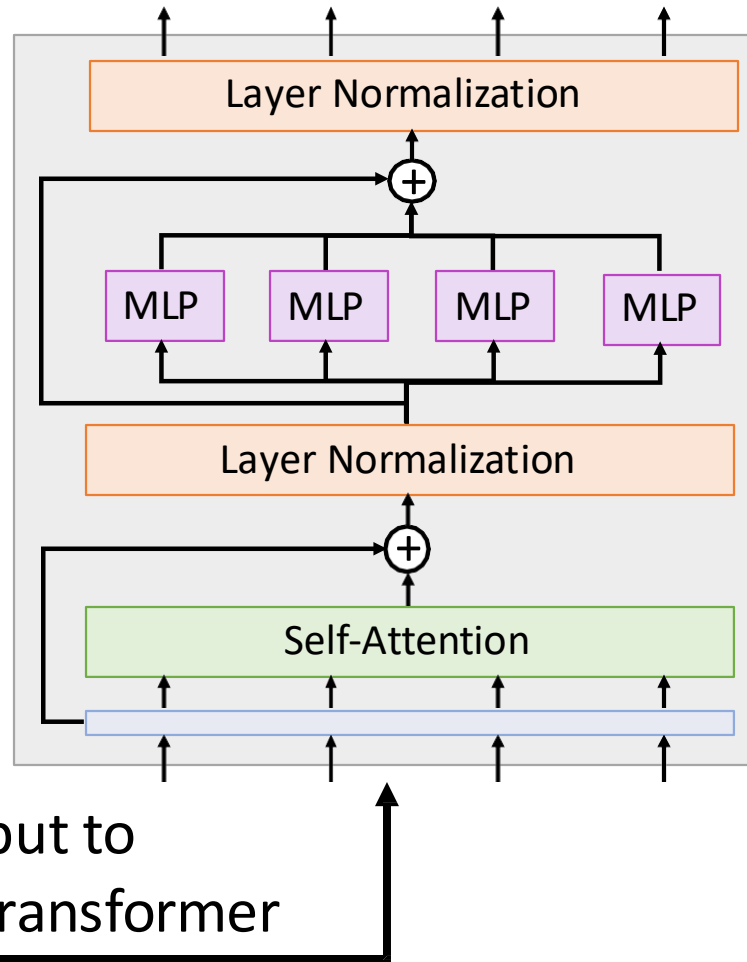
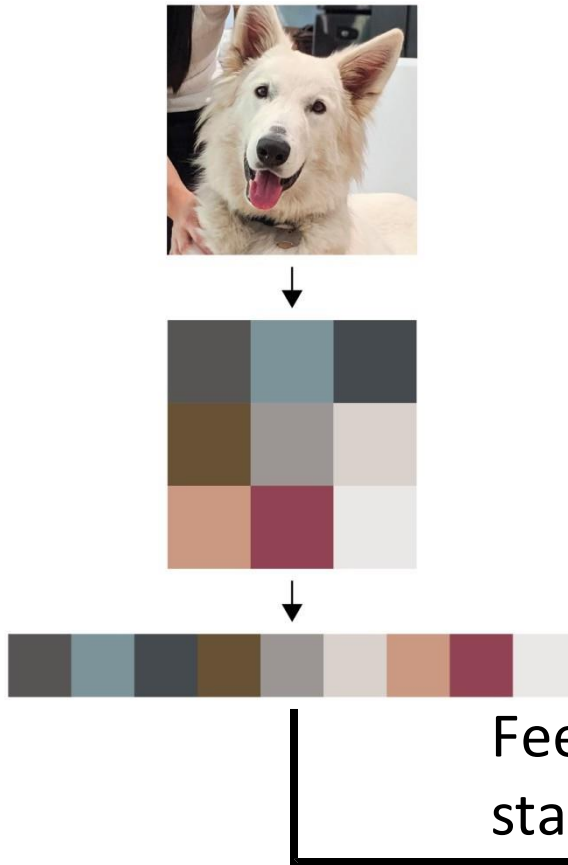
# Idea: Standard Transformer on Pixels

Treat an image as a  
set of pixel values



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Treat an image as a  
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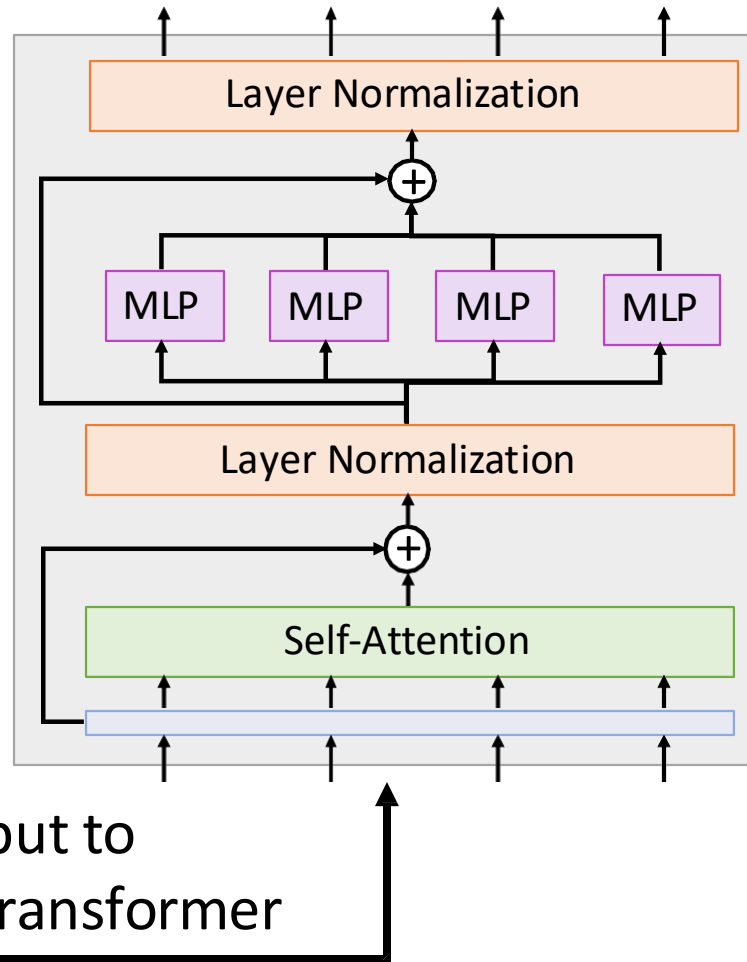
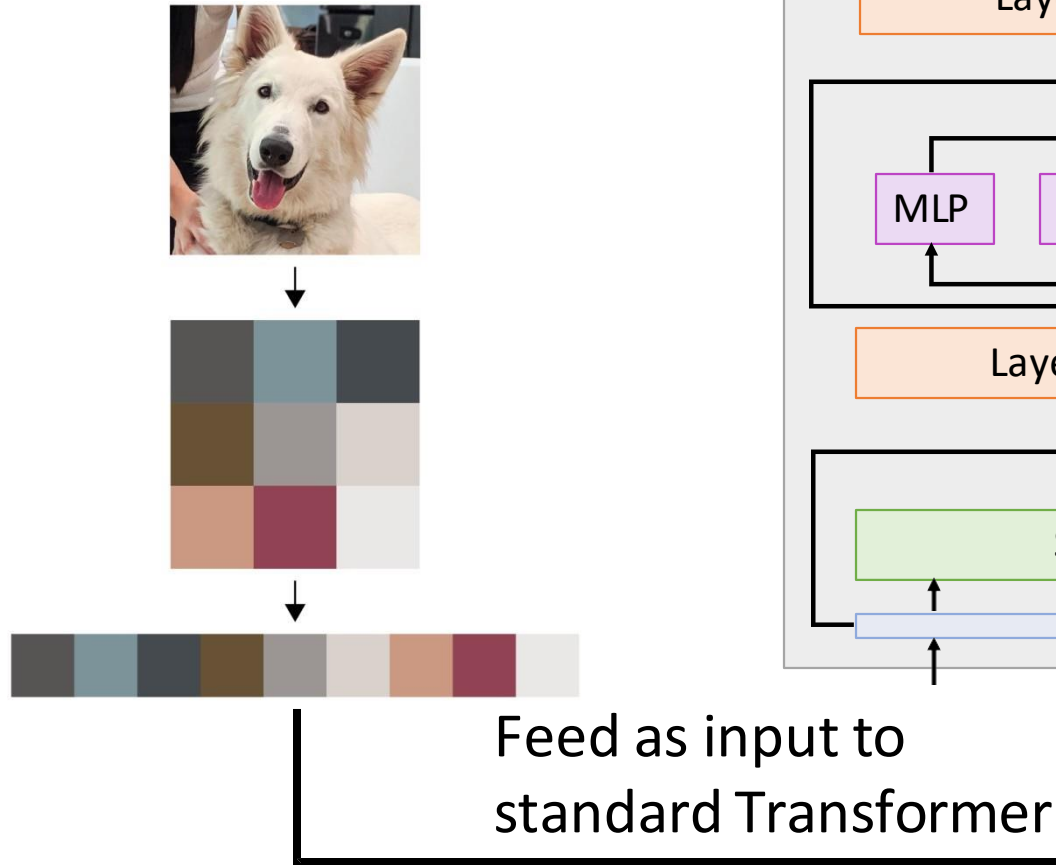


Problem: Memory use!

$R \times R$  image needs  $R^4$   
elements per attention  
matrix

# Idea: Standard Transformer on Pixels

Treat an image as a  
set of pixel values



Problem: Memory use!

$R \times R$  image needs  $R^4$   
elements per attention  
matrix

$R=128$ , 48 layers, 16  
heads per layer takes  
768GB of memory for  
attention matrices for a  
single example...

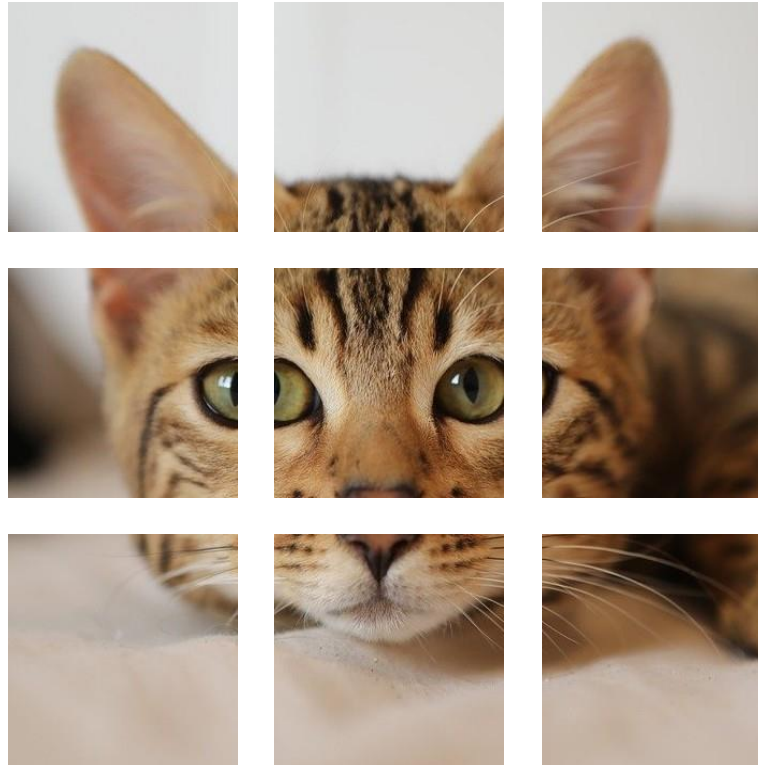
# Idea: Standard Transformer on Patches



Dosovitskiy et al, “An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale”, ICLR 2021

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# Idea: Standard Transformer on Patches



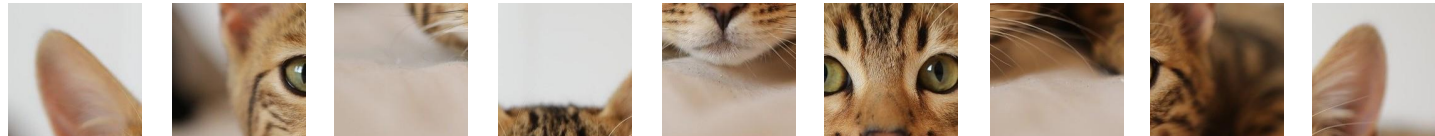
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# Idea: Standard Transformer on Patches

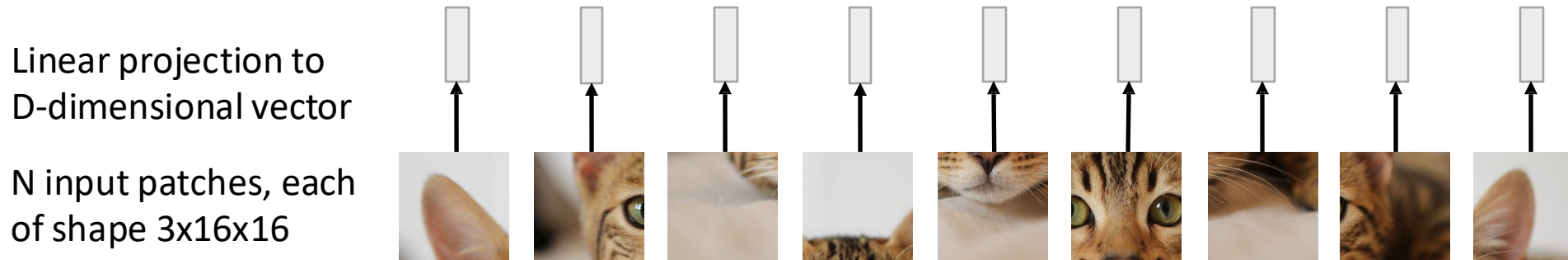
N input patches, each  
of shape 3x16x16



Dosovitskiy et al, “An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale”, ICLR 2021

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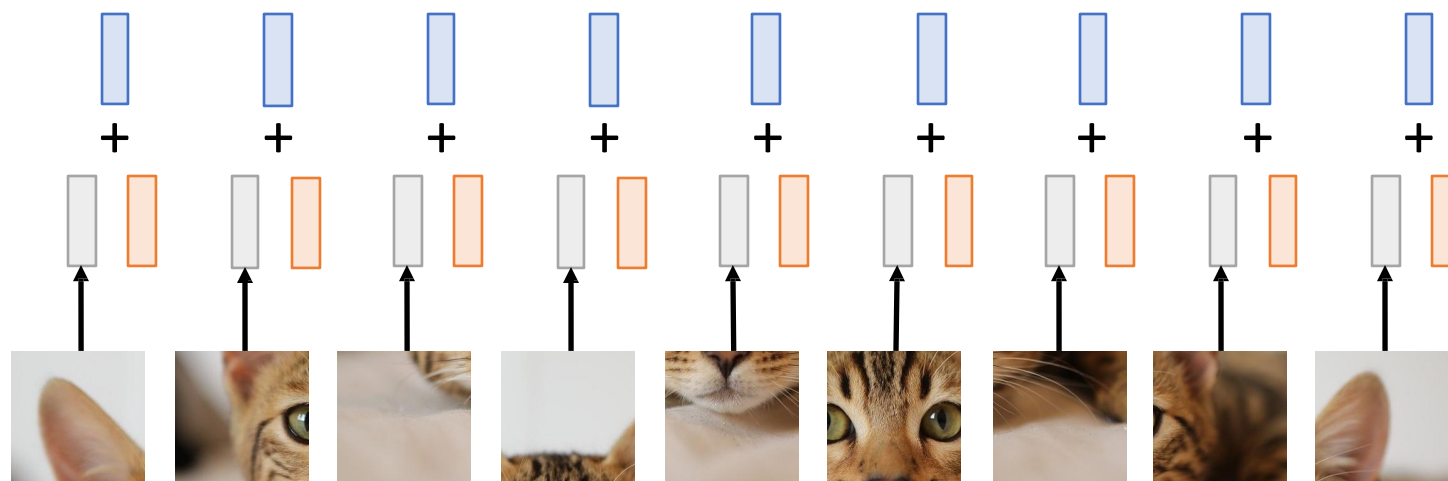
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# Idea: Standard Transformer on Patches

Add positional  
embedding: learned D-  
dim vector per position

Linear projection to  
D-dimensional vector

N input patches, each  
of shape 3x16x16



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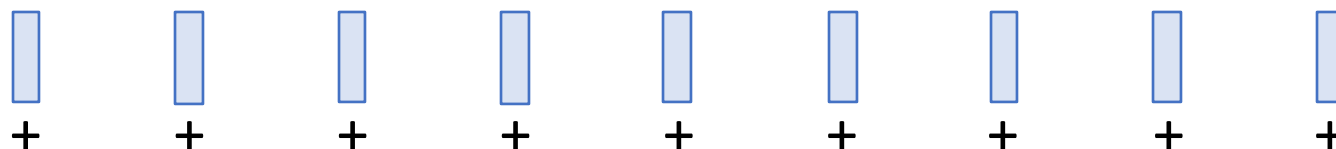
Output vectors



Exact same as  
NLP Transformer!

Transformer

Add positional  
embedding: learned D-  
dim vector per position



Linear projection to  
D-dimensional vector



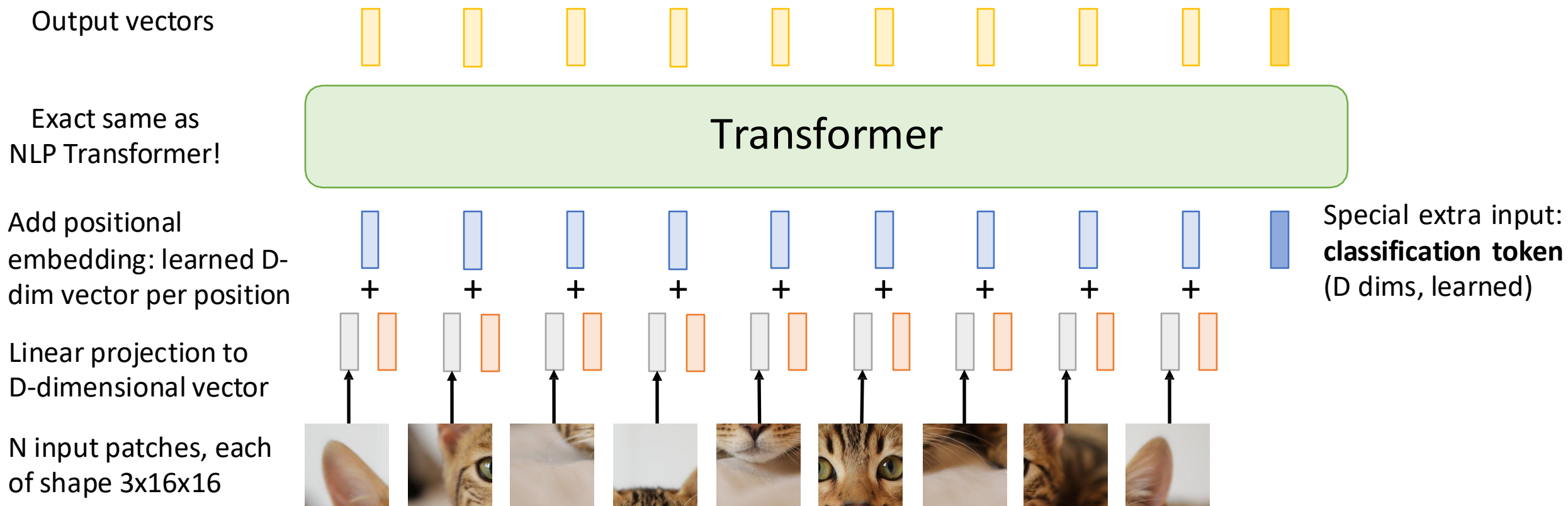
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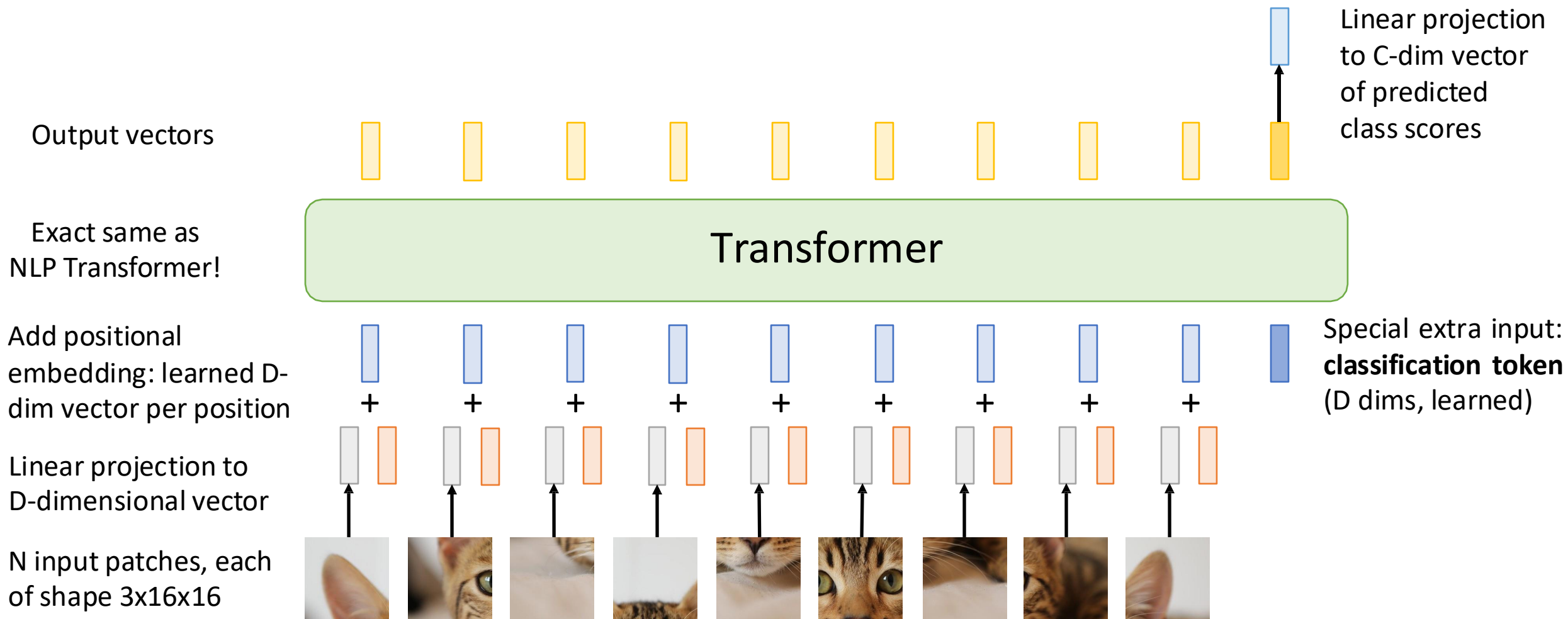
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# Vision Transformer (ViT)

Computer vision model  
with no convolutions!

Output vectors

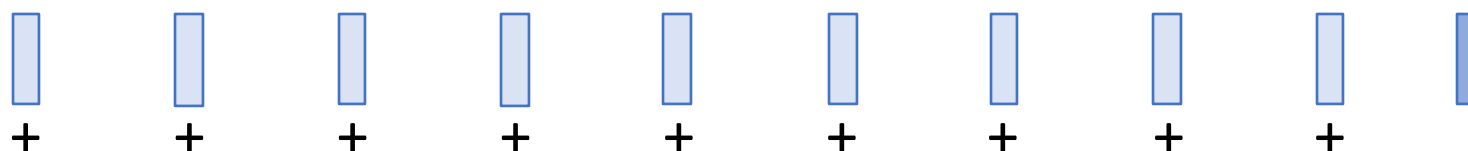


Linear projection  
to C-dim vector  
of predicted  
class scores

Exact same as  
NLP Transformer!

Transformer

Add positional  
embedding: learned D-  
dim vector per position

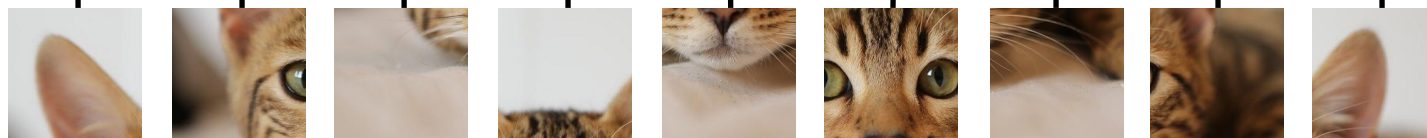


Special extra input:  
**classification token**  
(D dims, learned)

Linear projection to  
D-dimensional vector



N input patches, each  
of shape 3x16x16



Dosovitskiy et al, "An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale", ICLR 2021

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# Vision Transformer (ViT)

Computer vision model  
with no convolutions!

Not quite: With patch size  $p$ , first  
layer is  $\text{Conv2D}(p \times p, 3 \rightarrow D, \text{stride}=p)$

Output vectors



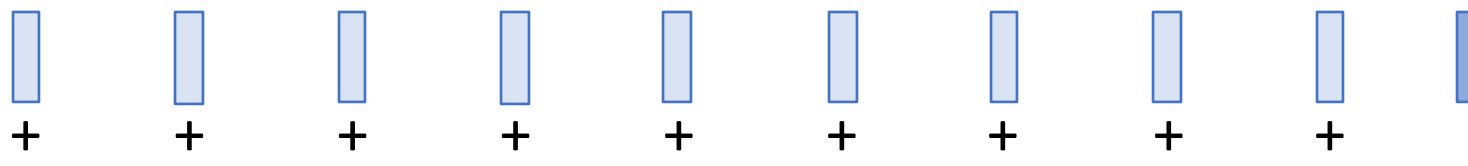
Linear projection  
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class scores



Exact same as  
NLP Transformer!

Transformer

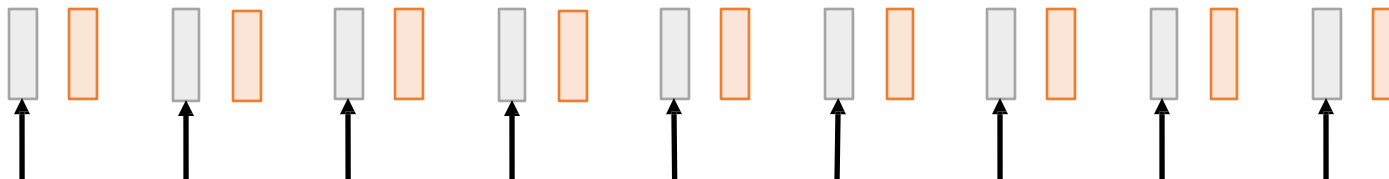
Add positional  
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dim vector per position



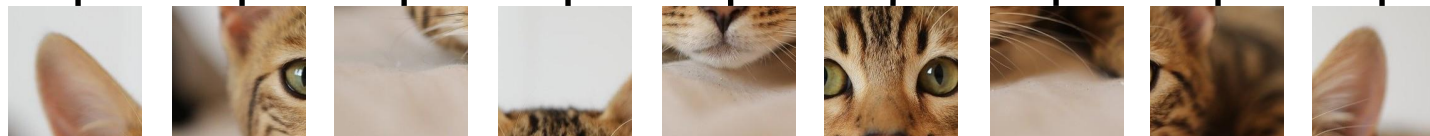
Special extra input:  
**classification token**  
(D dims, learned)



Linear projection to  
D-dimensional vector



N input patches, each  
of shape  $3 \times 16 \times 16$





# Vision Transformer (ViT)

Computer vision model  
with no convolutions!

Not quite: MLPs in Transformer  
are stacks of 1x1 convolution

Output vectors



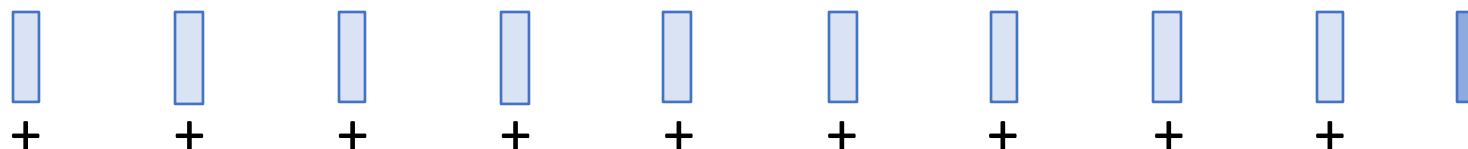
Linear projection  
to C-dim vector  
of predicted  
class scores



Exact same as  
NLP Transformer!

Transformer

Add positional  
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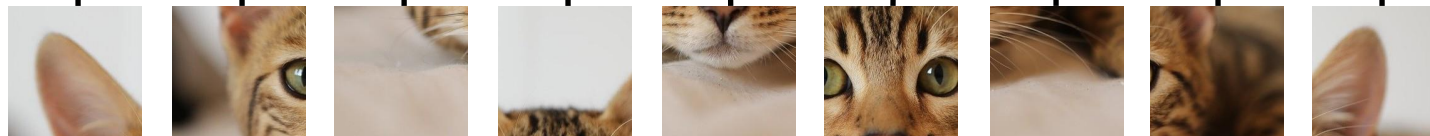
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Linear projection to  
D-dimensional vector



N input patches, each  
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# Vision Transformer (ViT)

In practice: take 224x224 input image,  
divide into 14x14 grid of 16x16 pixel  
patches (or 16x16 grid of 14x14 patches)

Each attention matrix has  $14^4 = 38,416$   
entries, takes 150 KB  
(or 65,536 entries, takes 256 KB)

Output vectors



Linear projection  
to C-dim vector  
of predicted  
class scores

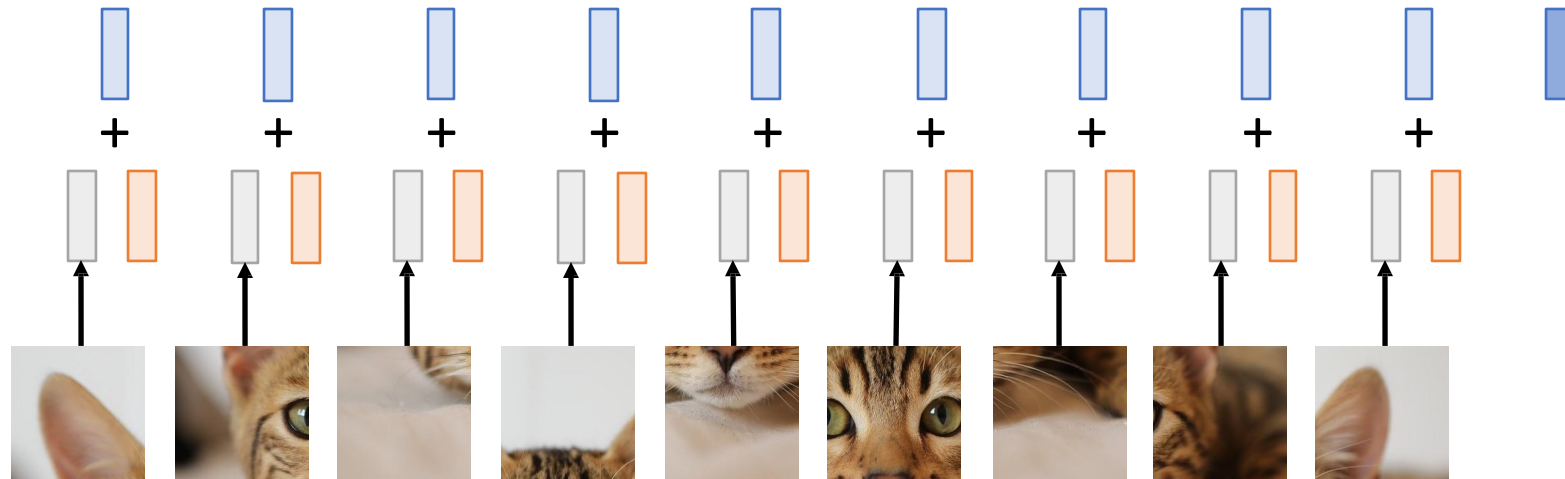
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Transformer

Add positional  
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dim vector per position

Linear projection to  
D-dimensional vector

N input patches, each  
of shape 3x16x16



Special extra input:  
**classification token**  
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Dosovitskiy et al, "An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale", ICLR 2021

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# Vision Transformer (ViT)

In practice: take 224x224 input image,  
divide into 14x14 grid of 16x16 pixel  
patches (or 16x16 grid of 14x14 patches)

With 48 layers, 16 heads per  
layer, all attention matrices  
take 112 MB (or 192MB)

Output vectors



Linear projection  
to C-dim vector  
of predicted  
class scores

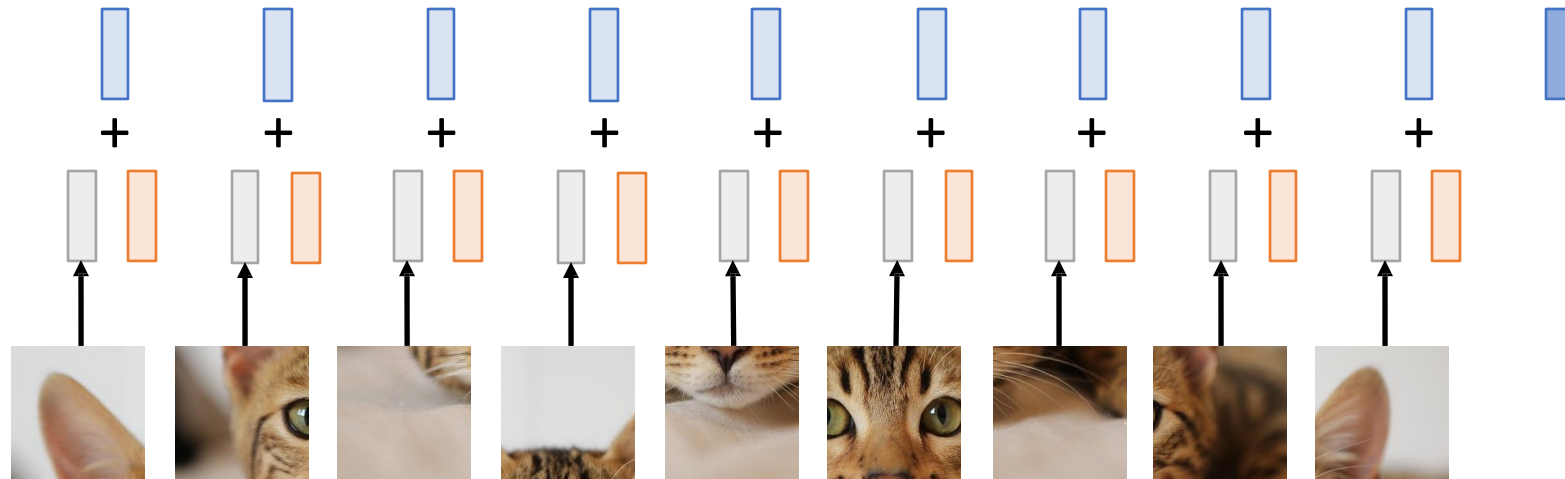
Exact same as  
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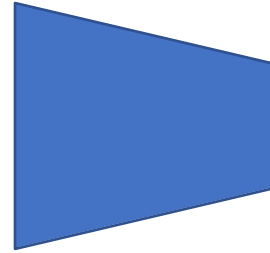
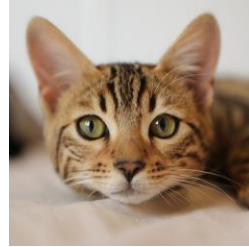
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# Improving ViT: Distillation

Step 1: Train a **teacher model** on images and ground-truth labels



$$\begin{aligned} P(\text{cat}) &= 0.9 \\ P(\text{dog}) &= 0.1 \end{aligned}$$



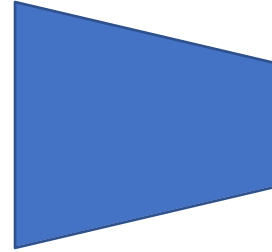
Cross  
Entropy  
Loss



GT label:  
Cat

# Improving ViT: Distillation

Step 1: Train a **teacher model** on images and ground-truth labels

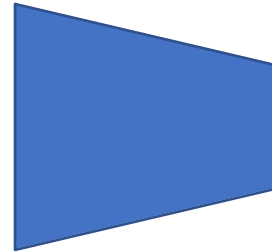
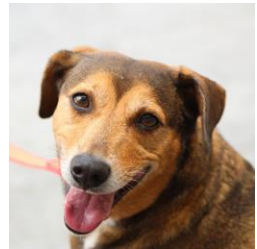


$$\begin{aligned} P(\text{cat}) &= 0.9 \\ P(\text{dog}) &= 0.1 \end{aligned}$$

Cross  
Entropy  
Loss

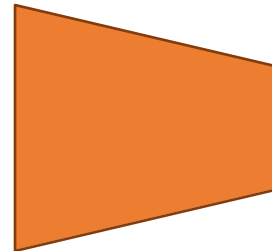
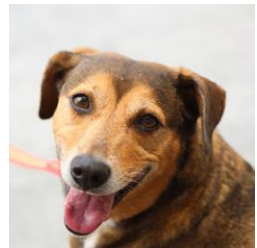
GT label:  
Cat

Step 2: Train a **student model** to match predictions from the **teacher**



$$\begin{aligned} P(\text{cat}) &= 0.1 \\ P(\text{dog}) &= 0.9 \end{aligned}$$

KL Divergence Loss

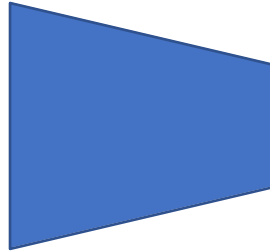


$$\begin{aligned} P(\text{cat}) &= 0.2 \\ P(\text{dog}) &= 0.8 \end{aligned}$$



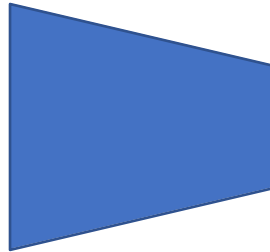
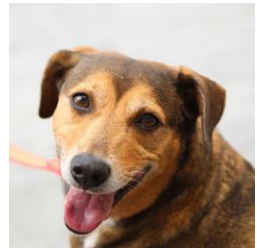
# Improving ViT: Distillation

Step 1: Train a **teacher model** on images and ground-truth labels

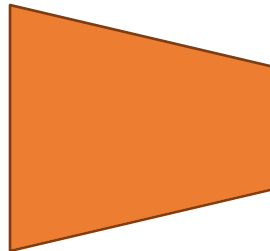
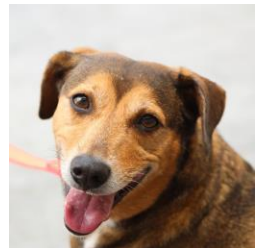


$P(\text{cat}) = 0.9$   
 $P(\text{dog}) = 0.1$  → Cross Entropy Loss ← GT label: Cat

Step 2: Train a **student model** to match predictions from the **teacher** (sometimes also to match GT labels)



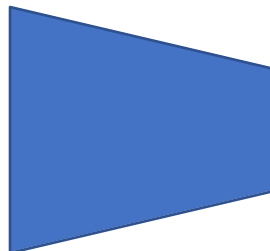
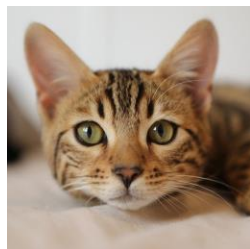
$P(\text{cat}) = 0.1$   
 $P(\text{dog}) = 0.9$  → KL Divergence Loss



$P(\text{cat}) = 0.2$   
 $P(\text{dog}) = 0.8$  → Cross Entropy Loss ← GT label: Dog

# Improving ViT: Distillation

Step 1: Train a **teacher model** on images and ground-truth labels



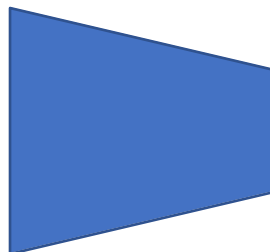
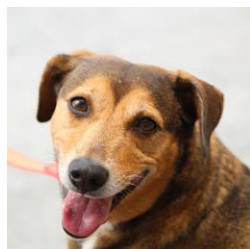
Often works better than training student from scratch (especially if teacher is bigger than student)

$$P(\text{cat}) = 0.9$$
$$P(\text{dog}) = 0.1$$

Cross  
Entropy  
Loss

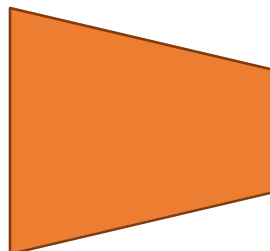
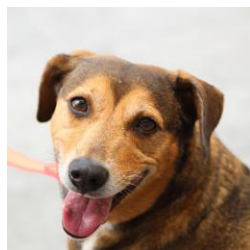
GT label:  
Cat

Step 2: Train a **student model** to match predictions from the **teacher** (sometimes also to match GT labels)



$$P(\text{cat}) = 0.1$$
$$P(\text{dog}) = 0.9$$

KL Divergence Loss



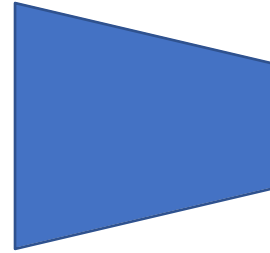
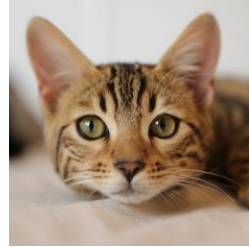
$$P(\text{cat}) = 0.2$$
$$P(\text{dog}) = 0.8$$

Cross  
Entropy  
Loss

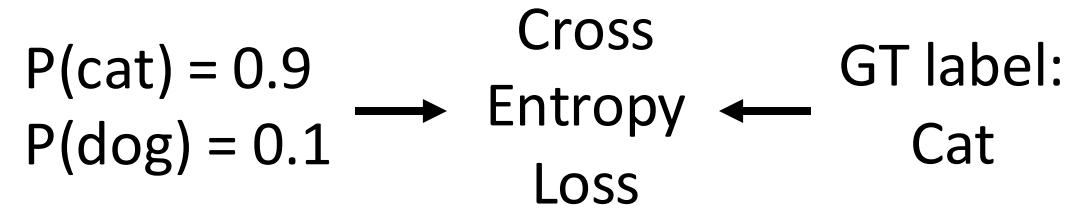
GT label:  
Dog

# Improving ViT: Distillation

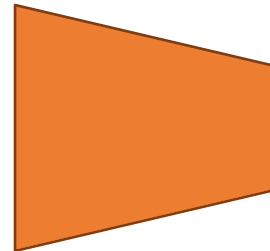
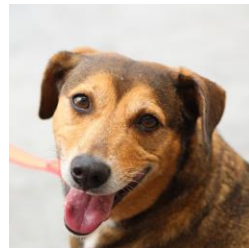
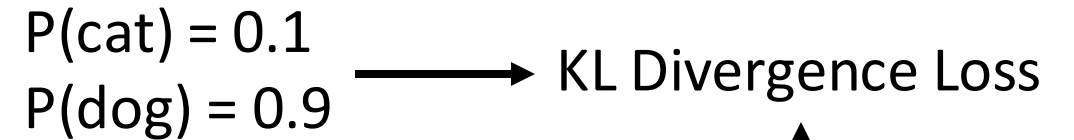
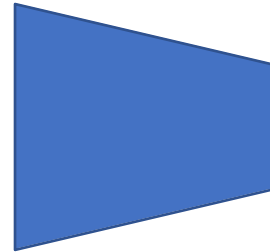
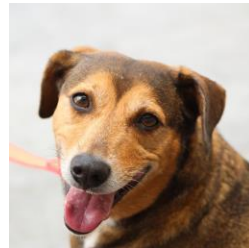
Step 1: Train a **teacher model** on images and ground-truth labels



Can also train student on **unlabeled** data! (Semi-supervised learning)



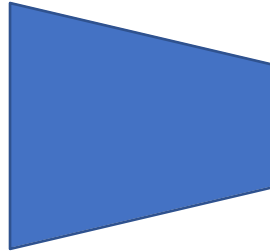
Step 2: Train a **student model** to match predictions from the **teacher** (sometimes also to match GT labels)





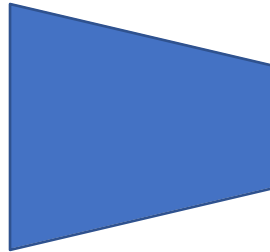
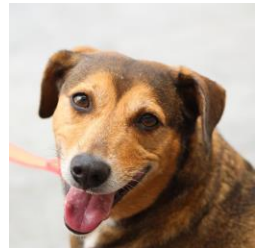
# Improving ViT: Distillation

Step 1: Train a **teacher CNN** on ImageNet

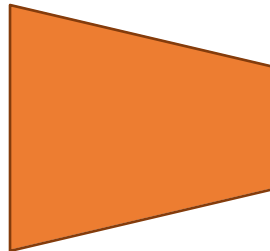
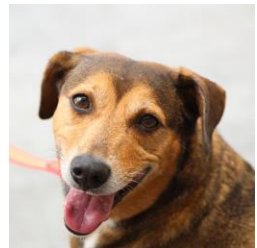


$P(\text{cat}) = 0.9$   
 $P(\text{dog}) = 0.1$  → Cross Entropy Loss ← GT label: Cat

Step 2: Train a **student ViT** to match ImageNet predictions from the **teacher CNN** (and match GT labels)

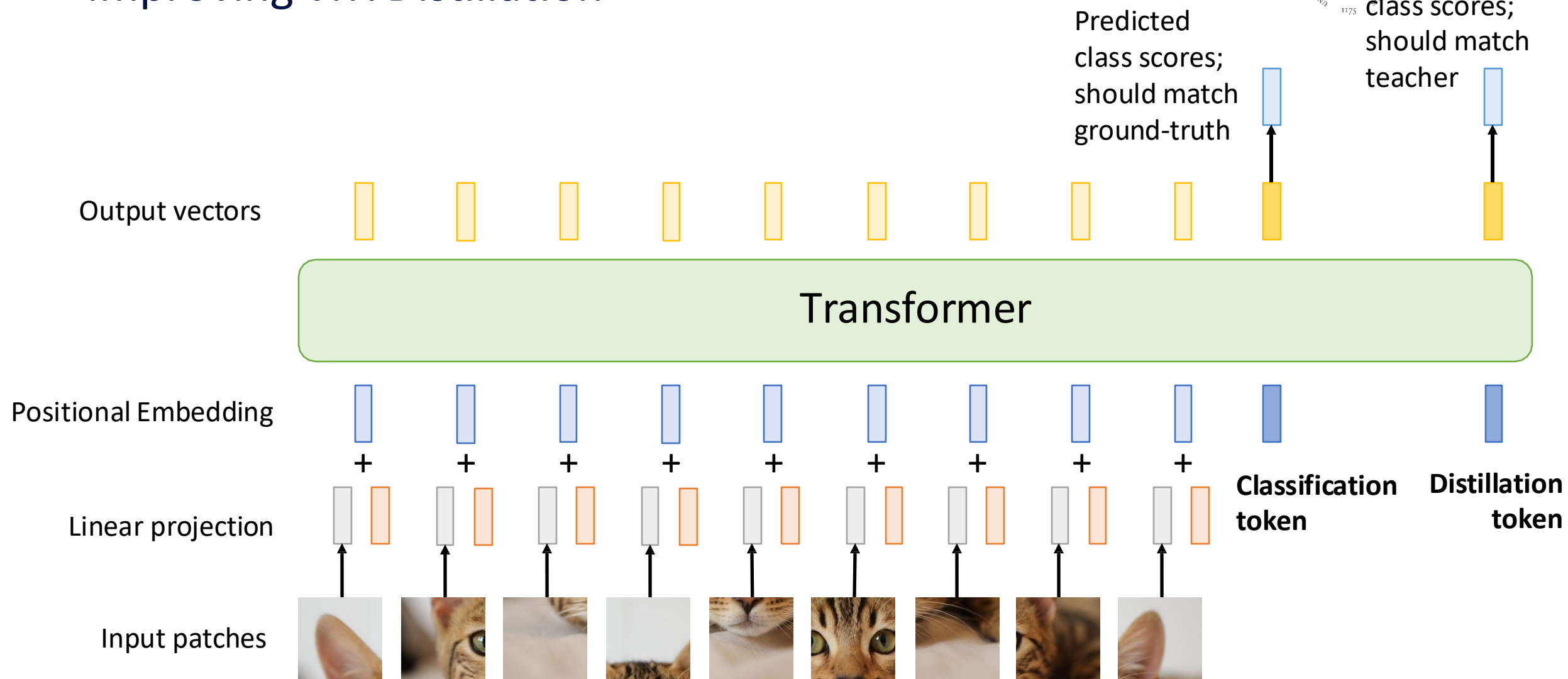


$P(\text{cat}) = 0.1$   
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$P(\text{cat}) = 0.2$   
 $P(\text{dog}) = 0.8$  → Cross Entropy Loss ← GT label: Dog

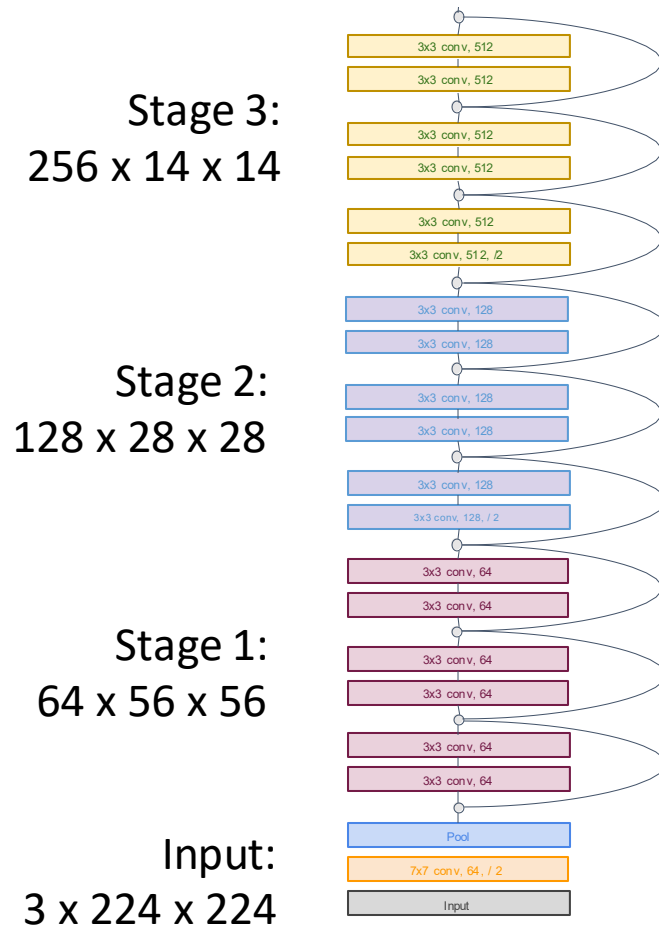
# Improving ViT: Distillation



# ViT vs CNN

In most CNNs (including ResNets), **decrease** resolution and **increase** channels as you go deeper in the network (Hierarchical architecture)

Useful since objects in images can occur at various scales

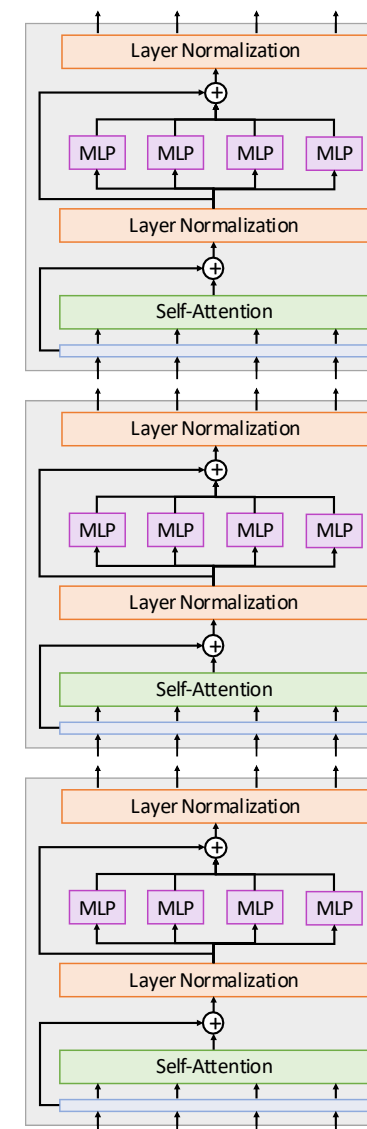
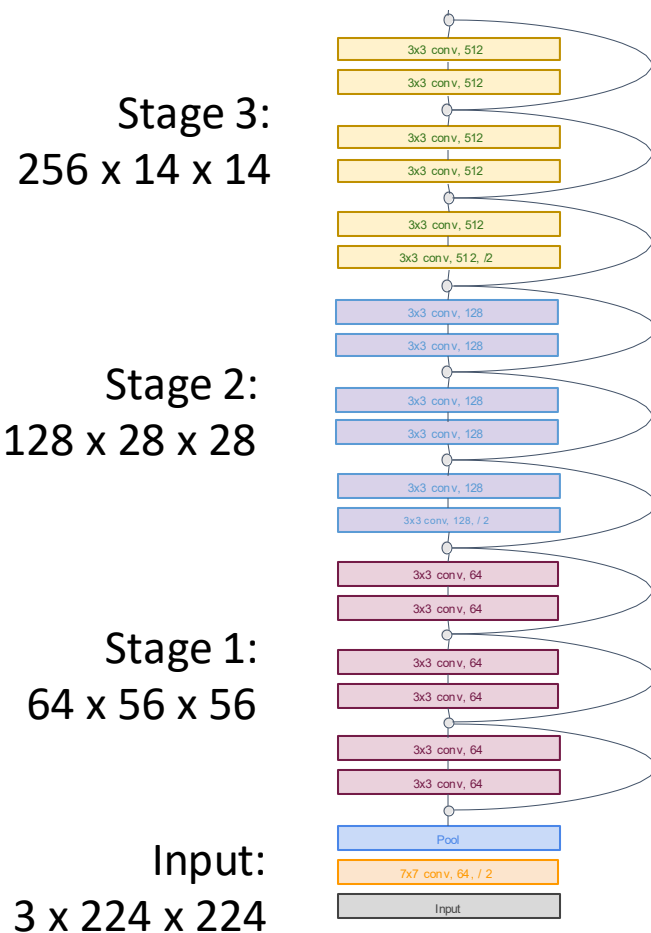


# ViT vs CNN

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Useful since objects in images can occur at various scales

In a ViT, all blocks have same resolution and number of channels (Isotropic architecture)



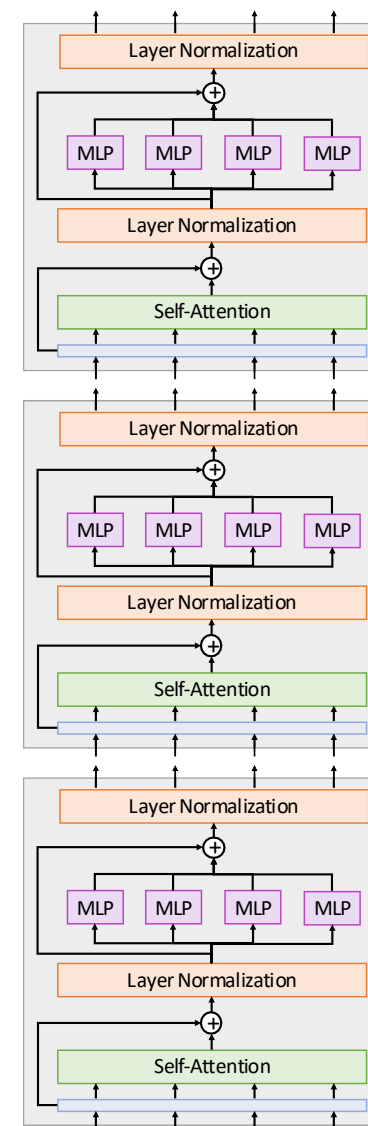
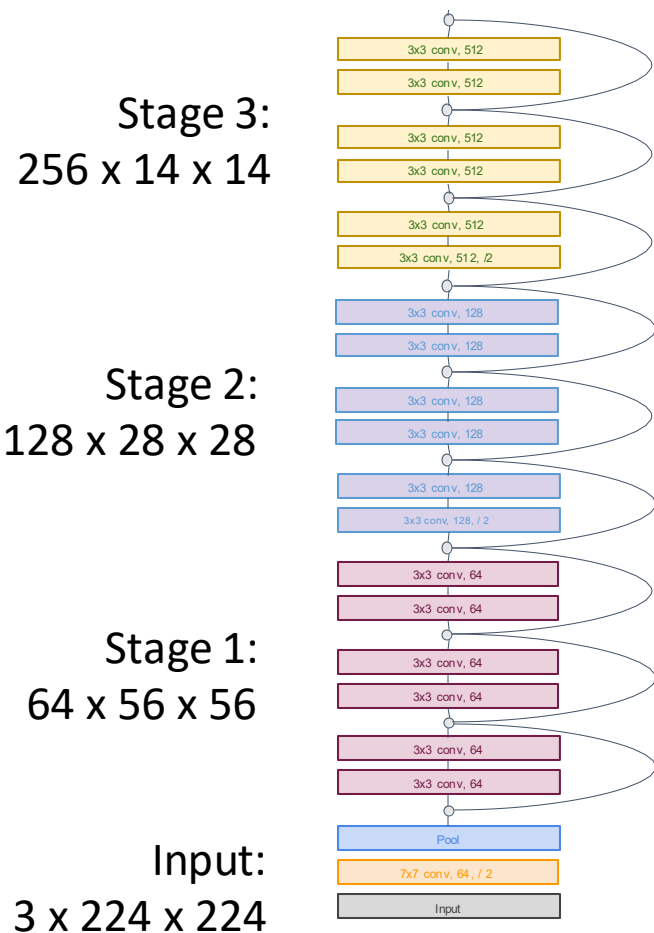
# ViT vs CNN

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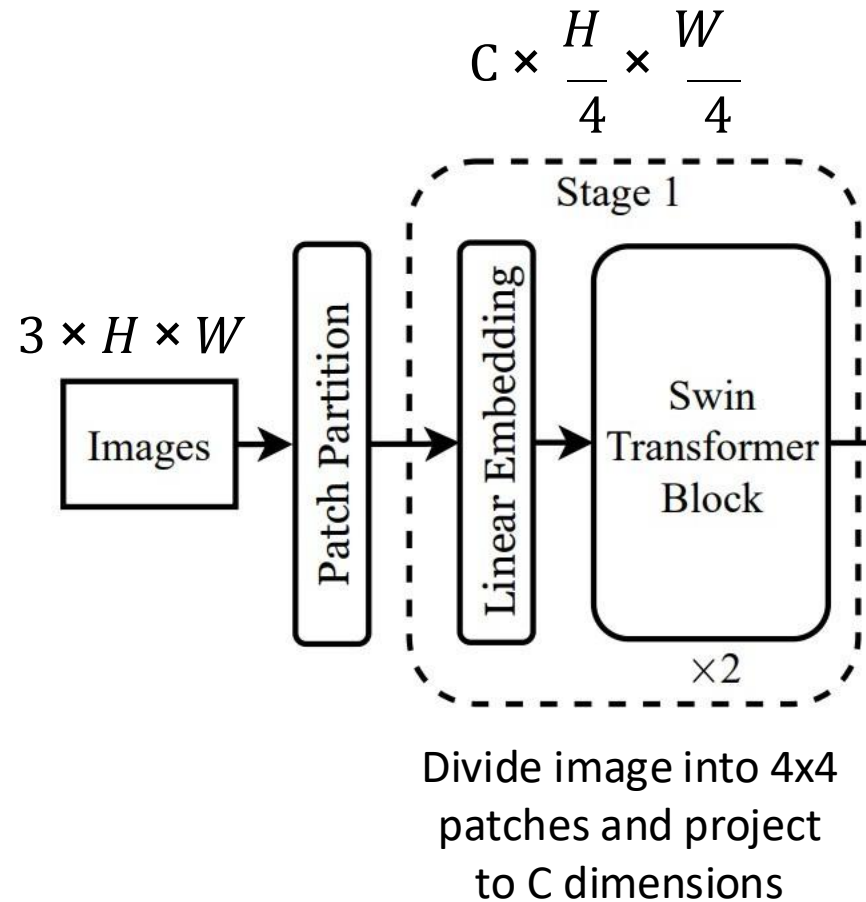
Useful since objects in images can occur at various scales

In a ViT, all blocks have same resolution and number of channels (Isotropic architecture)

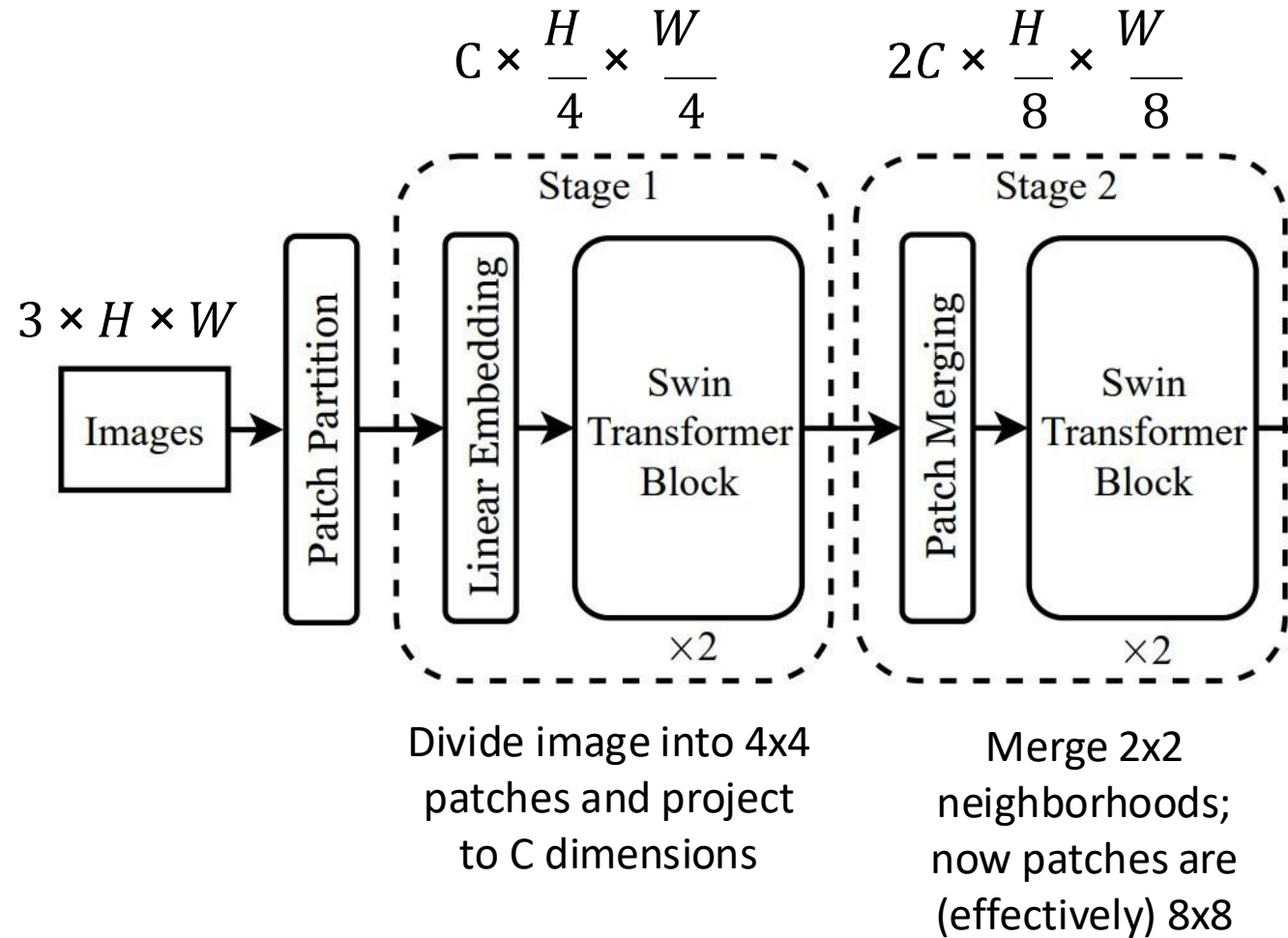
Can we build a **hierarchical** ViT model?



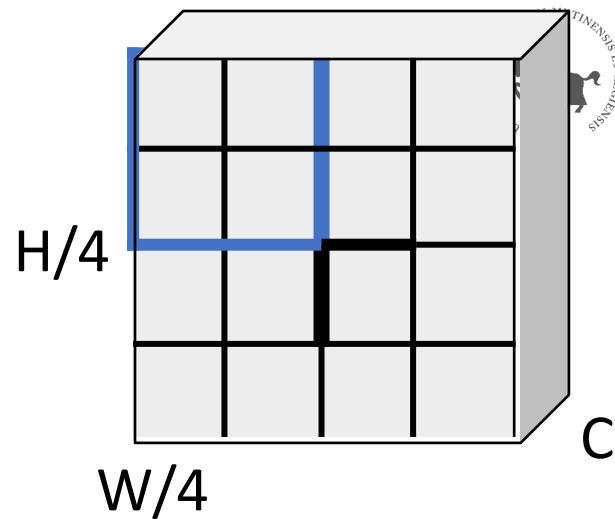
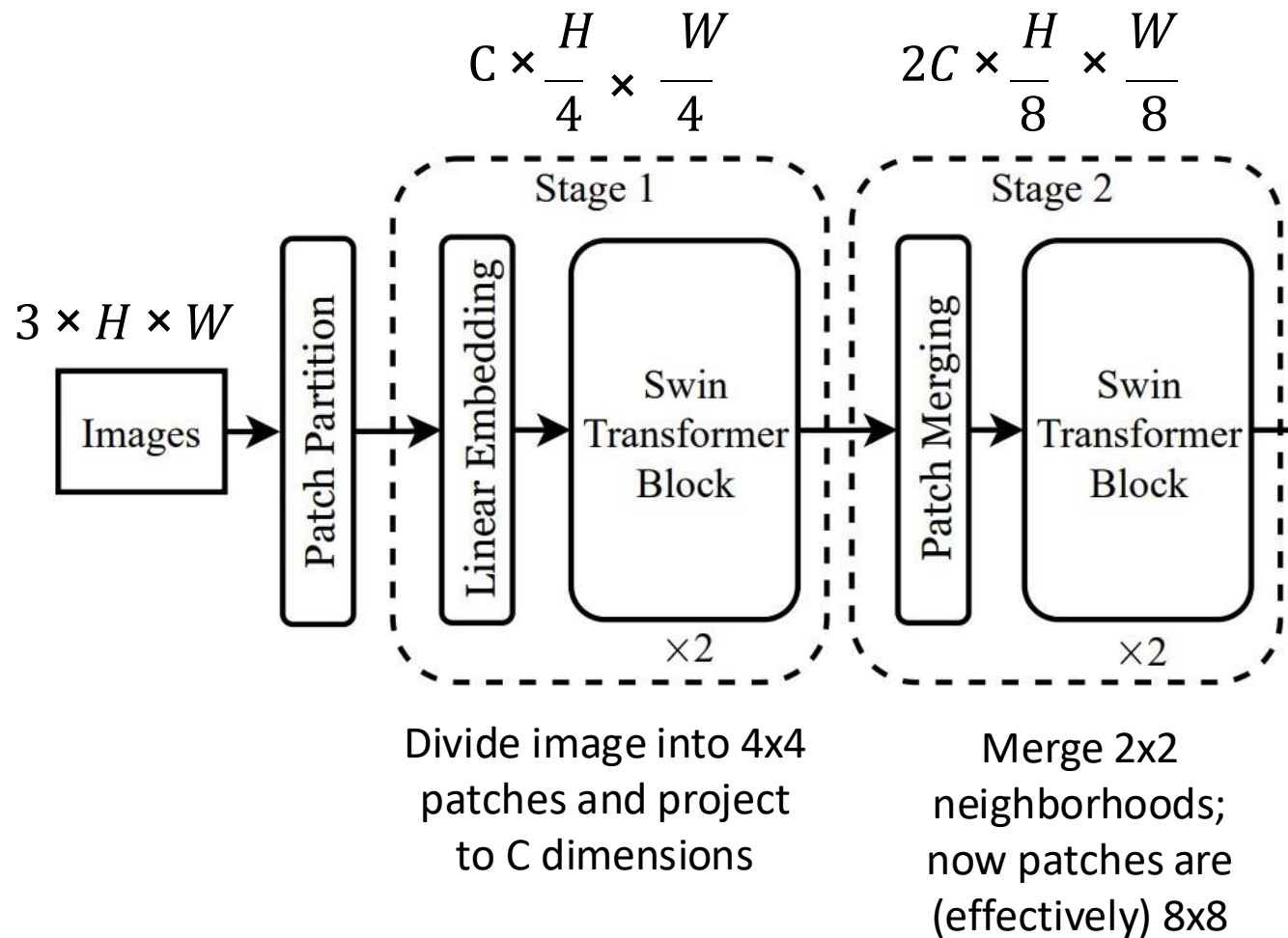
# Hierarchical ViT: Swin Transformer



# Hierarchical ViT: Swin Transformer

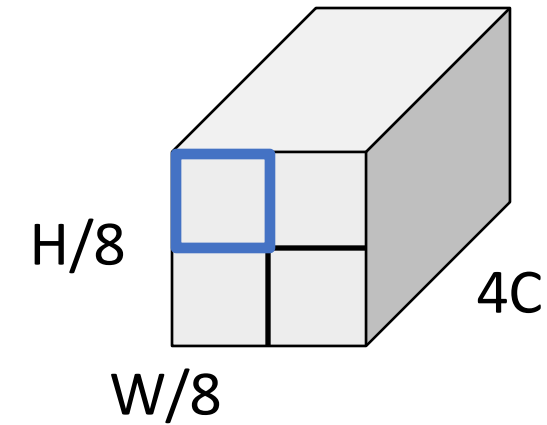
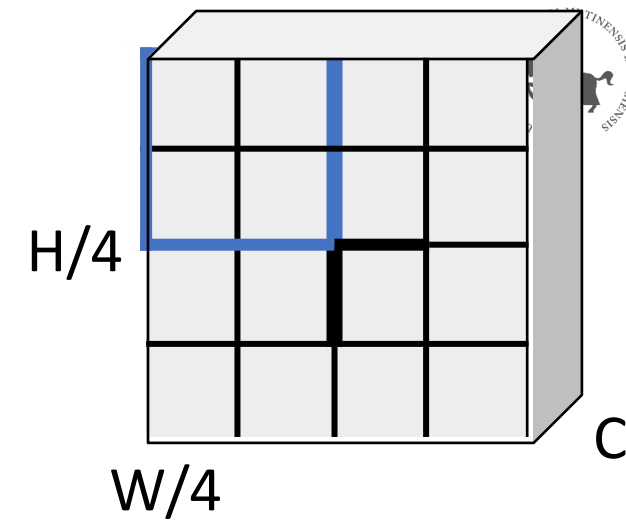
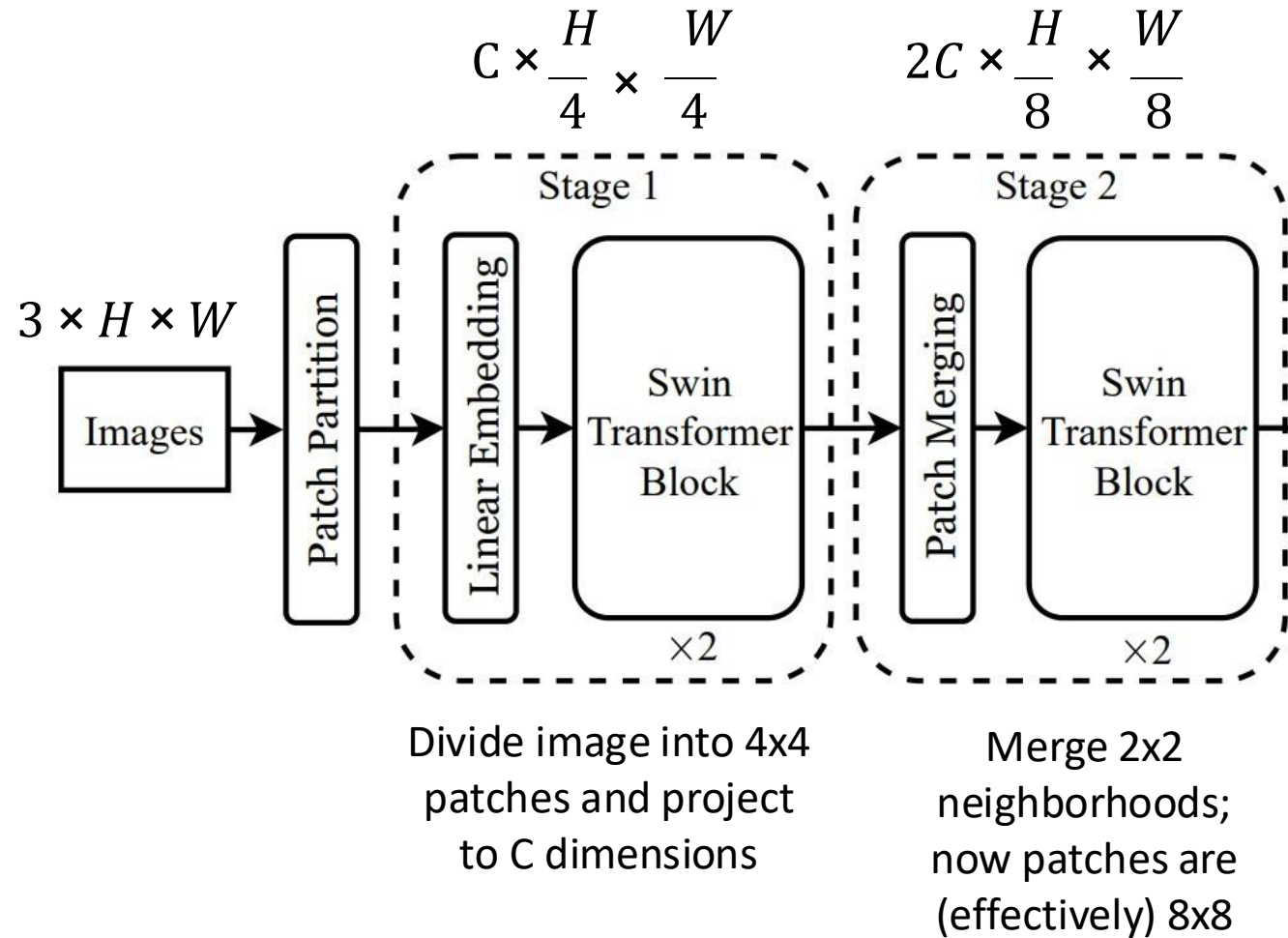


# Hierarchical ViT: Swin Transformer



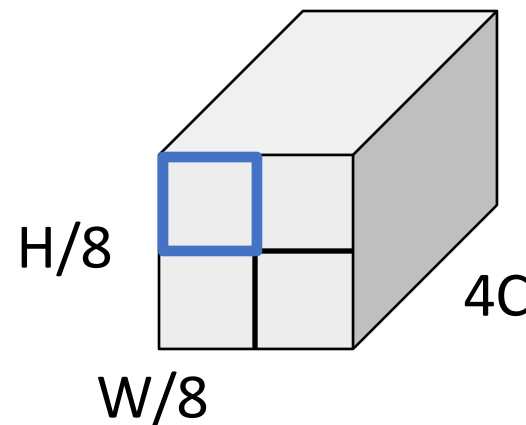
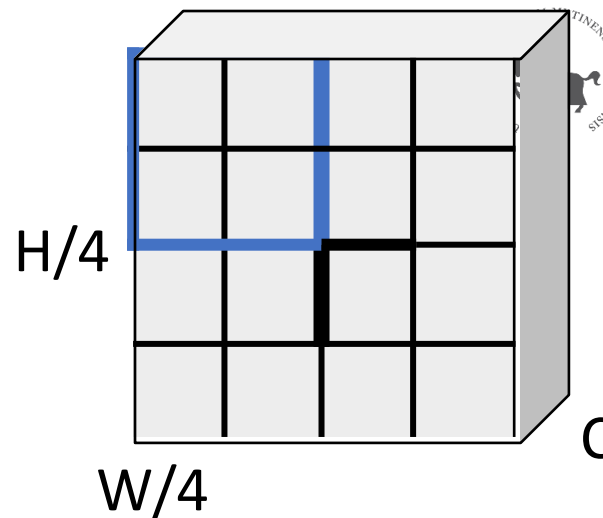
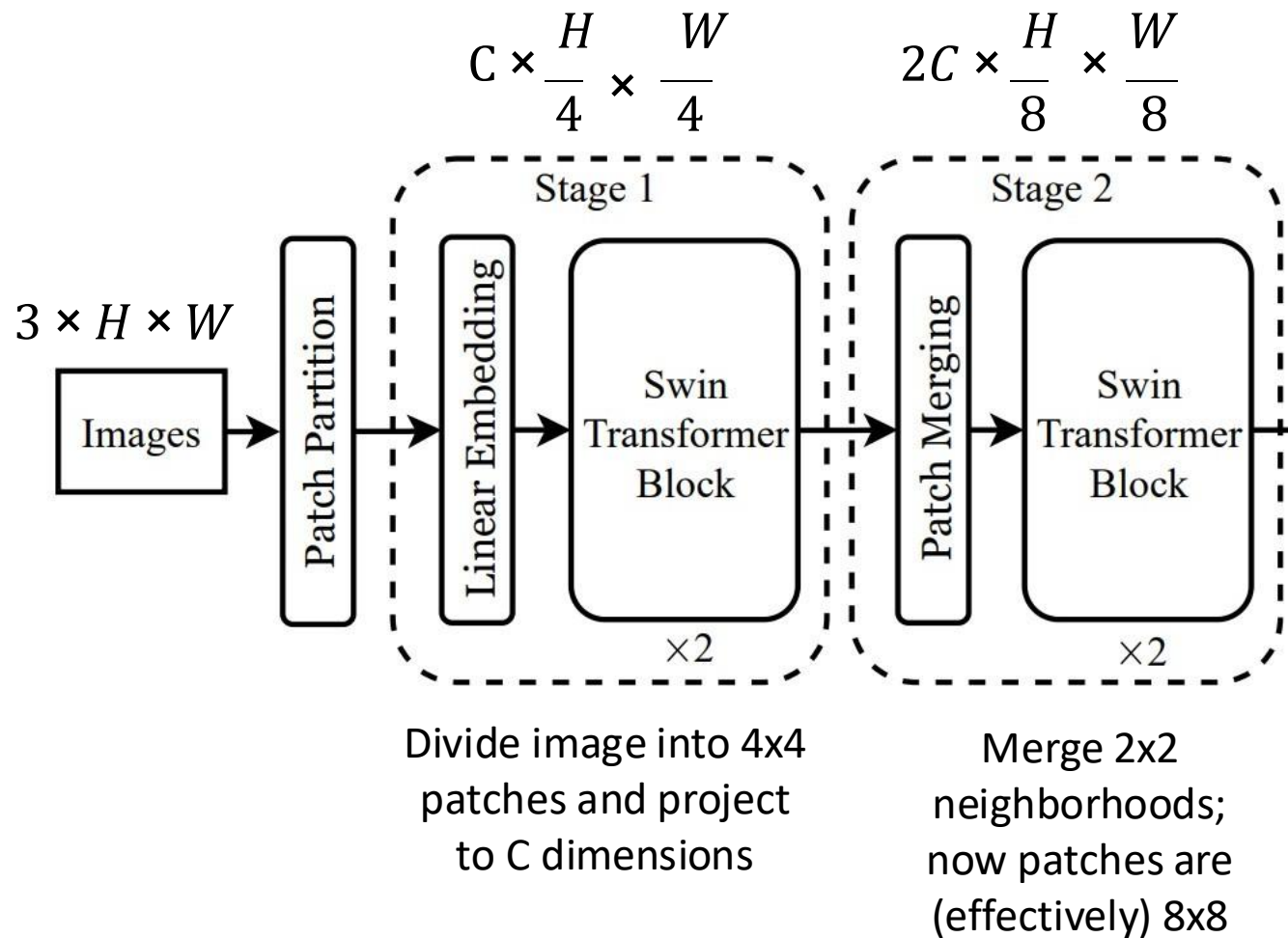


# Hierarchical ViT: Swin Transformer

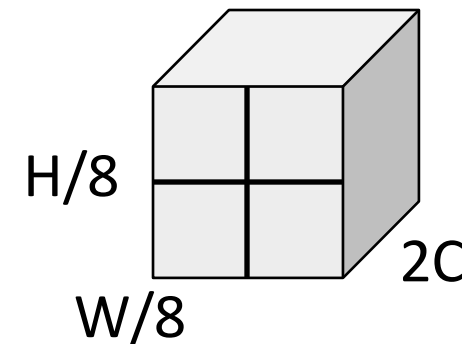


Concatenate groups of  $2 \times 2$  features

# Hierarchical ViT: Swin Transformer

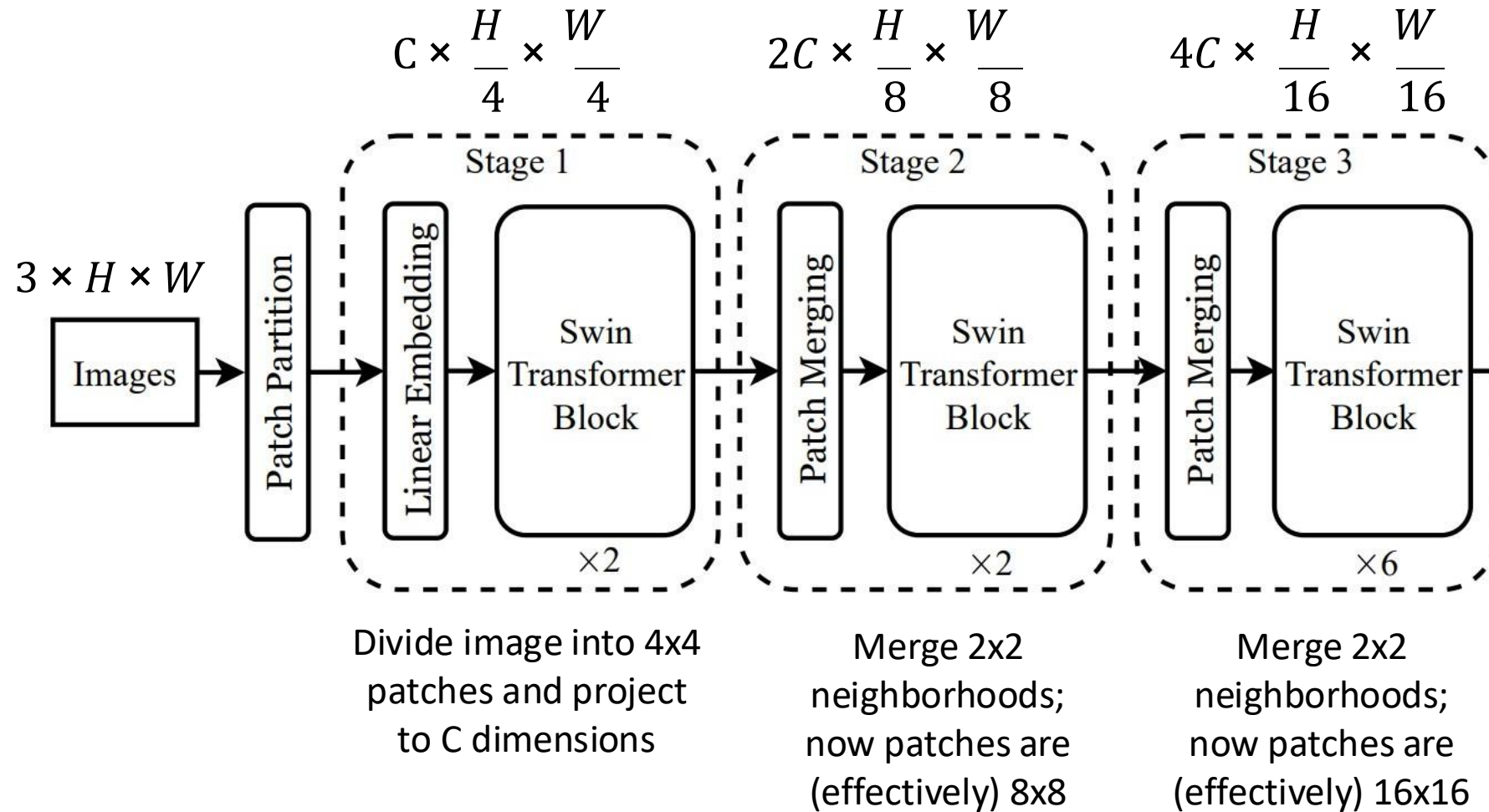


Concatenate groups of  $2 \times 2$  features

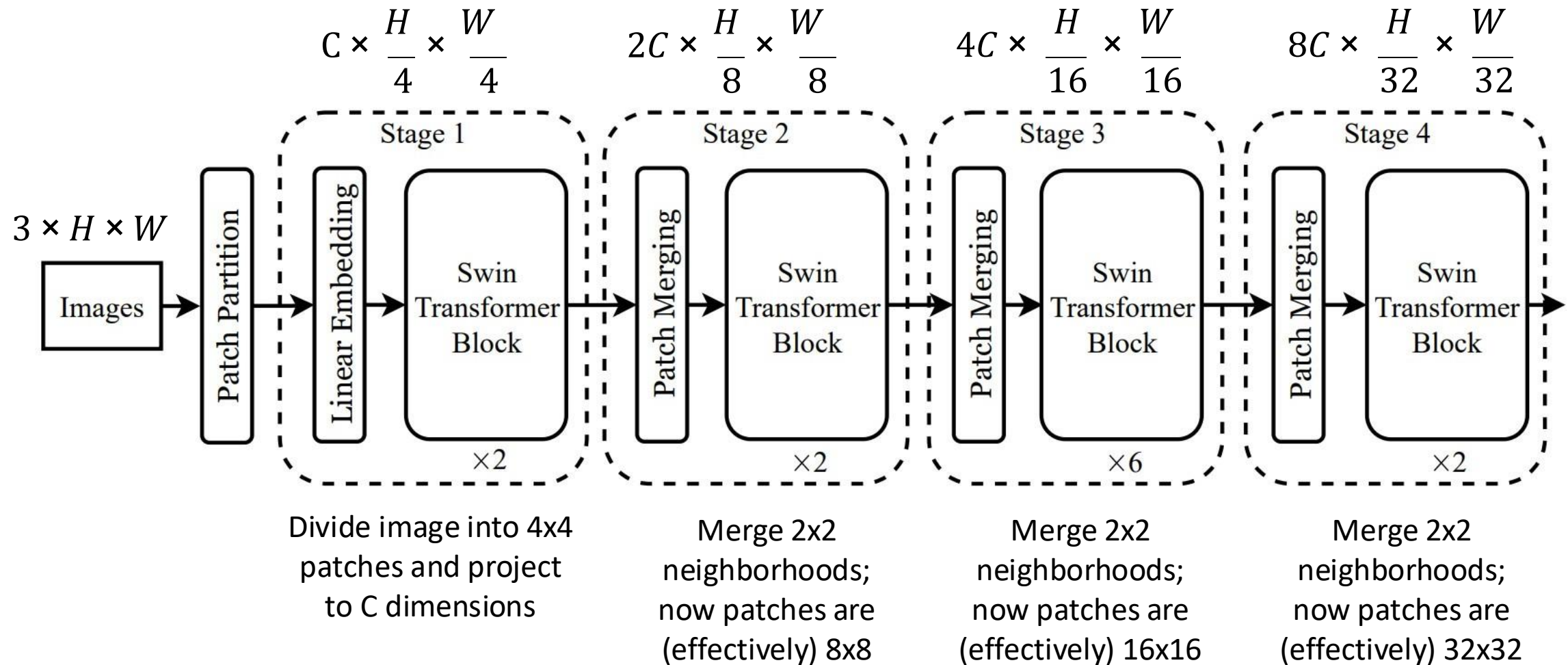


Linear projection from  $4C$  to  $2C$  channels ( $1 \times 1$  conv)

# Hierarchical ViT: Swin Transformer

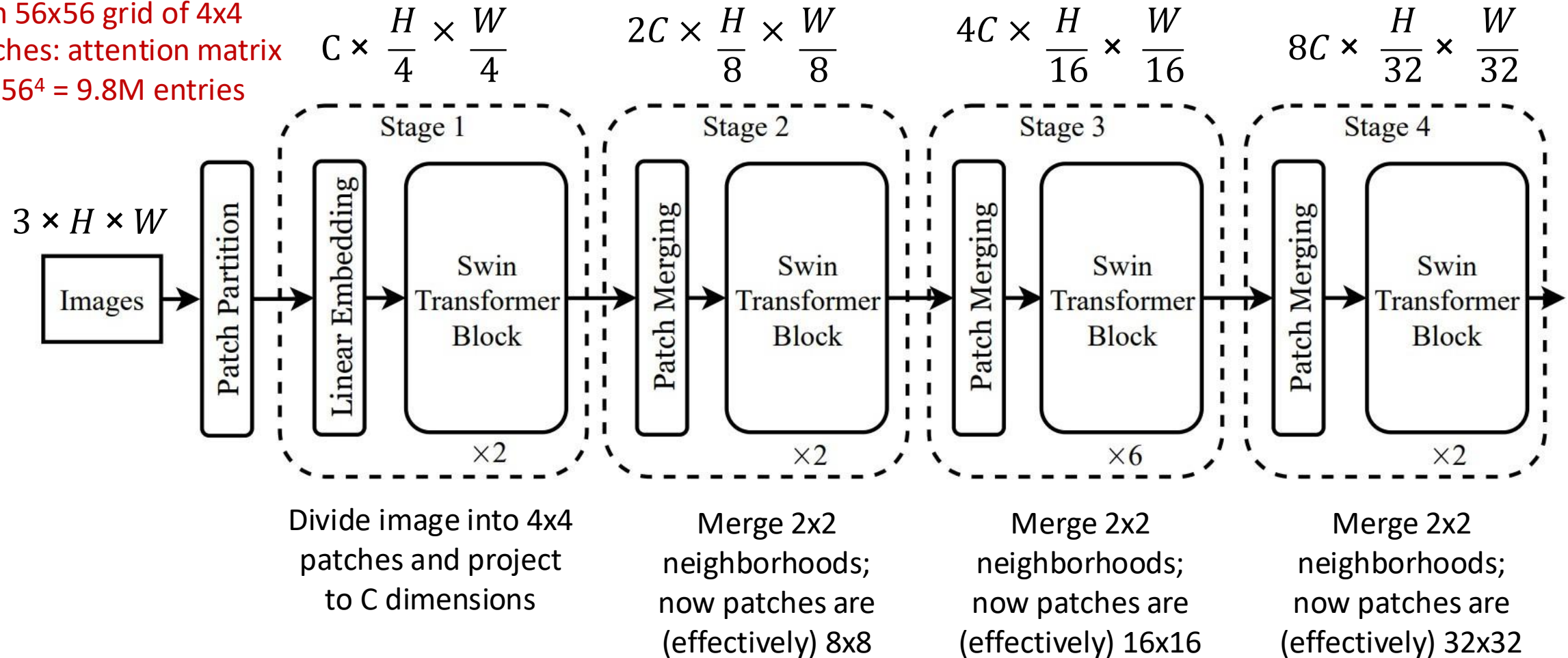


# Hierarchical ViT: Swin Transformer



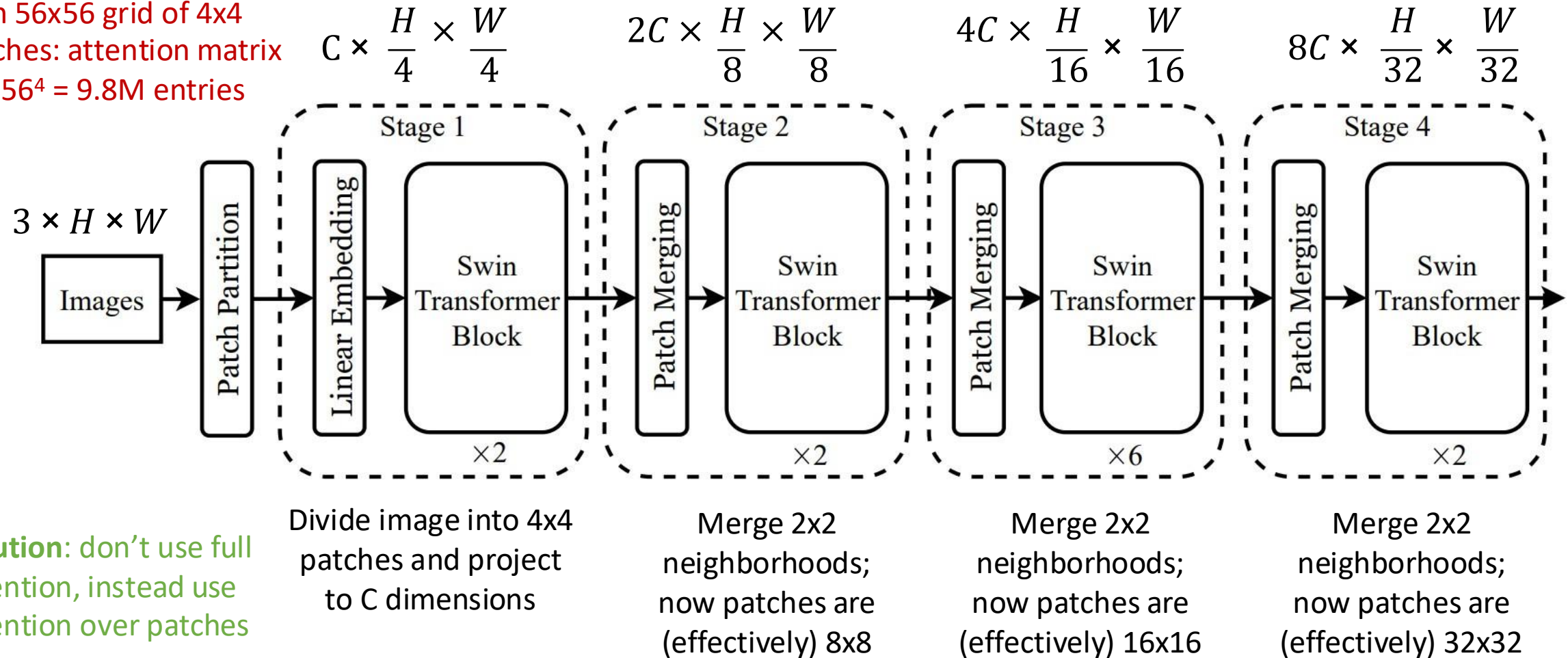
# Hierarchical ViT: Swin Transformer

**Problem:** 224x224 image  
with 56x56 grid of 4x4  
patches: attention matrix  
has  $56^4 = 9.8\text{M}$  entries



# Hierarchical ViT: Swin Transformer

**Problem:** 224x224 image  
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patches: attention matrix  
has  $56^4 = 9.8\text{M}$  entries



# Swin Transformer: Window Attention

With  $H \times W$  grid of **tokens**, each attention matrix is  $H^2W^2$  – **quadratic** in image size



# Swin Transformer: Window Attention



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Rather than allowing each **token** to attend to all other tokens, instead divide into **windows** of  $M \times M$  tokens (here  $M=4$ ); only compute attention within each window



# Swin Transformer: Window Attention



With  $H \times W$  grid of **tokens**, each attention matrix is  $H^2W^2$  – **quadratic** in image size

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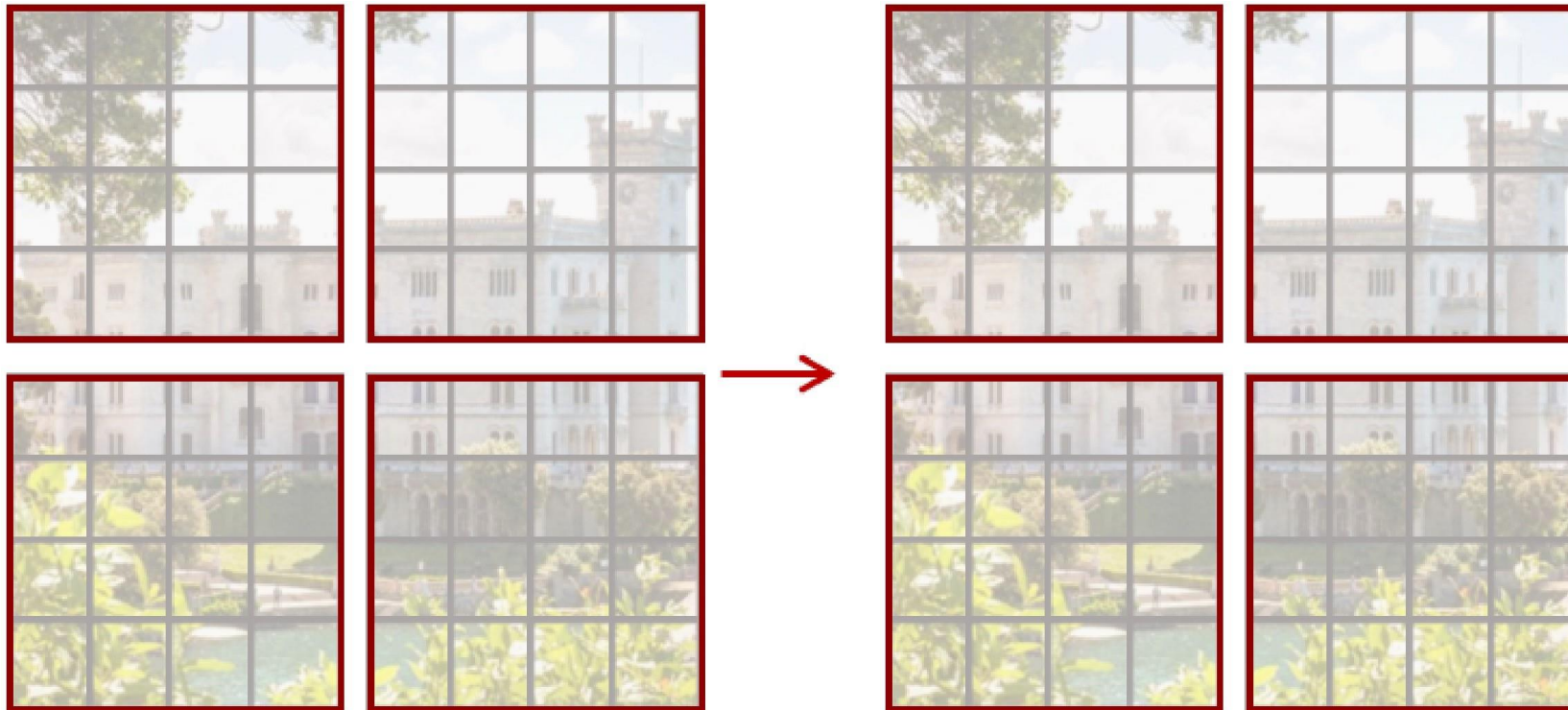
Total size of all attention matrices is now:  
 $M^4(H/M)(W/M) = M^2HW$

**Linear** in image size for fixed  $M$ !

Swin uses  $M=7$  throughout the network

# Swin Transformer: Window Attention

**Problem:** tokens only interact with other tokens within the same window; no communication across windows

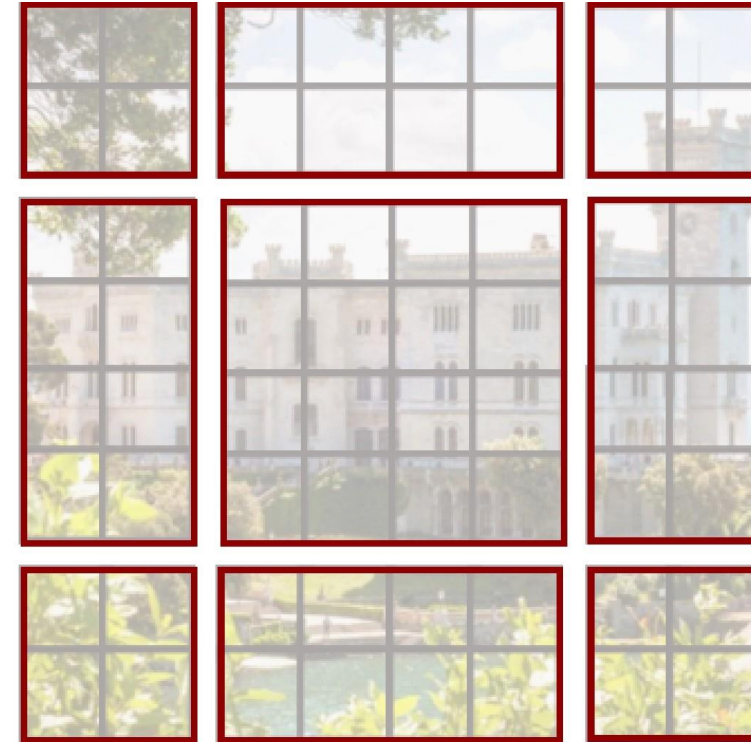


# Swin Transformer: Shifted Window Attention

**Solution:** Alternate between normal windows and shifted windows in successive Transformer blocks



Block L: Normal windows

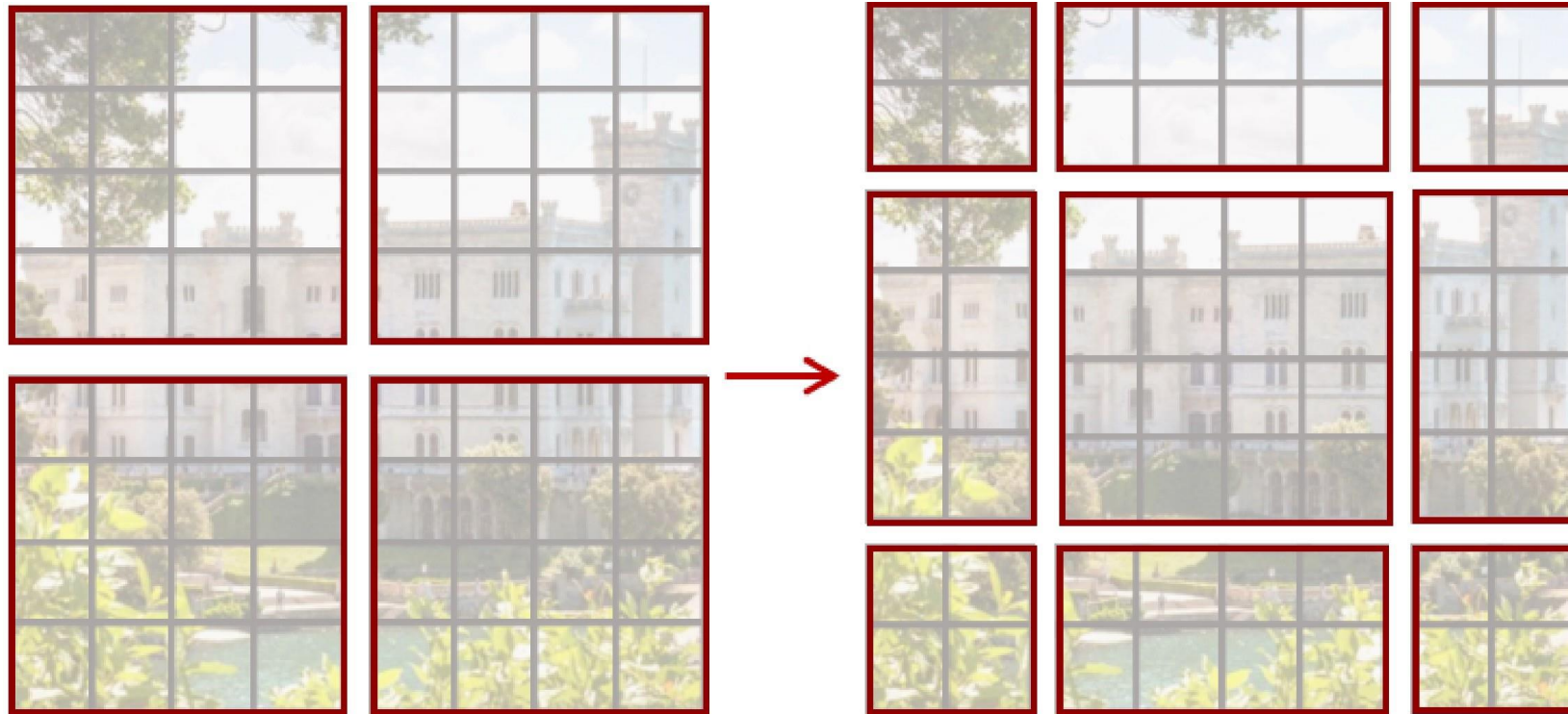


Block L+1: Shifted Windows

Ugly detail:  
Non-square  
windows at  
edges and  
corners

# Swin Transformer: Shifted Window Attention

**Solution:** Alternate between normal windows and shifted windows in successive Transformer blocks



Block L: Normal windows

Block L+1: Shifted Windows

Detail: Relative Positional Bias

ViT adds positional embedding to input tokens, encodes *absolute position* of each token in the image

Swin does not use positional embeddings, instead encodes *relative position* between patches when computing attention:

Attention with relative bias:

$$A = \text{Softmax} \left( \frac{QK^T}{\sqrt{D}} + B \right) V$$

$Q, K, V: M^2 \times D$  (Query, Key, Value)

$B: M^2 \times M^2$  (learned biases)

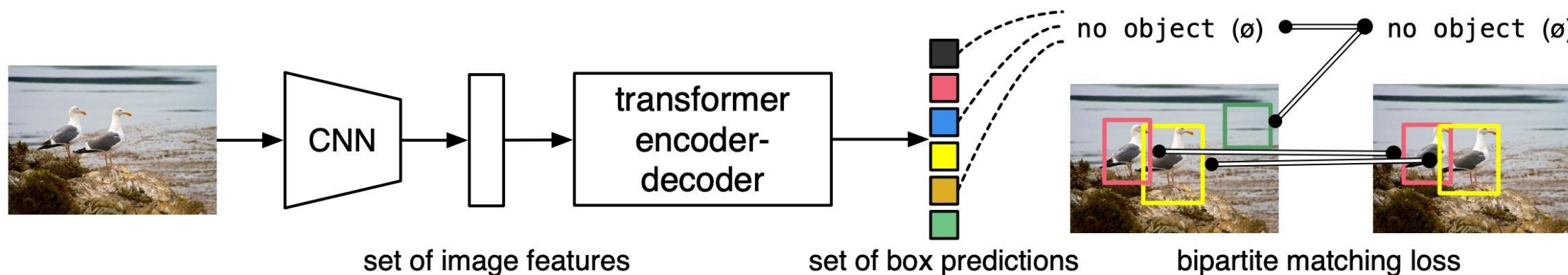


# Object Detection with Transformers: DETR

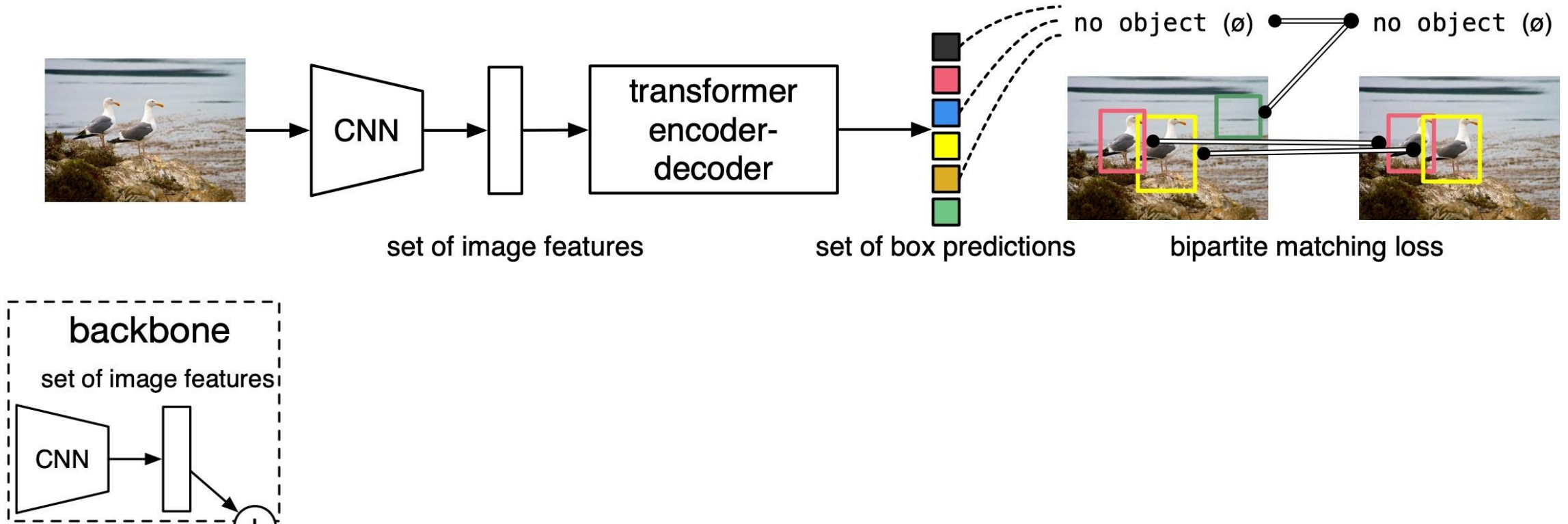
Simple object detection pipeline: directly output a set of boxes from a Transformer

No anchors, no regression of box transforms

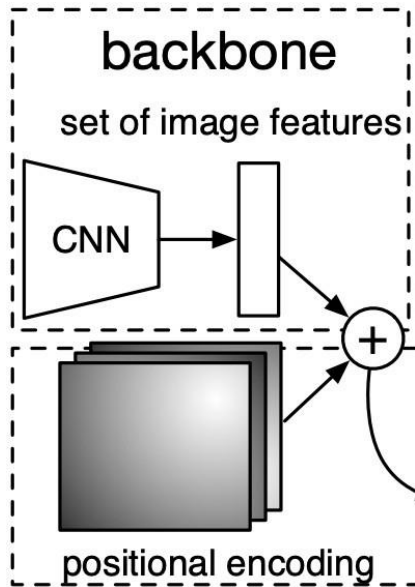
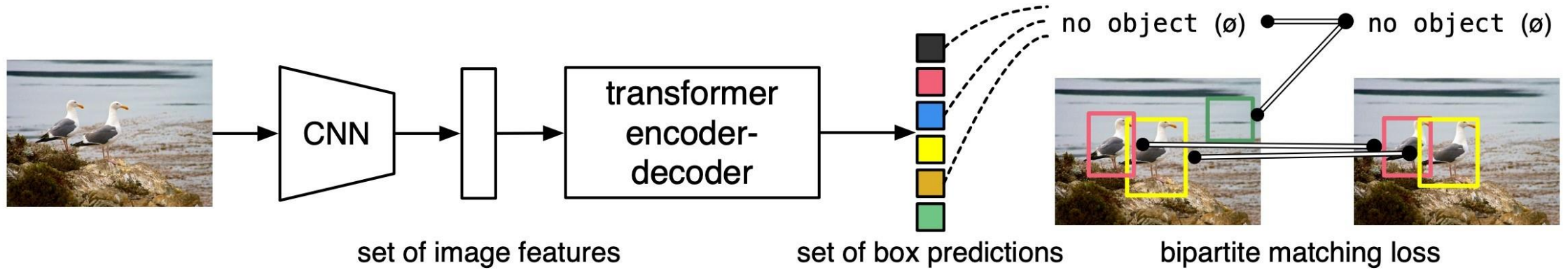
Match predicted boxes to GT boxes with bipartite matching; train to regress box coordinates



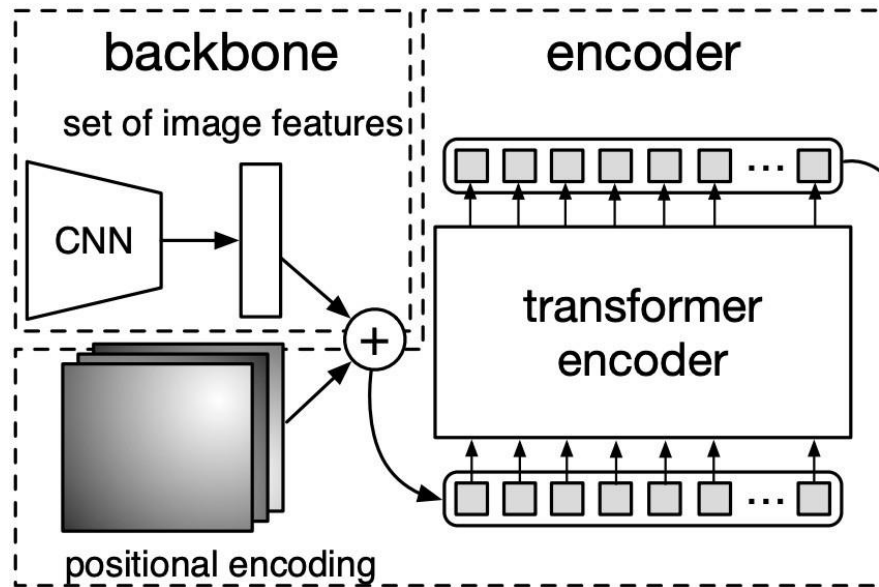
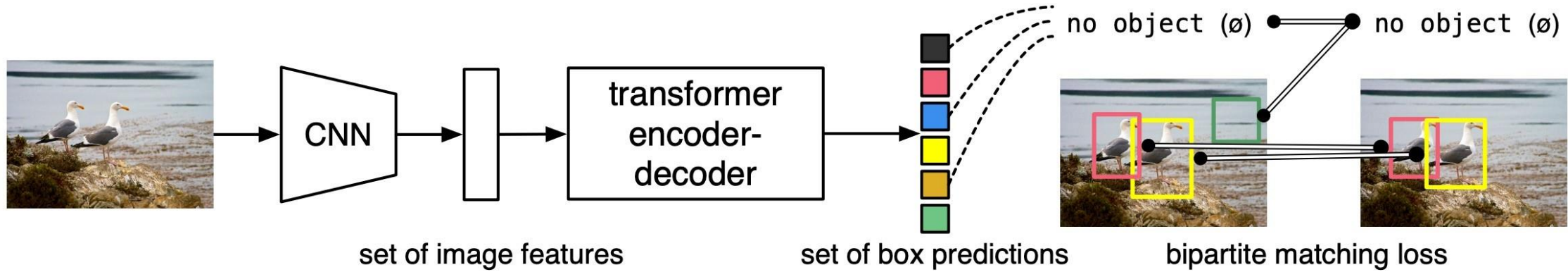
# Object Detection with Transformers: DETR



# Object Detection with Transformers: DETR

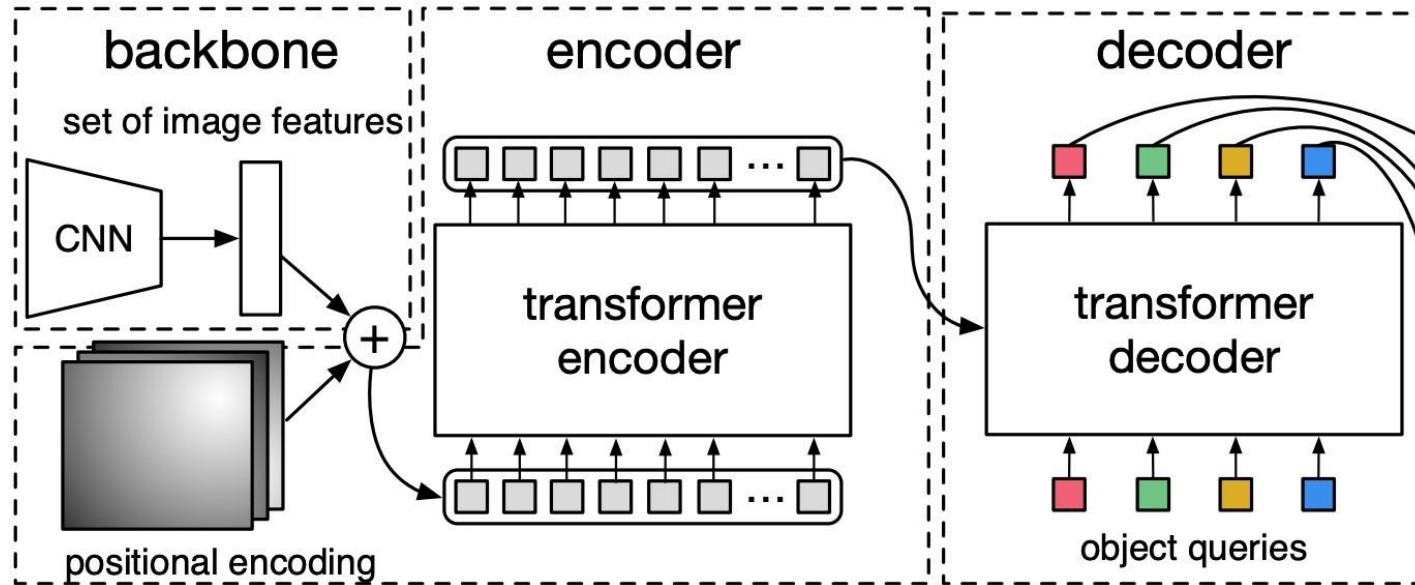
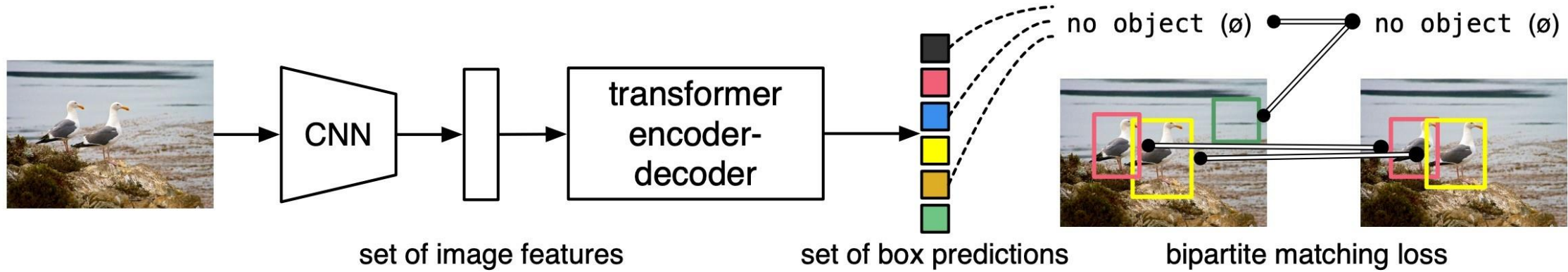


# Object Detection with Transformers: DETR

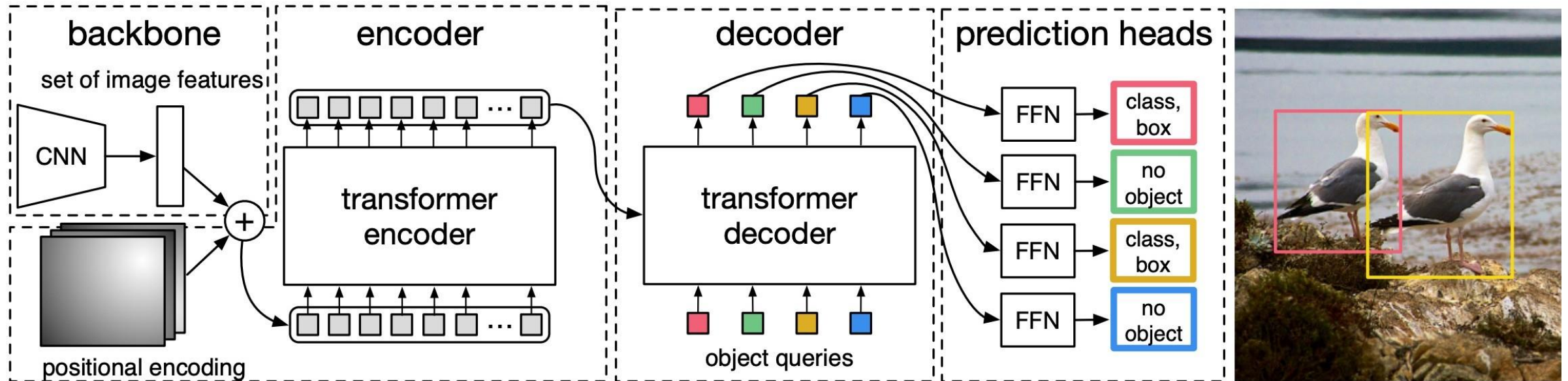
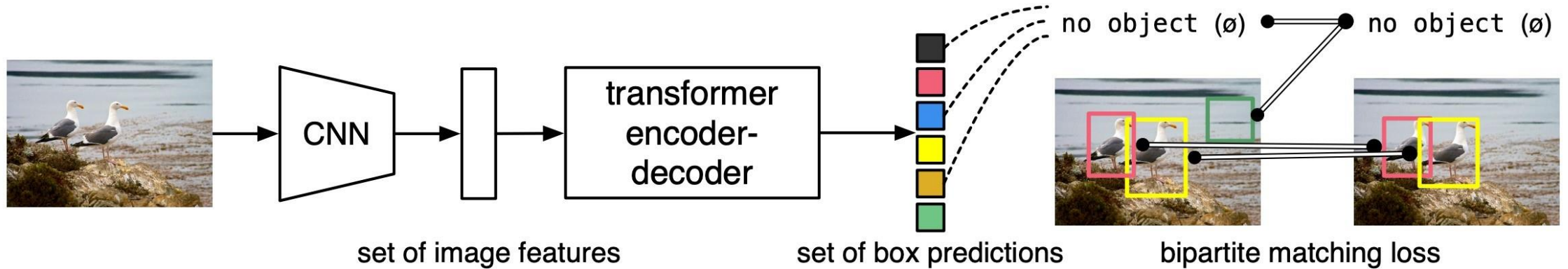




# Object Detection with Transformers: DETR



# Object Detection with Transformers: DETR





# MULTIMODAL MODELS

# What is multimodality?

## multimodal adjective

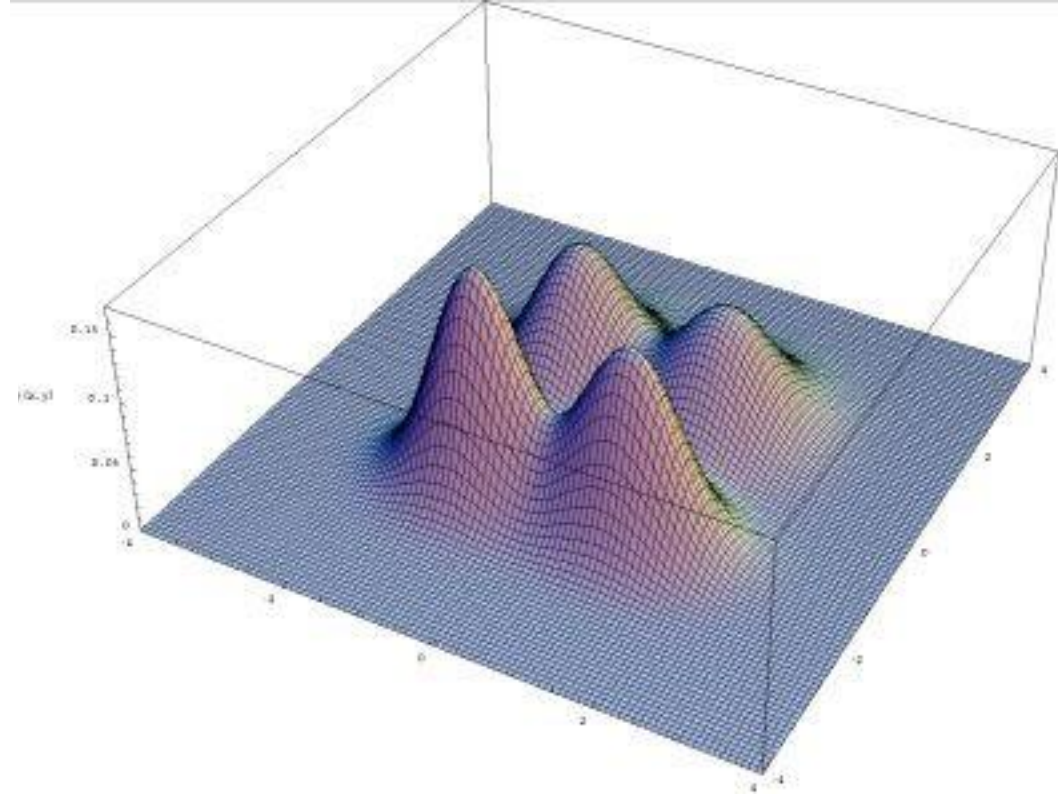
mul·ti·mod·al    ˌməl-tē-'mō-dəl    -tī-

: having or involving several modes, modalities, or maxima

| *multimodal* distributions

| *multimodal* therapy

In our case, focusing on NLP: text + one or more other *modality* (images, speech, audio, olfaction, others). We'll mostly focus on images as the other modality.





# Multimodal is hot right now

.. and/but has been “the next big thing” for almost a decade!

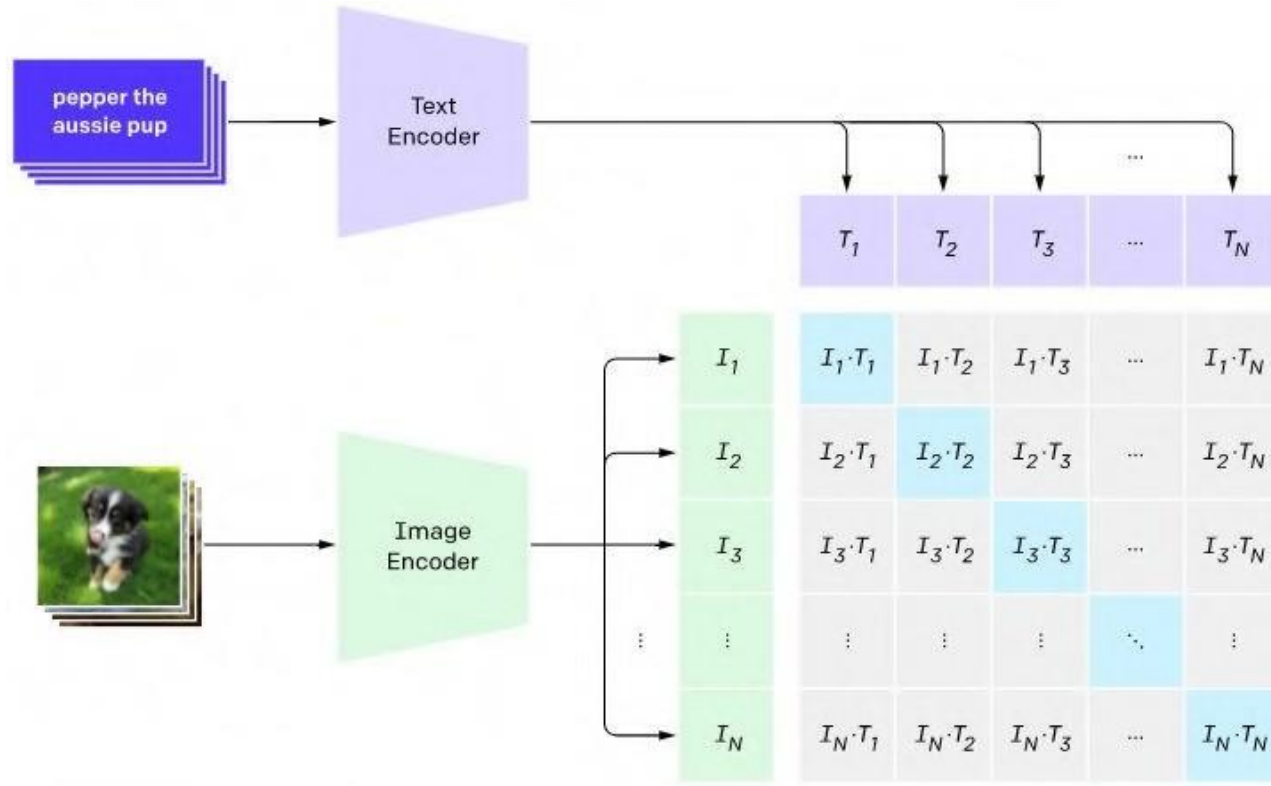
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## **Language Is Not All You Need: Aligning Perception with Language Models**

---

Shaohan Huang\*, Li Dong\*, Wenhui Wang\*, Yaru Hao\*, Saksham Singhal\*, Shuming Ma\*  
Tengchao Lv, Lei Cui, Owais Khan Mohammed, Qiang Liu, Kriti Aggarwal, Zewen Chi  
Johan Bjorck, Vishrav Chaudhary, Subhojit Som, Xia Song, Furu Wei<sup>†</sup>  
Microsoft

# CLIP: Models and Training Complexity



- Text encoder:
  - 12-layer Transformer with causal mask
- Image encoder:
  - ResNet families: RN50, RN101, RN50x4, RN50x16, RN50x64
  - ViT families: ViT-B/32, ViT-B/16, ViT-L/14

- Contrastive training to bridge the image and text embedding spaces
- Making embedding of (image, text) pairs similar and that of non-pairs dissimilar
- This embedding space is super helpful for performing searches across modalities
  - Can return the best caption given an image
  - Has impressive capabilities for zero-shot adaptation to unseen tasks, without the need for fine-tuning

# CLIP Variants

- Objective function or pretraining
  - Combining CLIP with label supervision (BASIC, UniCL, LiT, MOFI)
  - Contrastive + self-supervised image representation learning
    - Contrastive + Self-supervised methods like SimCLR (SLIP, DeCLIP, nCLIP)
    - Contrastive + Masked Image Modeling (EVA, EVA-02, MVP)
  - Fine-grained matching loss (FILIP)
  - Region-level pretraining (RegionCLIP, GLIP)
  - Sigmoid loss for language-image pre-training (SigCLIP)

Instead of the softmax-based contrastive loss, we propose a simpler alternative that does not require computing global normalization factors. The sigmoid-based loss processes every image-text pair independently, effectively turning the learning problem into the standard binary classification on the dataset of all pair combinations, with a positive labels for the matching pairs  $(I_i, T_i)$  and negative labels for all other pairs  $(I_i, T_{j \neq i})$ . It is defined as follows:

$$-\frac{1}{|\mathcal{B}|} \sum_{i=1}^{|\mathcal{B}|} \sum_{j=1}^{|\mathcal{B}|} \underbrace{\log \frac{1}{1 + e^{z_{ij}(-t\mathbf{x}_i \cdot \mathbf{y}_j + b)}}}_{\mathcal{L}_{ij}}$$

where  $z_{ij}$  is the label for a given image and text input, which equals 1 if they are paired and  $-1$  otherwise. At initialization, the heavy imbalance coming from the many negatives dominates the loss, leading to large initial optimization steps attempting to correct this bias. To alleviate this, we introduce an additional learnable bias term  $b$  similar to the temperature  $t$ . We initialize  $t'$  and  $b$  to  $\log 10$  and  $-10$  respectively. This makes sure the training starts roughly close to the prior and does not require massive over-correction. Algorithm 1 presents a pseudocode implementation of the proposed sigmoid loss for language image pre-training.

**Algorithm 1** Sigmoid loss pseudo-implementation.

```
1 # img_emb      : image model embedding [n, dim]
2 # txt_emb      : text model embedding [n, dim]
3 # t_prime, b   : learnable temperature and bias
4 # n            : mini-batch size
5
6 t = exp(t_prime)
7 zimg = l2_normalize(img_emb)
8 ztxt = l2_normalize(txt_emb)
9 logits = dot(zimg, ztxt.T) * t + b
10 labels = 2 * eye(n) - ones(n) # -1 with diagonal 1
11 l = -sum(log_sigmoid(labels * logits)) / n
```



# Vision-Language Models: Toward generative models

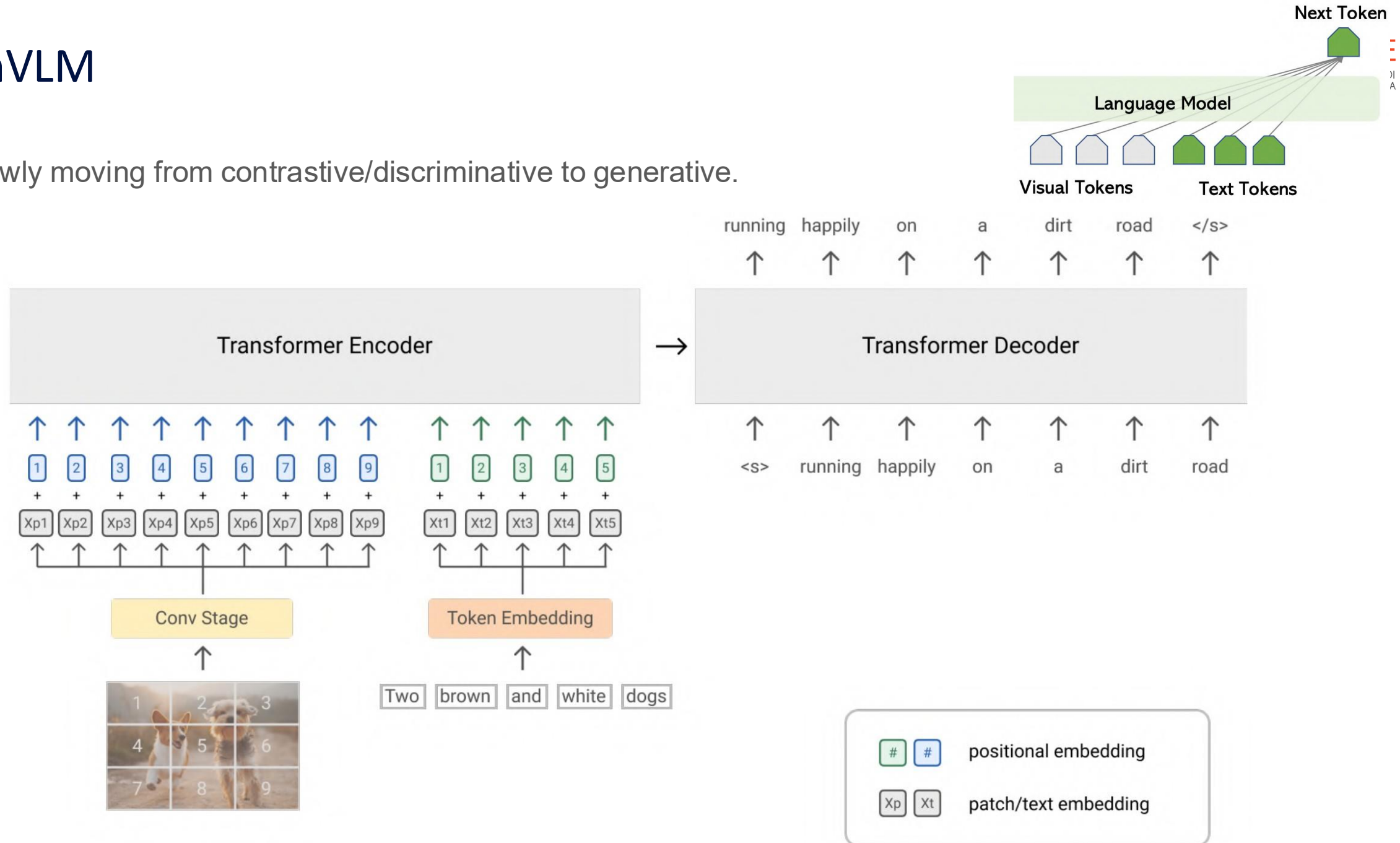
- Architecture

- Dual encoders → CLIP & its mentioned variants

- Encoder-decoder
  - Fusion decoder

# SimVLM

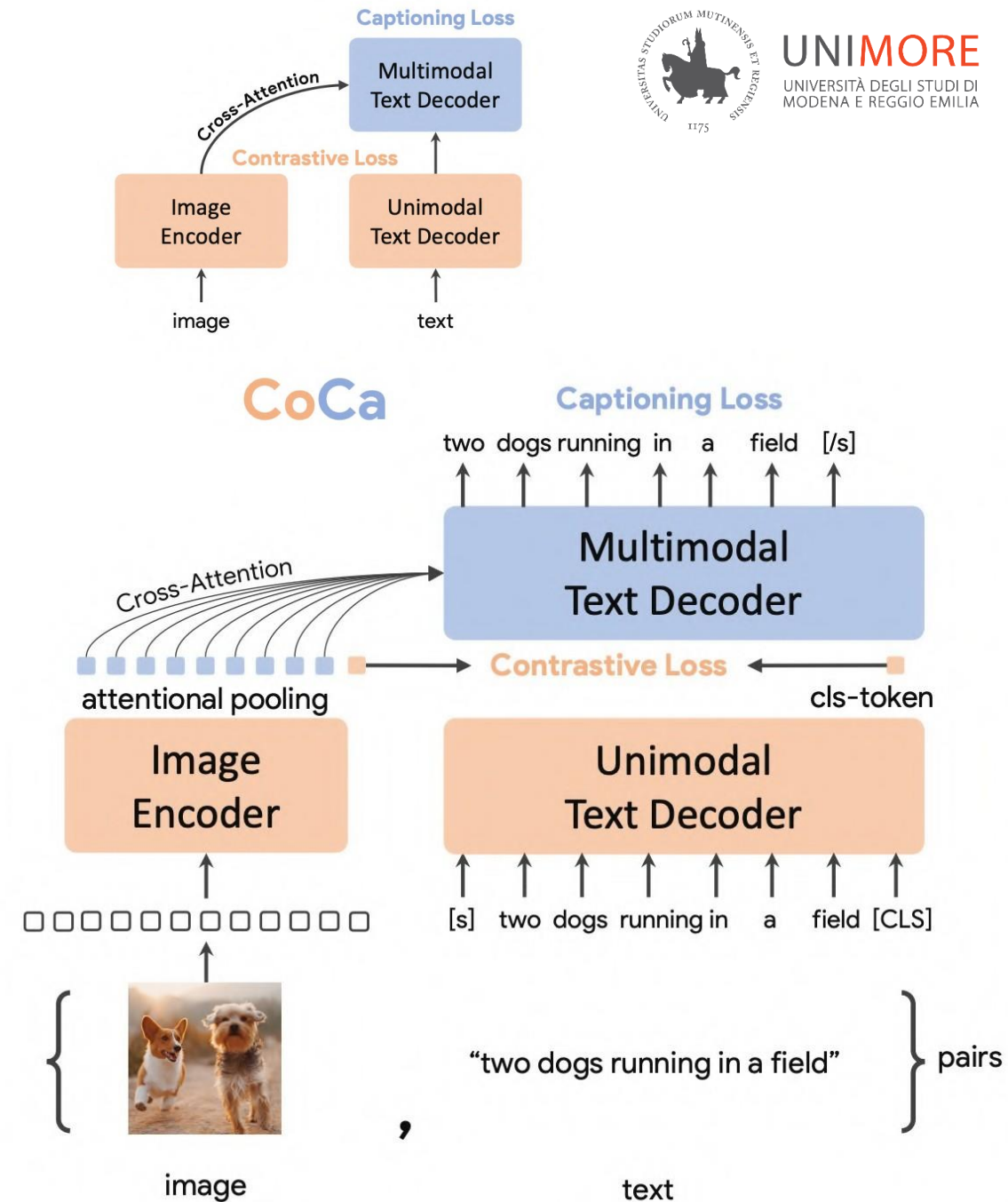
Slowly moving from contrastive/discriminative to generative.



Wang et al., "SimVLM: Simple Visual Language Model Pretraining with Weak Supervision", ICLR 2022

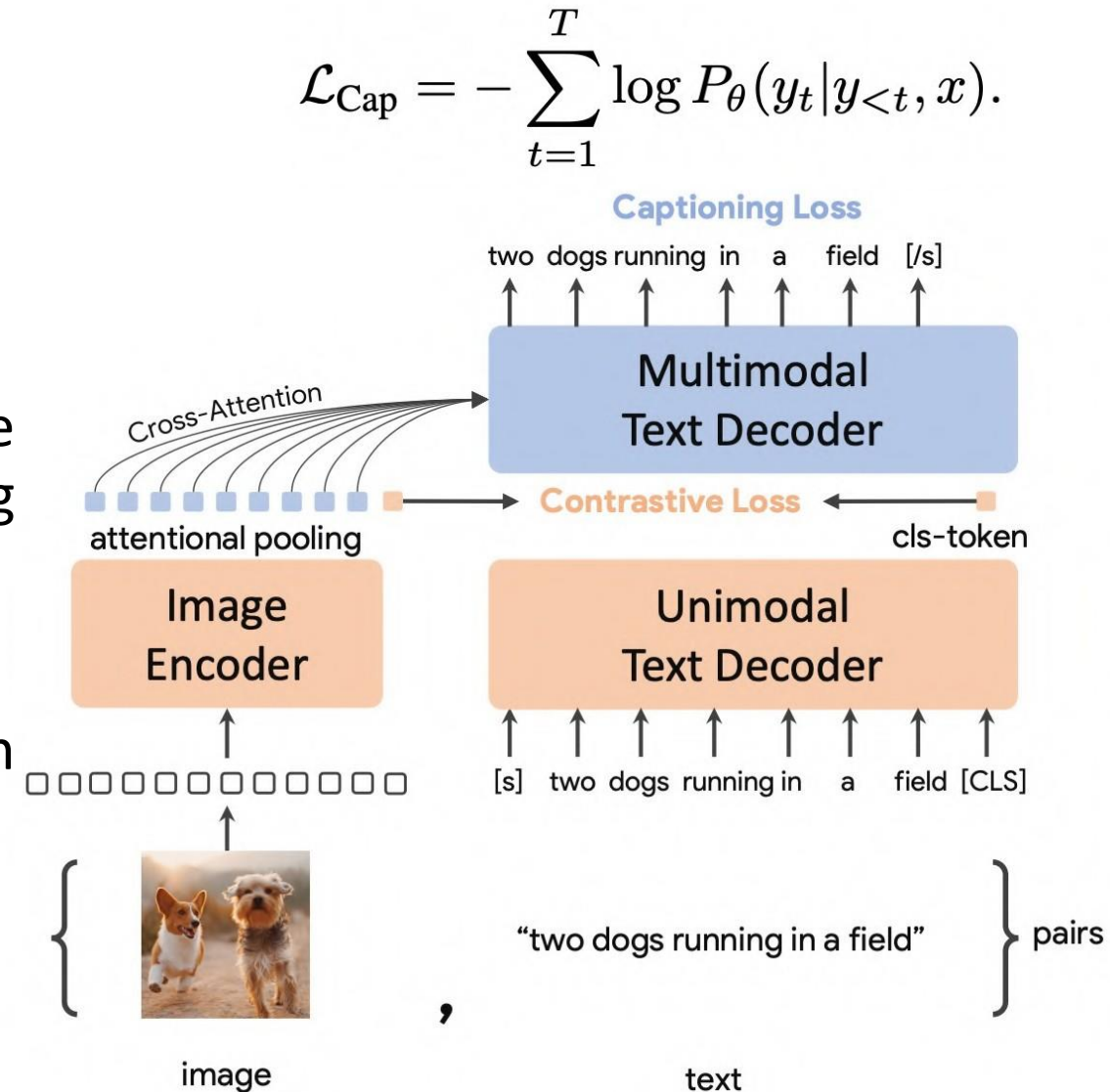
# CoCa: Contrastive Captioner

- Use mixed image-text and image-label (JFT-3B) data for pre-training
- A generative branch for enhanced performance and enabling new capabilities (image captioning and VQA)
- CoCa aims to learn a better image encoder from scratch

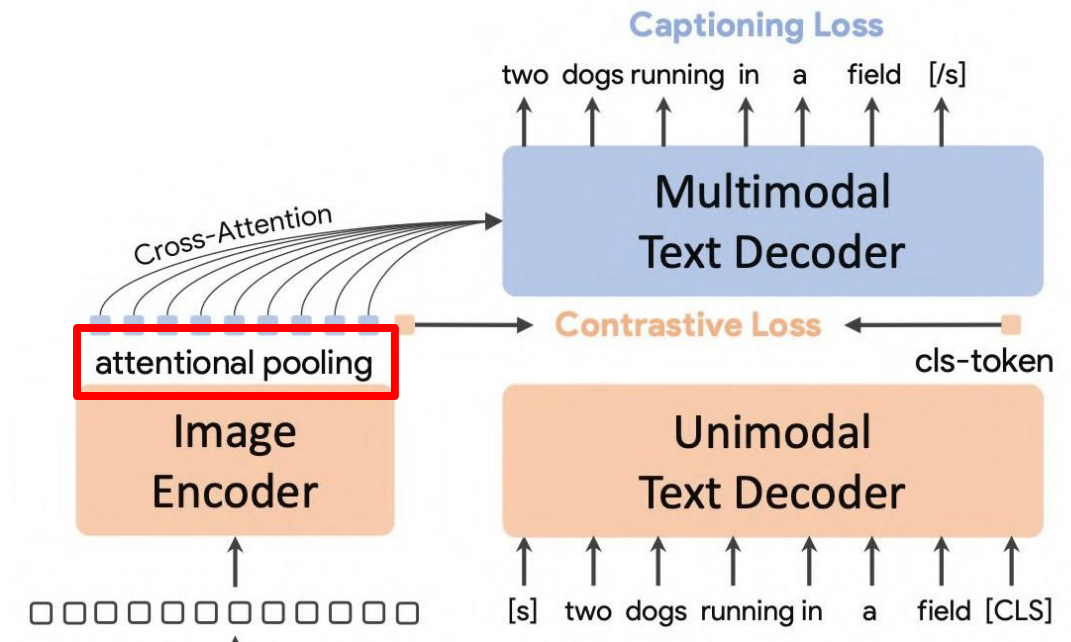


# CoCa: Contrastive Captioner

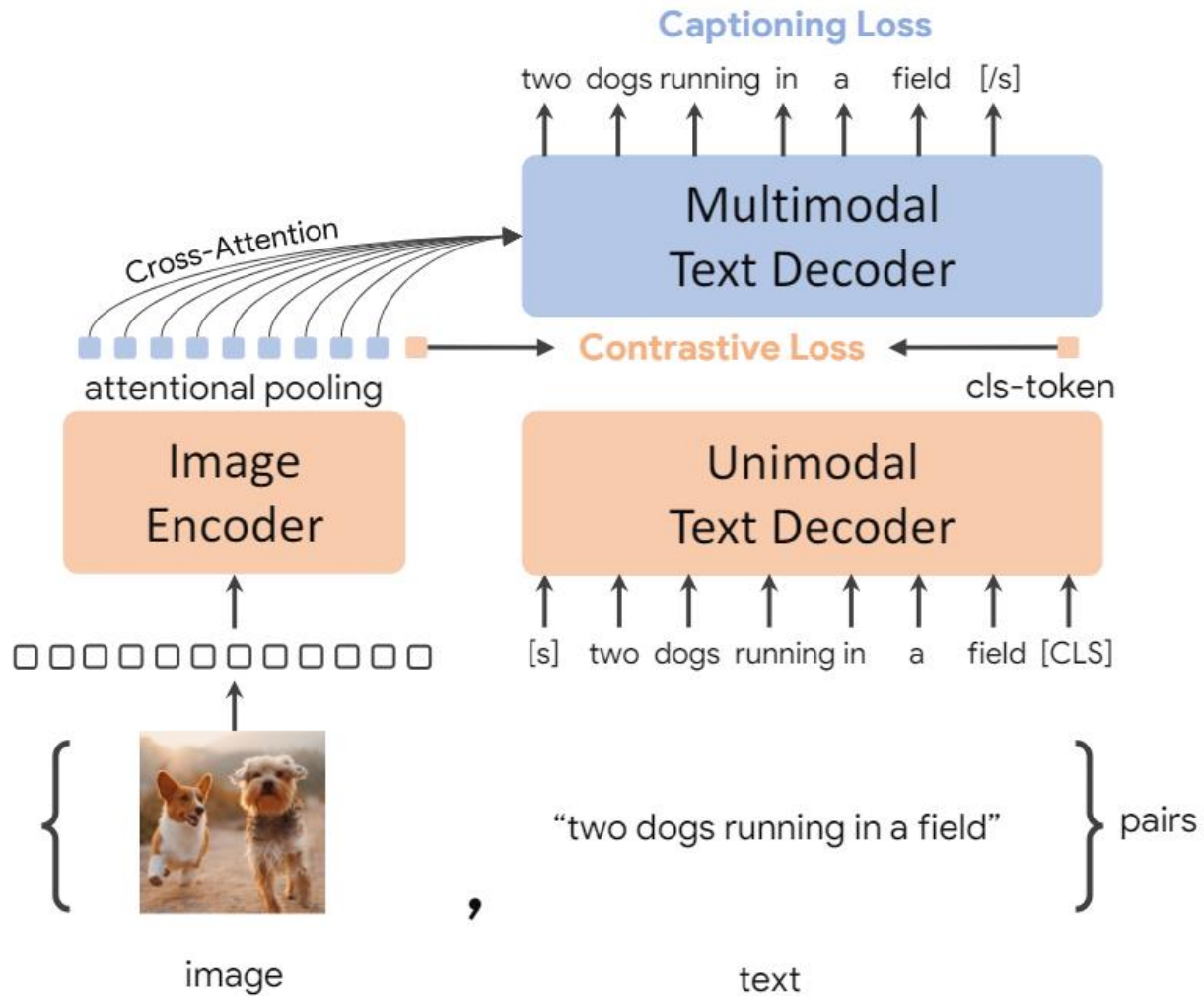
- Use mixed image-text and image-label (JFT-3B) data for pre-training
- A generative branch for enhanced performance and enabling new capabilities (image captioning and VQA)
- CoCa aims to learn a better image encoder from scratch



- Unified single-encoder, dual-encoder, and encoder-decoder paradigms
  - one image-text foundation model with the capabilities of all three approaches
- Cross-attention is omitted in unimodal decoder layers to encode text-only representations
- Multimodal decoder cross-attending to image encoder outputs to learn multimodal representations.







### Algorithm 1 Pseudocode of Contrastive Captioners architecture.

```
# image, text.ids, text.labels, text.mask: paired {image, text} data
# con_query: 1 query token for contrastive embedding
# cap_query: N query tokens for captioning embedding
# cls_token_id: a special cls_token_id in vocabulary

def attentional_pooling(features, query):
    out = multihead_attention(features, query)
    return layer_norm(out)

img_feature = vit_encoder(image) # [batch, seq_len, dim]
con_feature = attentional_pooling(img_feature, con_query) # [batch, 1, dim]
cap_feature = attentional_pooling(img_feature, cap_query) # [batch, N, dim]

ids = concat(text.ids, cls_token_id)
mask = concat(text.mask, zeros_like(cls_token_id)) # unpad cls_token_id
txt_embs = embedding_lookup(ids)
unimodal_out = lm_transformers(txt_embs, mask, cross_attn=None)
multimodal_out = lm_transformers(
    unimodal_out[:, :-1, :], mask, cross_attn=cap_feature)
cls_token_feature = layer_norm(unimodal_out[:, -1:, :]) # [batch, 1, dim]

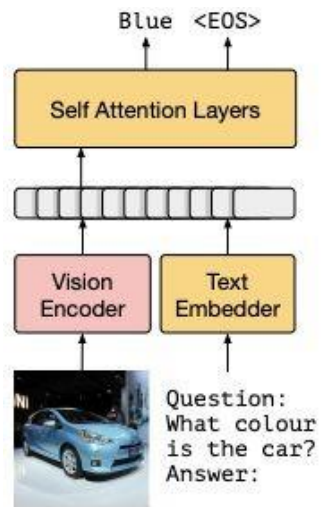
con_loss = contrastive_loss(con_feature, cls_token_feature)
cap_loss = softmax_cross_entropy_loss(
    multimodal_out, labels=text.labels, mask=text.mask)
```

**vit\_encoder**: vision transformer based encoder; **lm\_transformer**: language-model transformers.

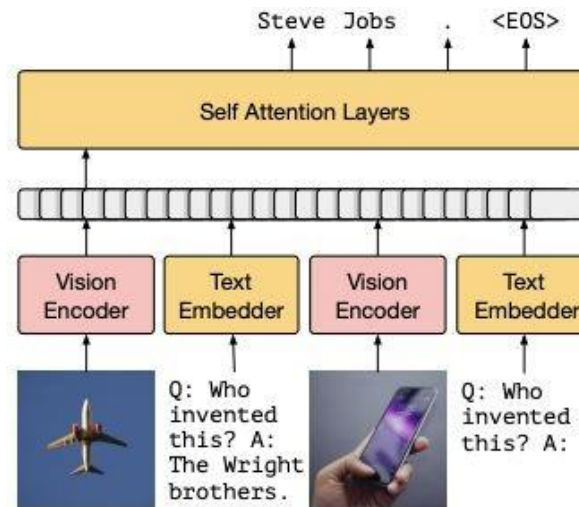
# Frozen (Tsimpoukelli, Menick, Cabi, et al., 2021)

Kind of like MMBT but with a better LLM (T5) and a better vision encoder (NF-ResNet).

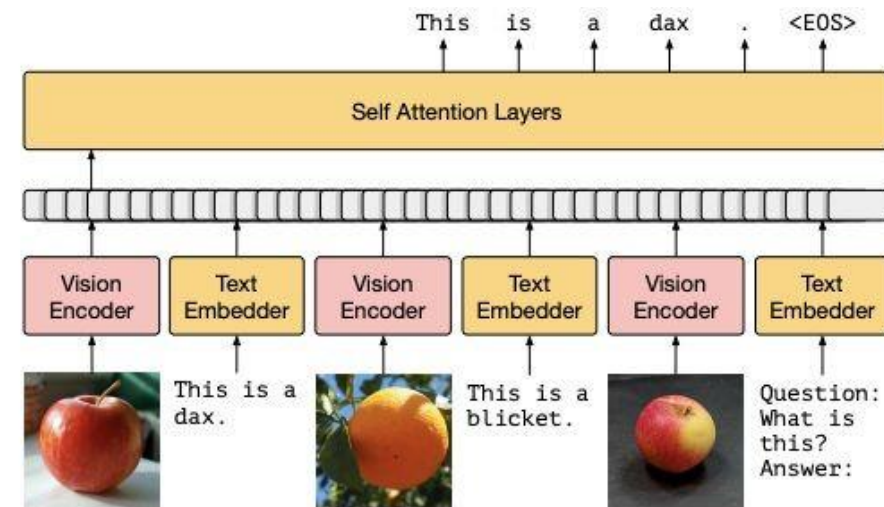
Multi-Modal Few-Shot Learners!



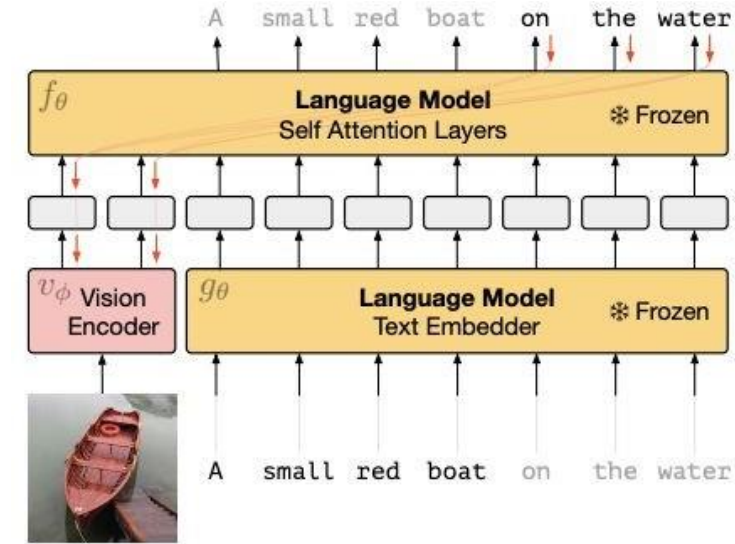
(a) 0-shot VQA



(b) 1-shot outside-knowledge VQA



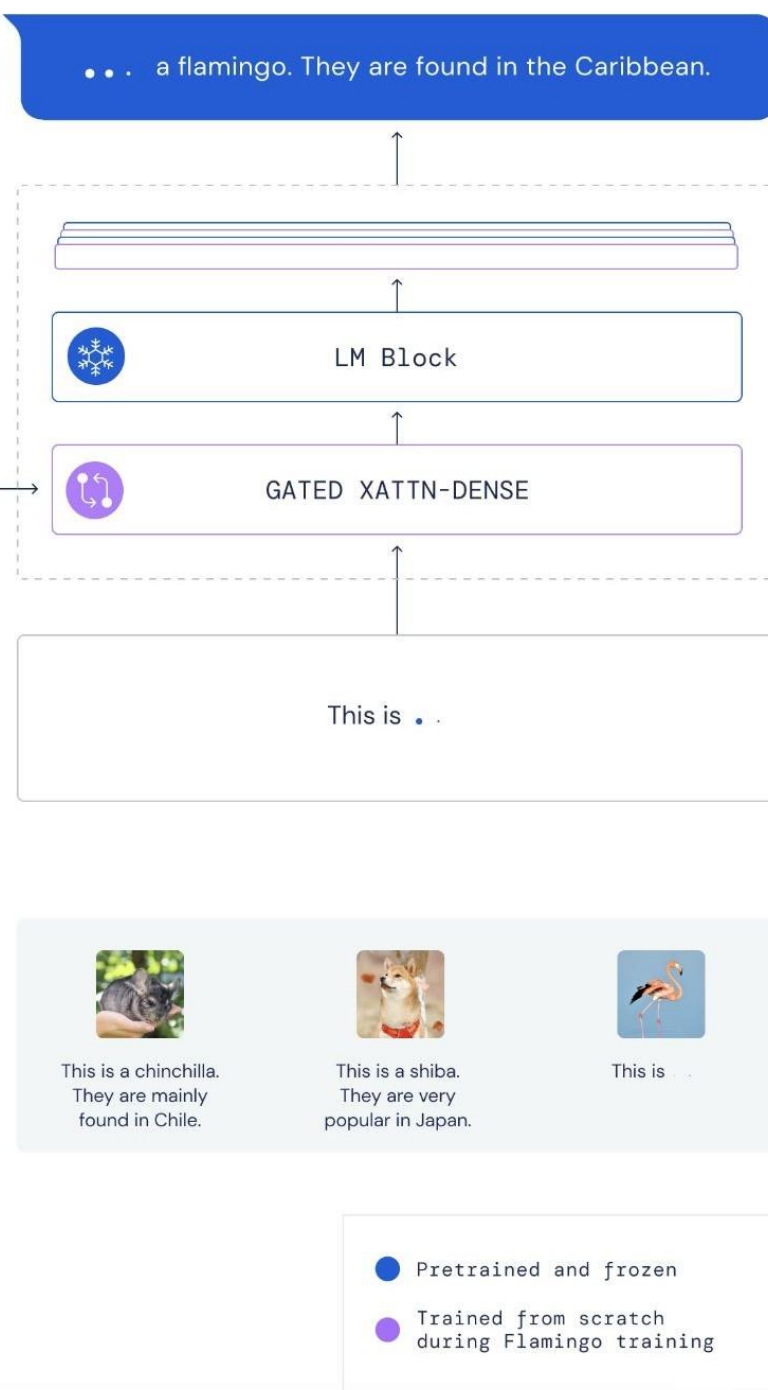
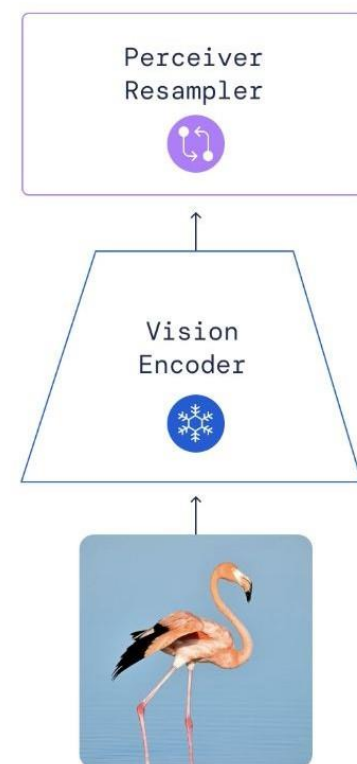
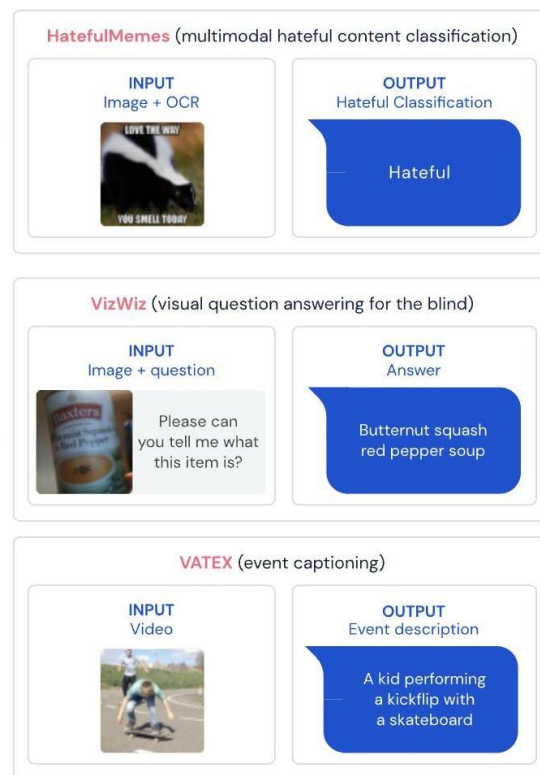
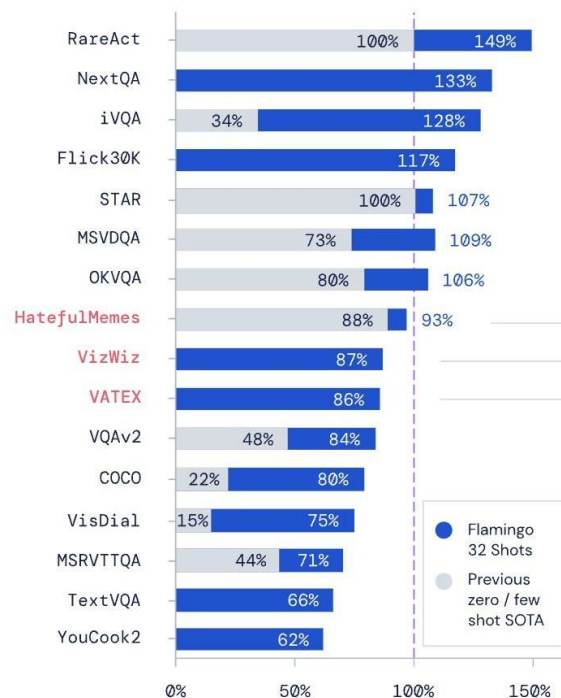
(c) Few-shot image classification



# Flamingo (Alayrac et al., 2022)

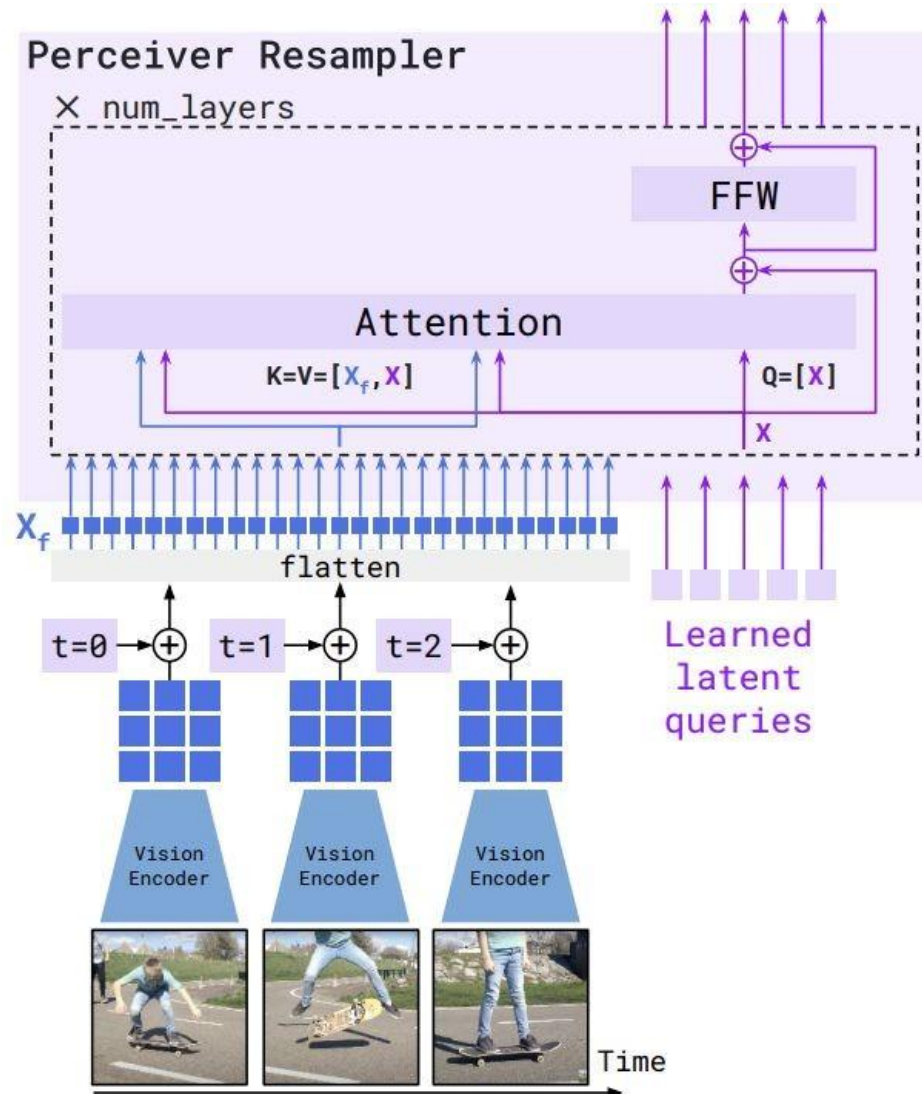
80b param model based on Chinchilla.  
Multi-image.

Performance relative to SOTA





# Perceiver Resampler

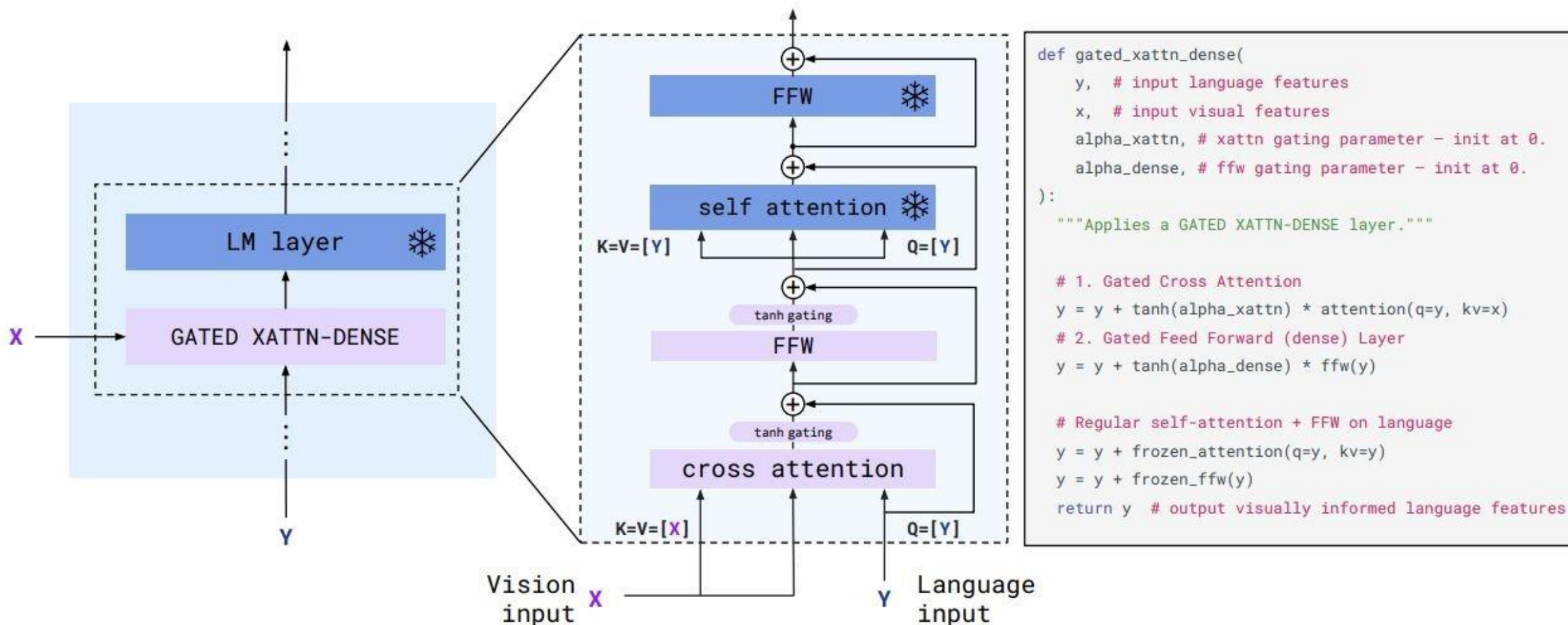


```
def perceiver_resampler(
    x_f, # The [T, S, d] visual features (T=time, S=space)
    time_embeddings, # The [T, 1, d] time pos embeddings.
    x, # R learned latents of shape [R, d]
    num_layers, # Number of layers
):
    """The Perceiver Resampler model."""

    # Add the time position embeddings and flatten.
    x_f = x_f + time_embeddings
    x_f = flatten(x_f) # [T, S, d] -> [T * S, d]
    # Apply the Perceiver Resampler layers.
    for i in range(num_layers):
        # Attention.
        x = x + attention_i(q=x, kv=concat([x_f, x]))
        # Feed forward.
        x = x + ffw_i(x)
    return x
```


# Gated XATTN


Inject visual info directly into a frozen LM via cross-attention (remember FiLM?).





# Why is this funny?


Original image from Karpathy as a  
“visual Turing test” →





This is a picture of Barack Obama. He is a former president of the United States.


How many people are there in this picture?


There are at least 5 people in this picture.


Where was this picture taken?


It was taken in a school.


How many mirrors are there?


There are at least 2 mirrors in this picture.


What is the person standing on?


The person is standing on a rug.


He is standing on a scale.


I think you are right.


What is he doing?


He is looking at the scale.


Where is Obama's foot positioned?


Obama's foot is positioned on the right side of the scale.


What happens as a result?

The scale shows a higher weight.

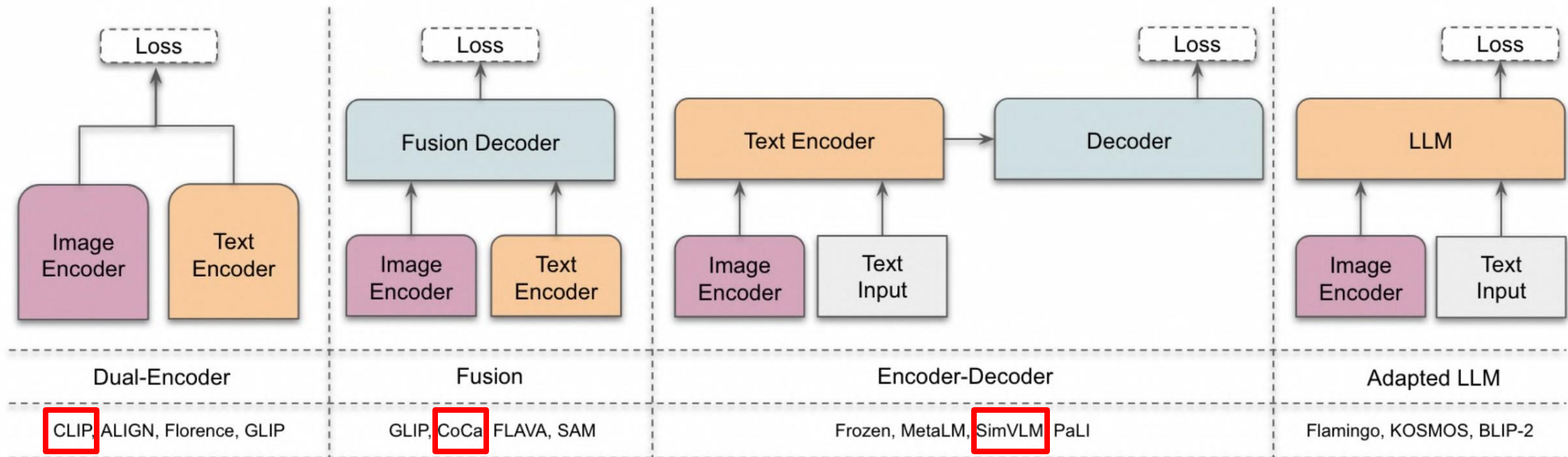
Is the person on the scale aware of it?

I think he is not aware of it.

Do you think that's why people are laughing?

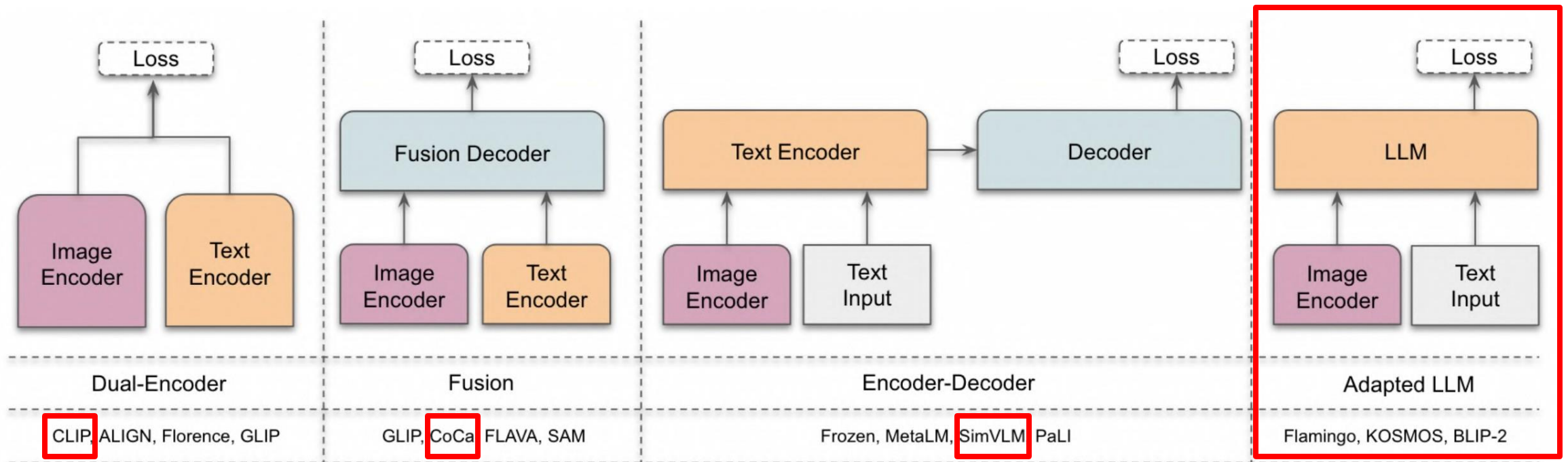
I think so.

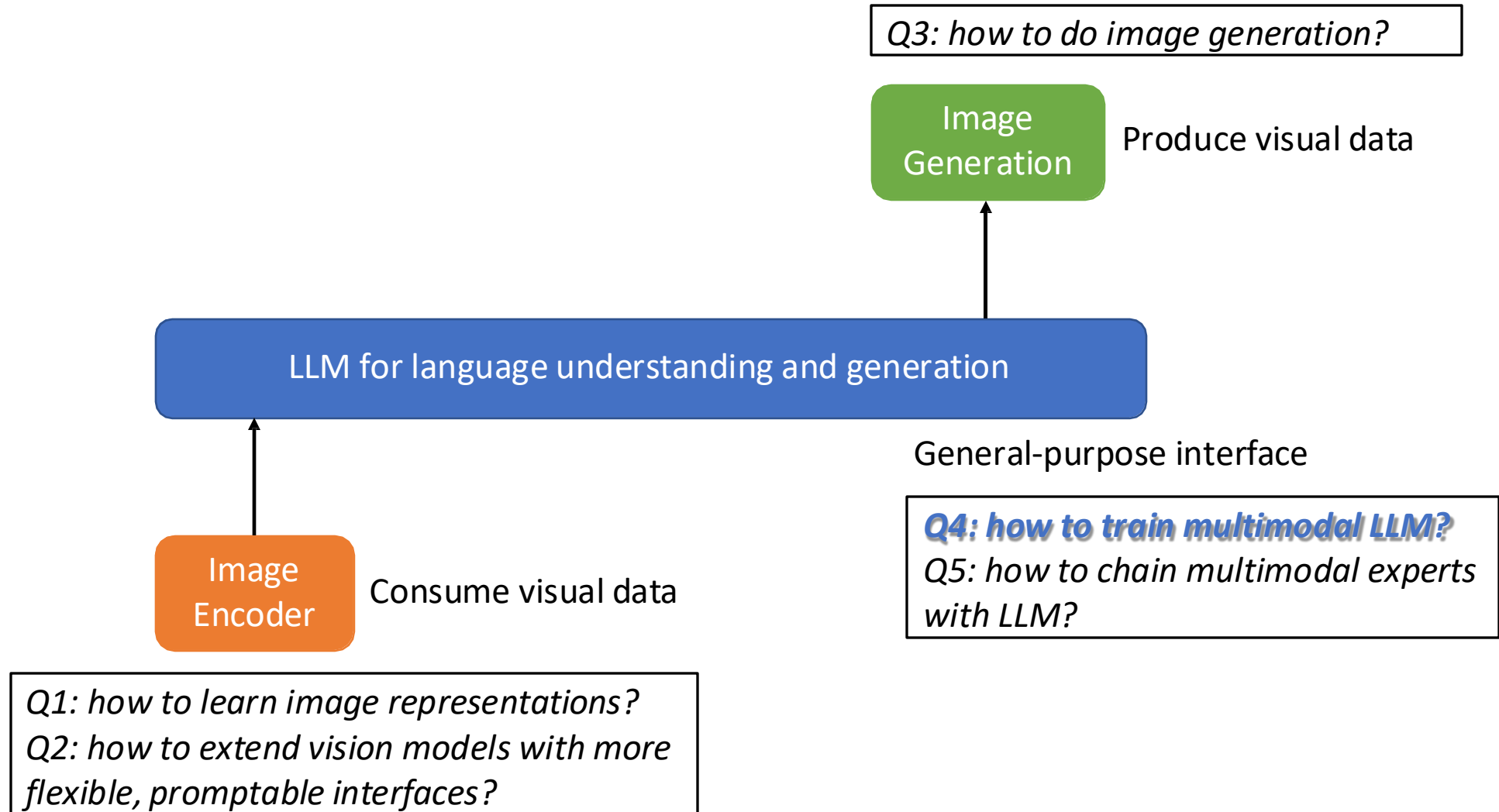
# Architecture of Multimodal Models





# Architecture of Multimodal Models





# Visual Instruction Tuning with GPT-4

<https://llava-vl.github.io/>



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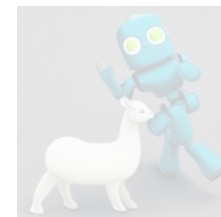
Haotian Liu\*, Chunyuan Li\*, Qingyang Wu, Yong Jae Lee (\* Equal contribution)

**Self-Instruct with Strong Teacher LLMs**

**But No Teacher is available on multiGPT4?**

	LLaMA	Alpaca	Vicuna
Teacher			
		GPT-3.5	ShareGPT (Human & GPT)
Instruction- following Data	None	52K	700K (70 conversions)

GPT-4-LLM



GPT-4  
(text-only)

LLaVA



GPT-4  
(text-only)

- 158K multimodal instruction following data  
(First & High Quality)

—————> Multimodal Chatbot

**Large Language and Vision Assistant**

# GPT-assisted Visual Instruction Data Generation

- Rich Symbolic Representations of Images
- In-context-learning with a few manual examples

□ Text-only GPT-4

## Context type 1: Captions

A group of people standing outside of a black vehicle with various luggage.

Luggage surrounds a vehicle in an underground parking area

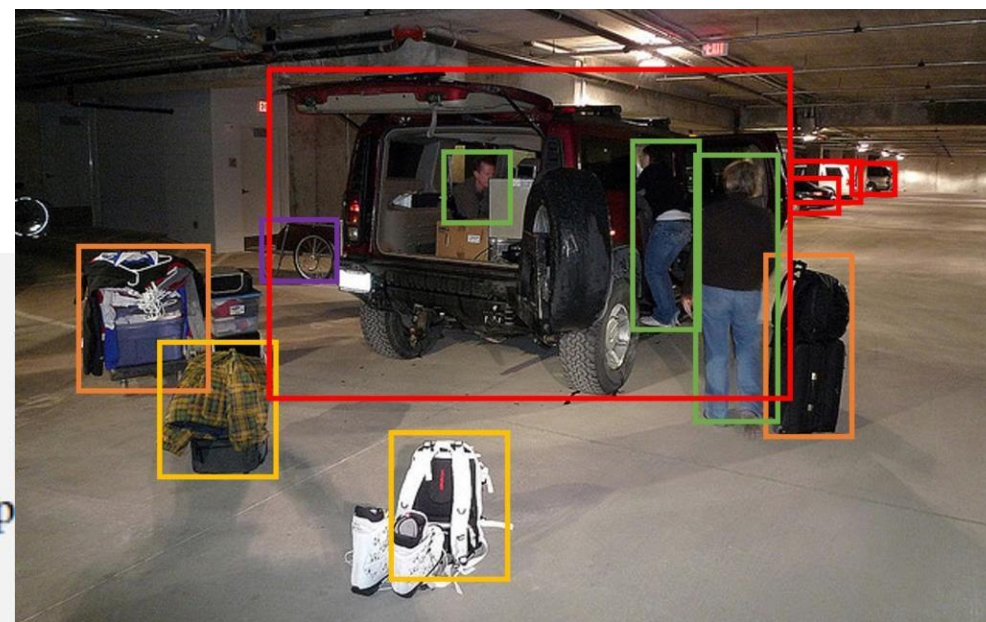
People try to fit all of their luggage in an SUV.

The sport utility vehicle is parked in the public garage, being packed for a trip

Some people with luggage near a van that is transporting it.

## Context type 2: Boxes

person: [0.681, 0.242, 0.774, 0.694], person: [0.63, 0.222, 0.686, 0.516], person: [0.444, 0.233, 0.487, 0.34], backpack: [0.384, 0.696, 0.485, 0.914], backpack: [0.755, 0.413, 0.846, 0.692], suitcase: [0.758, 0.413, 0.845, 0.69], suitcase: [0.1, 0.497, 0.173, 0.579], bicycle: [0.282, 0.363, 0.327, 0.442], car: [0.786, 0.25, 0.848, 0.322], car: [0.783, 0.27, 0.827, 0.335], car: [0.86, 0.254, 0.891, 0.3], car: [0.261, 0.101, 0.787, 0.626]





## Three type of instruction-following responses

### Response type 1: conversation

Question: What type of vehicle is featured in the image?

Answer: The image features a black sport utility vehicle (SUV).

Question: Where is the vehicle parked?

Answer: The vehicle is parked in an underground parking area, likely in a public garage.

Question: What are the people in the image doing?

Answer: The people are trying to fit all of their luggage into the SUV, likely preparing for a trip.

### Response type 2: detailed description

The image is an underground parking area with a black sport utility vehicle (SUV) parked. There are three people in the scene, with one person standing closer to the left side of the vehicle, another person in the middle, and the third person on the right side. They are all working together to pack their luggage into the SUV for a trip.

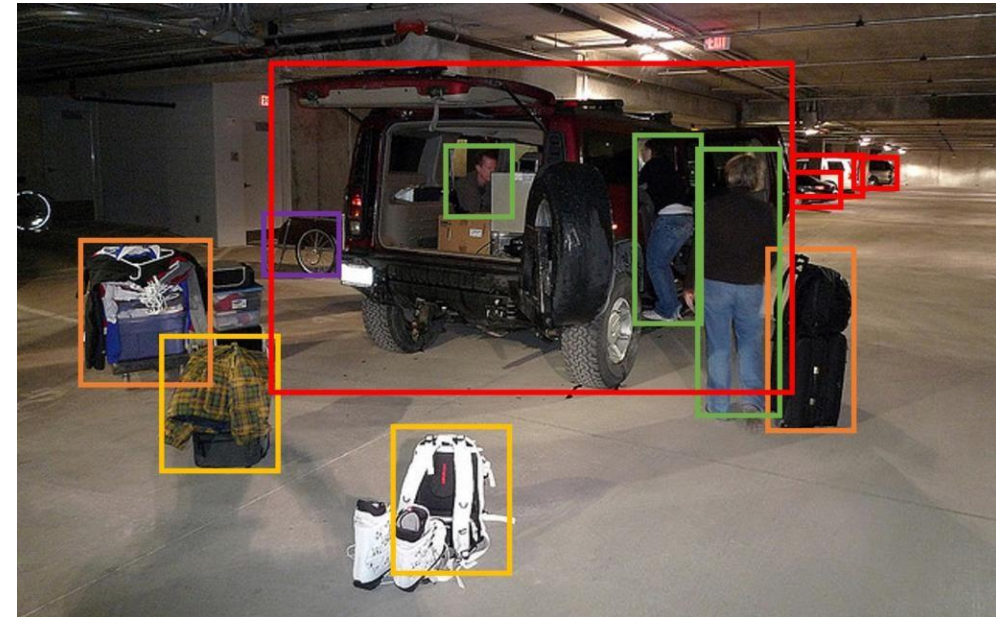
In the parking area, various luggage items are scattered around the vehicle. There are two backpacks, one located near the left rear wheel and the other closer to the right side of the vehicle. Additionally, there are two suitcases, one on the right side of the car and another further away near the center of the parking area. A bicycle can also be seen on the left side of the vehicle.

Other cars are parked around the main SUV, with one car positioned behind it and slightly to the left, another behind and slightly to the right, and the third car further behind on the right side.

### Response type 3: complex reasoning

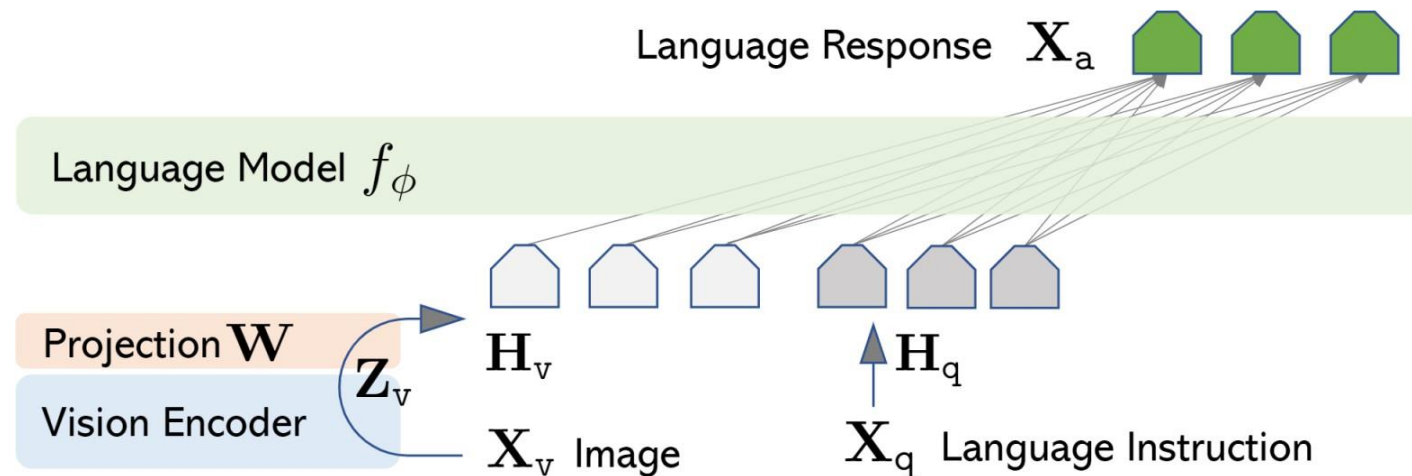
Question: What challenges do these people face?

Answer: In the image, a group of people is standing outside a black SUV in a parking area, surrounded by various pieces of luggage, including suitcases and backpacks. They are facing the challenge of fitting all their luggage into the black SUV. There are multiple suitcases and backpacks to be packed, which suggests that the group has a significant amount of belongings to accommodate. They might have to strategize and arrange the luggage efficiently to ensure that everything fits properly into the vehicle. Additionally, they need to consider the comfort of the passengers and visibility while driving, so the placement of the luggage must not obstruct the driver's view or make the passengers uncomfortable during the trip.



# LLaVA: Large Language-and-Vision Assistant

## □ Architecture



## □ Two-stage Training

### • **Stage 1: Pre-training for Feature Alignment.**

Only the projection matrix is updated, based on a subset of CC3M.

### • **Stage 2: Fine-tuning End-to-End.** Both the projection matrix and LLM are updated

- **Visual Chat:** Our generated multimodal instruction data for daily user-oriented applications.

- **Science QA:** Multimodal reasoning dataset for the science domain.



## Example 1: Extreme Ironing



Source: <https://www.barnorama.com/wp-content/uploads/2016/12/03-Confusing-Pictures.jpg>

User  
LLaVA

What is unusual about this image?

The unusual aspect of this image is a man ironing clothes on the back of a minivan or van. This is not a typical place to perform this activity, as one would usually iron clothes in a more stationary and safe location, such as a home, using a regular ironing board. The scene depicted in the image is peculiar as it involves a makeshift ironing setup on a vehicle, which can be both unsafe and unconventional. Additionally, it is not clear how the man is able to maintain balance and stability while ironing clothes in such an unstable environment.

User  
LLaVA

[Start a new conversation, and clear the history]

What's happening in the scene?

The image depicts a man standing on top of a yellow SUV in a busy city street. He is holding a portable ladder, seemingly ironing clothes while standing on the vehicle. Around the scene, there are other cars, a traffic light, and a fire hydrant. Additionally, there are two other people in the vicinity, one close to the man on the car and another further away. The man on the ladder appears to be attracting attention **due to his unconventional choice of ironing his clothes on top of a moving car**. The city street around him is bustling with activity, adding to the unique nature of the scene.

User  
GPT-4 [34]

What is unusual about this image?

The unusual thing about this image is that a man is ironing clothes on an ironing board attached to the roof of a moving taxi.

User  
BLIP-2

What is unusual about this image?

a man is sitting on the back of a yellow cab

User  
OpenFlamingo

What is unusual about this image?

The man is drying his clothes on the hood of his car.

## Visual input example, Chicken Nugget Map:

Sometimes I just look at pictures of the earth from space and I marvel at how beautiful it all is.



User  
LLaVA

Can you explain this meme in detail?

The meme in the image is a creative and humorous take on food, with a focus on chicken nuggets, as the center of the universe. The meme begins with the phrase "Sometimes I just look at pictures of the Earth from space and I marvel at how beautiful it all is..." The main image features a tray of cooked chicken nuggets, with the chicken pieces positioned to resemble the continents and islands on a world map. The meme playfully suggests that the chicken nuggets represent the Earth, and the various locations depicted in the photo are actually chicken nugget versions of different places. The punchline of the meme appears in smaller text at the bottom, reading "I mean, it's not the real Earth, but how beautiful it is all is." This lighthearted and entertaining meme combines food and humor, using the image of chicken nuggets to create a funny and imaginative representation of the world.

User  
GPT-4 [32]

Can you explain this meme?

This meme is a joke that combines two unrelated things: pictures of the earth from space and chicken nuggets. The text of the meme suggests that the image below is a beautiful picture of the earth from space. However, the image is actually of chicken nuggets arranged to vaguely resemble a map of the world. The humor in this meme comes from the unexpected juxtaposition of the text and the image. The text sets up an expectation of a majestic image of the earth, but the image is actually something mundane and silly.

User  
BLIP-2

Can you explain this meme in detail?

sometimes i just look at pictures of the earth from space and marvel how beautiful it is

User  
OpenFlamingo

Can you explain this meme in detail?

It's a picture of a chicken nugget on the International Space Station.

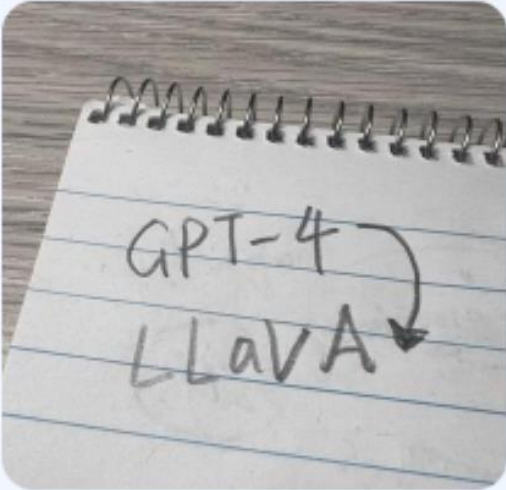


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## Example 2: Chicken Nugget Map

### Example 3: LLaVA

what is written in the photo?



In the photo, there is written "Llava" which is presumably an abbreviation for a location or other identifier.



THANK YOU!  
Questions?